

CLH report

Proposal for Harmonised Classification and Labelling

Based on Regulation (EC) No 1272/2008 (CLP Regulation),
Annex VI, Part 2

Substance Name: **CARVONE**

EC Number: 202-759-5 (d/l mixture)
218-827-2 (d-carvone)
229-352-5 (l-carvone)

CAS Number: 99-49-0 (d/l mixture)
2244-16-8 (d-carvone)
6485-40-1 (l-carvone)

Index Number:

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Part A.

1 PROPOSAL FOR HARMONISED CLASSIFICATION AND LABELLING

1.1 Substance

Table 1: Substance identity

| | |
|-------------------------------|--|
| Substance name: | Carvone |
| EC number: | 202-759-5 (d/l mixture) 218-827-2 (d-carvone) 229-352-5 (l-carvone) |
| CAS number: | 99-49-0 (d/l mixture) 2244-16-8 (d-carvone) 6485-40-1 (l-carvone) |
| Annex VI Index number: | - |
| Degree of purity: | The active substance shall have a minimum purity of 930g/kg carvone in the technical product with a d/l ratio of at least 100:1. |
| Impurities: | Confidential. No relevant impurities for the purpose of classification and labelling. |

1.2 Harmonised classification and labelling proposal

Table 2: The current Annex VI entry and the proposed harmonised classification

| | CLP Regulation | Directive 67/548/EEC (Dangerous Substances Directive; DSD) |
|---|--|---|
| Current entry in Annex VI, CLP Regulation | none | none |
| Current proposal for consideration by RAC | Skin irrit. Cat 2 Skin sens. Cat 1B | Skin irrit. R38 Skin sens. R43 |
| Resulting harmonised classification (future entry in Annex VI, CLP Regulation) | Skin irrit. Cat 2 Skin sens. Cat 1B | Skin irrit. R38 Skin sens. R43 |

1.3 Proposed harmonised classification and labelling based on CLP Regulation and/or DSD criteria

Table 3: Proposed classification according to the CLP Regulation

| CLP Annex I ref | Hazard class | Proposed classification | Proposed SCLs and/or M-factors | Current classification ¹⁾ | Reason for no classification ²⁾ |
|-----------------|--|-------------------------|--------------------------------|--------------------------------------|--|
| 2.1. | Explosives | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.2. | Flammable gases | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.3. | Flammable aerosols | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.4. | Oxidising gases | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.5. | Gases under pressure | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.6. | Flammable liquids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.7. | Flammable solids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.8. | Self-reactive substances and mixtures | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.9. | Pyrophoric liquids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.10. | Pyrophoric solids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.11. | Self-heating substances and mixtures | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.12. | Substances and mixtures which in contact with water emit flammable gases | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.13. | Oxidising liquids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.14. | Oxidising solids | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.15. | Organic peroxides | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 2.16. | Substance and mixtures corrosive to metals | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.1. | Acute toxicity - oral | Not classified | none | Not classified | conclusive but not sufficient for classification |
| | Acute toxicity - dermal | Not classified | none | Not classified | conclusive but not sufficient for classification |
| | Acute toxicity - inhalation | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.2. | Skin corrosion / irritation | Skin irrit. Cat 2 | none | Not classified | |
| 3.3. | Serious eye damage / eye | Not classified | none | Not classified | conclusive but not sufficient |

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| | irritation | | | | for classification |
|-------|--|----------------------|------|----------------|--|
| 3.4. | Respiratory sensitisation | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.4. | Skin sensitisation | Skin sens. Cat 1B | none | Not classified | |
| 3.5. | Germ cell mutagenicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.6. | Carcinogenicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.7. | Reproductive toxicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.8. | Specific target organ toxicity – single exposure | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.9. | Specific target organ toxicity – repeated exposure | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 3.10. | Aspiration hazard | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 4.1. | Hazardous to the aquatic environment | Not classified | none | Not classified | conclusive but not sufficient for classification |
| 5.1. | Hazardous to the ozone layer | Not classified | none | Not classified | conclusive but not sufficient for classification |

¹⁾ Including specific concentration limits (SCLs) and M-factors

²⁾ Data lacking, inconclusive, or conclusive but not sufficient for classification

Labelling: Signal word: warning
 Hazard statements: H315, H317
 Precautionary statements: not relevant to Annex VI

Proposed notes assigned to an entry: none

Table 4: Proposed classification according to DSD

| Hazardous property | Proposed classification | Proposed SCLs | Current classification ¹⁾ | Reason for no classification ²⁾ |
|--|-------------------------|---------------|--------------------------------------|--|
| Explosiveness | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Oxidising properties | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Flammability | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Other physico-chemical properties <i>[Add rows when relevant]</i> | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Thermal stability | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Acute toxicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Acute toxicity – irreversible damage after single exposure | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Repeated dose toxicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Irritation / Corrosion | Skin irrit. R38 | none | Not classified | |
| Sensitisation | Skin sens. R43 | none | Not classified | |
| Carcinogenicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Mutagenicity – Genetic toxicity | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Toxicity to reproduction – fertility | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Toxicity to reproduction – development | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Toxicity to reproduction – breastfed babies. Effects on or via lactation | Not classified | none | Not classified | conclusive but not sufficient for classification |
| Environment | Not classified | none | Not classified | conclusive but not sufficient for classification |

¹⁾ Including SCLs²⁾ Data lacking, inconclusive, or conclusive but not sufficient for classification

Labelling: Indication of danger: irritant
R-phrases: R38, R43
S-phrases: S(2-)24-37

2 BACKGROUND TO THE CLH PROPOSAL

2.1 History of the previous classification and labelling

The classification and labelling has not been previously discussed at TC C&L.

2.2 Short summary of the scientific justification for the CLH proposal

There are currently no REACH registrations of carvone and its isomers (database accessed on 18-09-2012). The classification is based on the data as provided for the inclusion of carvone as a plant protection product in Annex I of Directive 91/414/EEC.

The substance should be classified as a skin irritant (category 2, H315) because desquamation persists through the end of the observation period in three tested animals.

Carvone scored positive in a GPMT test with 9/19 and 10/19 positive after challenge with 75 and 50% carvone (induction intradermal 5%), respectively. Therefore, this substance should be classified as a skin sensitiser (category 1B, H317).

2.3 Current harmonised classification and labelling

2.3.1 Current classification and labelling in Annex VI, Table 3.1 in the CLP Regulation

None

2.3.2 Current classification and labelling in Annex VI, Table 3.2 in the CLP Regulation

None

2.4 Current self-classification and labelling

2.4.1 Current self-classification and labelling based on the CLP Regulation criteria

Inventory notifications for CAS number 99-49-0 (accessed on 17-09-2012)

| Classification | | Labelling | | Specific Concentration limits, M-Factors | Number of Notifiers |
|-----------------------------------|--------------------------|--------------------------|--------------------------------|--|---------------------|
| Hazard Class and Category Code(s) | Hazard Statement Code(s) | Hazard Statement Code(s) | Pictograms Signal Word Code(s) | | |
| Acute Tox 4 | H302 | H302 | GHS07 | | 209 |
| Skin Sens 1 | H317 | H317 | Wng | | |
| Skin Irrit 2 | H315 | H315 | GHS07 | | 19 |
| Skin Sens 1 | H317 | H317 | Wng | | |
| Flam Liq 1 | H224 | H224 | GHS07 | | 1 |
| Acute Tox 4 | H302 | H302 | GHS02 | | |

| | | | | | |
|--|--|--|-----|--|--|
| | | | Dgr | | |
|--|--|--|-----|--|--|

Inventory notifications for CAS number 2244-16-8 (accessed on 17-09-2012)

| Classification | | Labelling | | Specific Concentration limits, M-Factors | Number of Notifiers |
|-----------------------------------|--------------------------|--------------------------|--------------------------------|--|---------------------|
| Hazard Class and Category Code(s) | Hazard Statement Code(s) | Hazard Statement Code(s) | Pictograms Signal Word Code(s) | | |
| Acute Tox 4 Skin Sens 1 | H302 H317 | H302 H317 | GHS07 Wng | | 914 |

Inventory notifications for CAS number 6485-40-1 (accessed on 17-09-2012)

| Classification | | Labelling | | Specific Concentration limits, M-Factors | Number of Notifiers |
|---|--------------------------|--------------------------|--------------------------------|--|---------------------|
| Hazard Class and Category Code(s) | Hazard Statement Code(s) | Hazard Statement Code(s) | Pictograms Signal Word Code(s) | | |
| Acute Tox 4 Skin Sens 1 | H302 H317 | H302 H317 | GHS07 Wng | | 1013 |
| Acute Tox 4 | H302 | H302 | Wng | | 34 |
| Acute Tox 4 | H302 | H302 | GHS07 Wng | | 27 |
| Acute Tox 4 Skin Sens 1 Aquatic Chronic 3 | H302 H317 H412 | H302 H317 H412 | GHS07 Wng | | 1 |

2.4.2 Current self-classification and labelling based on DSD criteria

No information on self-classification according to DSD criteria is available in the notifications.

3 JUSTIFICATION THAT ACTION IS NEEDED AT COMMUNITY LEVEL

No justification is required according to CLP Art 36(2) because carvone (d/l mixture with CAS number 99-49-0) is registered as a plant protection product according to Directive 2008/44/EC amending Directive 91/414/EEC. However, the substance identity (SID) used in Directive 2008/44/EC is not completely in agreement with ECHA's Substance Identity Guidance. A harmonized classification is proposed for the substance as defined in the Commission review report for the active substance carvone (SANCO/3920/2007-rev. final, 21 January 2008). Although d- and l-carvone cannot be considered to be toxicological identical substances, there is no information indicating that one of the isomers is clearly more toxic than the other.

Part B.

SCIENTIFIC EVALUATION OF THE DATA

1 IDENTITY OF THE SUBSTANCE

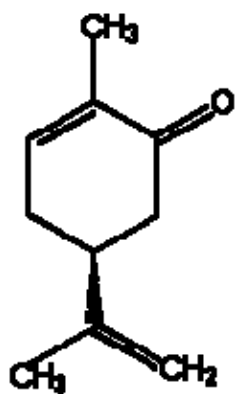
1.1 Name and other identifiers of the substance

Table 5: Substance identity

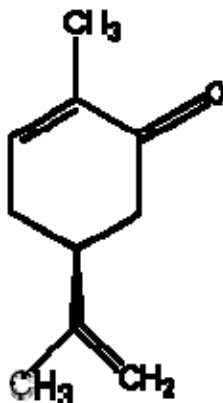
| | |
|-----------------------------------|--|
| EC number: | 202-759-5 (d/l mixture) 218-827-2 (d-carvone) 229-352-5 (l-carvone) |
| EC name: | 2-methyl-5-(1-methylvinyl)cyclohex-2-en-1-one (d/l mixture) (<i>S</i>)-2-methyl-5-(1-methylvinyl)cyclohex-2-en-1-one (d-carvone) (<i>R</i>)-2-methyl-5-(1-methylvinyl)cyclohex-2-en-1-one for (l-carvone) |
| CAS number (EC inventory): | 99-49-0 (d/l mixture) 2244-16-8 (d-carvone) 6485-40-1 (l-carvone) |
| CAS number: | 99-49-0 (d/l mixture) 2244-16-8 (d-carvone) 6485-40-1 (l-carvone) |
| CAS name: | 2-cyclohexen-1-one, 2-methyl-5-(1-methylethenyl)-, (d/l-mixture) 2-cyclohexen-1-one, 2-methyl-5-(1-methylethenyl)-, (<i>5S</i>)-, (d-isomer) 2-cyclohexen-1-one, 2-methyl-5-(1-methylethenyl)-, (<i>5R</i>)-, (l-isomer) |
| IUPAC name: | 2-methyl-5-(prop-1-en-2-yl)cyclohex-2-en-1-one (d/l-mixture) (<i>5S</i>)-2-methyl-5-(prop-1-en-2-yl)cyclohex-2-en-1-one (d-carvone) (<i>5R</i>)-2-methyl-5-(prop-1-en-2-yl)cyclohex-2-en-1-one (l-carvone) |
| ISO name | carvone |
| CLP Annex VI Index number: | none |
| Molecular formula: | C ₁₀ H ₁₄ O |
| Molecular weight range: | 150.21 |

The substance identity as defined in Directive 2008/44/EC and in the review of carvone as a plant protection product are not completely in agreement with ECHA's Substance Identity Guidance document.

Structural formula:



l-carvone



d-carvone

1.2 Composition of the substance

Table 6: Constituents (non-confidential information)

| Constituent | Typical concentration | Concentration range | Remarks |
|-------------------------|-----------------------|---------------------|--|
| l-carvone and d-carvone | | | The active substance shall have a minimum purity of 930 g/kg carvone in the technical product with a d/l ratio of at least 100:1 (defined in Directive 2008/44/EC) |

Current Annex VI entry: none

Table 7: Impurities (non-confidential information)

| Impurity | Typical concentration | Concentration range | Remarks |
|--------------|-----------------------|---------------------|--|
| Confidential | | | No relevant impurities for the purpose of classification and labelling |

Current Annex VI entry: none

Table 8: Additives (non-confidential information)

| Additive | Function | Typical concentration | Concentration range | Remarks |
|----------|----------|-----------------------|---------------------|-------------------------|
| | | | | There are no additives. |

1.2.1 Composition of test material

Carvone is a mixture of 2 stereoisomers: d- (or +)-carvone and l- (or -)-carvone. The (eco)toxicological database available consists of studies performed with d-carvone, l-carvone, or carvone with a nonspecified isomer ratio. Although d- and l-carvone cannot be considered to be toxicological identical compounds, there is no information indicating that one of the isomers is clearly more toxic than the other. For acute toxicity, irritation and sensitisation, carvone with a non-specified isomer ratio has been used (with the exception of acute inhalation toxicity, where a mixture with an isomer ratio of 4:1 is used). For repeated dose toxicity and mutagenesis, studies are performed either with d-carvone or with carvone with a non-specified isomer ratio. For carcinogenesis and reproduction toxicity, only studies with d-carvone were available. Therefore, the toxicity of the l- and d-isomer for these hazard properties cannot be compared based on the available data. In most studies using carvone with an unspecified isomer ratio, it is assumed that d-carvone was the main isomer. Therefore, all information available on d-, l-, and unspecified carvone is summarised below for evaluation.

1.3 Physico-chemical properties

Table 9: Summary of physico - chemical properties

| Property | Value | Reference | Comment (e.g. measured or estimated) |
|---|---|-----------|--------------------------------------|
| State of the substance at 20°C and 101,3 kPa | Colourless to yellow liquid with a penetrating odour | DAR, 2006 | |
| Melting/freezing point | -43°C | DAR, 2006 | |
| Boiling point | 233°C | DAR, 2006 | |
| Relative density | 0.96 kg/l | DAR, 2006 | |
| Vapour pressure | 1.9 Pa at 20 °C | DAR, 2006 | |
| Surface tension | 57.2 mN/m for a 90 % saturated concentration in water at 20 °C | DAR, 2006 | |
| Water solubility | 27 to 79 mg/l at 20 °C (no pH dependency) | DAR, 2006 | |
| Partition coefficient n-octanol/water | 2.4 (at pH 4, 7 and 10) at 20 °C | DAR, 2006 | |
| Flash point | 98 °C | DAR, 2006 | |
| Flammability | flash point: 98 ⁰ C | DAR, 2000 | |
| Explosive properties | Not explosive | DAR, 2006 | |
| Self-ignition temperature | 295 ⁰ C | DAR, 2000 | |
| Oxidising properties | Not oxidising | DAR, 2000 | |
| Granulometry | No data | | |
| Stability in organic solvents and identity of relevant degradation products | No data | | |
| Dissociation constant | Carvone has no groups which will dissociate in a relevant pH range (2-10) | DAR, 2006 | |
| Viscosity | No data | | |
| Volatility; Henry's law constant | 3.6-10.6 Pa m ³ mol ⁻¹ (as a range because water solubility was given as a range) | DAR, 2000 | calculated |

2 MANUFACTURE AND USES

2.1 Manufacture

Not relevant for this type of report.

2.2 Identified uses

Carvone (d/l) is used in several food stuffs as a flavouring agent. L-carvone is added to chewing gum and d-carvone has been added to food stuff such as biscuits, candies, bread, and meat. Further, d-carvone has been found in (non-)alcoholic beverages. In addition, carvone (d/l) is used in nonfood products. Carvone (d/l) is used in personal care products as a flavour and fragrance agent in toothpaste, mouthwash, soap, and perfume. D-carvone also proved a successful plant growth regulator and pesticide on potato crops, e.g. to prevent or regulate the sprouting of dormant ware and dormant starch potatoes (Wolterink et al., 2009).

3 CLASSIFICATION FOR PHYSICO-CHEMICAL PROPERTIES

3.1 Physico-chemical properties

3.1.1 Summary and discussion of physico-chemical properties

Carvone has a flash point of 98⁰C, is not explosive and not oxidising.

3.1.2 Comparison with criteria

A liquid should be classified as flammable when the flash point is at or below 60°C. Carvone does not meet this criterion.

3.1.3 Conclusions on classification and labelling

Carvone does not need to be classified for physico-chemical properties in both the CLP Regulation and the DSD.

4 HUMAN HEALTH HAZARD ASSESSMENT

4.1 Toxicokinetics (absorption, metabolism, distribution and elimination)

4.1.1 Non-human information

For the present evaluation an ADME study in the rat was not available. Four studies on the kinetics of carvone in volunteers and an in vitro metabolism study using human and rat liver microsomes were available. Incubation of l- and d-carvone with rat liver microsomes yielded l- and d-carveol. Only l-carveol was glucuronidized.

4.1.2 Human information

In male volunteers an oral administration of 100 mg/subject resulted in peak blood concentrations of 15 ng/ml 1.3 h after administration. The elimination of carvone from the blood appears to be rapid, with a calculated half-life in blood of 2.5 h. In a second study in male volunteers, carvone rapidly penetrated the skin. 30 Min following a dermal application of l- and d-carvone in volunteers, peak concentrations in blood were observed. Peak levels for l-carvone were 3.5 times higher than for d-carvone. Calculated half-lives in blood were 33.5 and 37.5 min for l- and d-carvone respectively. The level of excretion of l- and d-carvone in urine was low. After 24 h 1.2

and 1.3% of respectively l- and d-carvone were excreted in urine. Low quantities (<0.25% of dose) of the l-carvone metabolites 4R,6S-(-)-carveol and 4R,6S-(-)-carveol glucuronide were detected.

In two volunteer studies the metabolism of l- and d- carvone was analysed qualitatively and semi-quantitatively. In one study, major metabolites were dihydrocarvonic acid, carvonic acid and uroterpenolone. Minor metabolites were carveol and dihydrocarveol. A second volunteer study indicated that through one metabolic pathway carvone was oxidised and subsequently hydrolysed to yield uroterpenolone. Alternatively, carvone could be oxidised to carvonic acid or dihydrocarvonic acid. Incubation of l- and d-carvone with human liver microsomes yielded l- and d-carveol. Only l-carveol was glucuronidized.

4.1.3 Summary and discussion on toxicokinetics

Based on the data present in the draft assessment report and the addendum no firm conclusion on the similarities and differences in metabolism of carvone between humans and animals can be drawn. The data provide no information on the percentage absorption after oral, dermal or inhalatory exposure, the distribution of carvone or the rate and routes of elimination from the body.

4.2 Acute toxicity

Table 10: Summary table of relevant acute toxicity studies

| Method | Results | Remarks | Reference |
|-------------|------------------------------|---------|-----------|
| OECD TG 401 | LD50 > 2000 mg/kg bw | rats | DAR, 2000 |
| OECD TG 402 | LD50 > 4000 mg/kg bw | rats | DAR, 2000 |
| OECD TG 403 | LC50 > 5.66 g/m ³ | rats | DAR, 2000 |

4.2.1 Non-human information

4.2.1.1 Acute toxicity: oral

One study was performed in accordance with OECD 401. Carvone with a nonspecified isomer ratio was used in this study at a single dose of 2000 mg/kg bw. There was no mortality. Clinical signs observed after acute oral administration included hunched posture and lethargy, and in 2 animals occasional body tremor was noted. No abnormalities were observed at necropsy. The oral LD50 of carvone in rats was >2000 mg/kg bw.

NTP (1990) mentions another acute oral toxicity study, resulting in an LD50 of 1640 mg/kg bw in rats and 766 mg/kg bw in guinea pigs (Jenner, 1964). However, the purity of carvone used in this study is unknown. In addition, the details of this study are very limited. The study is therefore considered as unreliable.

4.2.1.2 Acute toxicity: inhalation

One study was performed in accordance with OECD 403. Carvone with a *d/l* isomer ratio of minimally 4:1 was used in this study at a single dose of 5.66 g/m³. One female died the day after the exposure. During exposure a decreased breathing frequency, and less frequently, post-inspiratory apnoea and superficial breathing were observed. After exposure and increased breathing frequency, post-inspiratory apnoea and dyspnoea were seen. Clinical signs during exposure were restlessness and stress and incoordination and tremors. A dirty and wet fur was observed 24-48h after treatment.

Alopecia was observed in a few rats at days 7-13. Body weight gain was impaired in most rats during the first week after treatment. Normal body weight gain was observed in the second week, except for two females that showed only marginal body weight gain.

Pathology revealed no abnormalities, except in the female that died the day after exposure: dark foamy lungs, light coloured liver and air-filled stomach and intestines were observed. The respiratory LC50 of carvone in rats was $>5.66 \text{ g/m}^3$.

4.2.1.3 Acute toxicity: dermal

One study was performed in accordance with OECD 402. Carvone with a nonspecified isomer ratio was used in this study at a single dose of 4000 mg/kg bw. No vehicle was used. There was no mortality. After acute dermal exposure no systemic or skin effects were seen. The dermal LD50 of carvone in rats was $>4000 \text{ mg/kg bw}$.

4.2.1.4 Acute toxicity: other routes

No data available.

4.2.2 Human information

No data available.

4.2.3 Summary and discussion of acute toxicity

The oral LD50 in rat was $>2000 \text{ mg/kg bw}$ (*ratio d/l unspecified*), the dermal LD50 in rat was $>4000 \text{ mg/kg bw}$ (*ratio d/l unspecified*), and the inhalation LD50 in rat was $>5.66 \text{ g/m}^3$ (*d/l isomer ratio of minimally 4:1*). No mortality was observed after oral and dermal exposure; after inhalation one female died the day after exposure.

Clinical signs observed after acute oral administration included hunched posture and lethargy, and in 2 animals occasional body tremor was noted. No abnormalities were observed at necropsy. After acute dermal exposure no systemic or skin effects were seen. After inhalation exposure respiratory effects were noted, alopecia was observed, and body weight gain was impaired.

4.2.4 Comparison with criteria

According to the criteria of the DSD, substances should not be classified when: oral LD50 $>2000 \text{ mg/kg bw}$; dermal LD50 $>2000 \text{ mg/kg bw}$ and inhalation LC50 $>5 \text{ mg/l}$ (dusts and mists). Carvone does not meet the DSD criteria.

According to the criteria of the CLP Regulation, substances should not be classified when: oral LD50 $>2000 \text{ mg/kg bw}$; dermal LD50 $>2000 \text{ mg/kg bw}$ and inhalation LC50 $>5 \text{ mg/l}$ (dusts and mists). Carvone does not meet the CLP criteria.

4.2.5 Conclusions on classification and labelling

Carvone does not need to be classified for acute oral, dermal and inhalation toxicity in both the CLP Regulation and the DSD.

4.3 Specific target organ toxicity – single exposure (STOT SE)

4.3.1 Summary and discussion of Specific target organ toxicity – single exposure

Clinical signs observed after acute oral administration (2000 mg/kg bw) included hunched posture and lethargy, and in 2 animals occasional body tremor was noted. No abnormalities were observed at necropsy. After acute dermal exposure (2000 mg/kg bw) no systemic or skin effects were seen. After inhalation exposure (5.66 g/m³) respiratory effects were noted, alopecia was observed, and body weight gain was impaired.

4.3.2 Comparison with criteria

According to the criteria of the CLP Regulation for single dose exposure, substances should not be classified when single dose at: oral >2000 mg/kg bw; dermal > 2000 mg/kg bw and inhalation > 5 mg/l (dusts and mists). Carvone does not meet these CLP criteria. In addition, substances can be classified for STOT-SE based on respiratory tract irritation. Although respiratory effects as altered breathing frequency, post-inspiratory apnoea and superficial breathing were observed in some animals, these effects do not necessarily indicate irritation. Since no direct indications of irritation were observed (as redness or oedema), carvone does not meet the criteria for classification based on respiratory tract irritation.

4.3.3 Conclusions on classification and labelling

No classification for acute toxicity is required for carvone.

4.4 Irritation

4.4.1 Skin irritation

Table 11: Summary table of the skin irritation study

| Scores observed after | 30-60 minutes | 24 hours | 48 hours | 72 hours | 7 days |
|-----------------------|---------------|----------|----------|----------|----------|
| Erythema | 1,1,1 | 1,2,1 | 1,2D,0 | 1D,2D,0 | 0D,0D,0D |
| Edema | 1,2,1 | 1,2,0 | 0,1,0 | 0,1,0 | 0,0,0 |

D=desquamation

4.4.1.1 Non-human information

One skin irritation study was performed in accordance with OECD guideline 404. Carvone (isomers not specified) was mildly irritant to rabbit skin (Table 11). Desquamation persisted through the end of the observation period. The extent of desquamation was not reported.

4.4.1.2 Human information

No data available.

4.4.1.3 Summary and discussion of skin irritation

Carvone is mildly irritating to the skin. Desquamation persists through the end of the observation period. The extent of desquamation was not reported.

4.4.1.4 Comparison with criteria

The mean value of the scores for either erythema and eschar formation or oedema calculated is less than 2, the cut off value for classification according to DSD. However, since desquamation persists in more than 2 animals through the end of the observation period, carvone does fulfil the DSD criteria for classification as Xi and R38.

The mean value of the scores for either erythema and eschar formation or oedema per animal is too low to fulfil the classification criteria according to the CLP regulation (<2.3). However, because desquamation persists through the end of the observation period in 3 tested animals, carvone fulfils the criteria (number 2 of table 3.2.2) for classification as a skin irritant (category 2, H315) of the CLP Regulation.

4.4.1.5 Conclusions on classification and labelling

Classification is required for a substance with persistent irritation to the skin. Carvone is classified as a skin irritant with Xi and R38 according to the DSD and as category 2 irritant (H315) according to the CLP Regulation because desquamation persists through the end of the observation period.

4.4.2 Eye irritation

Table 12: Summary table of the eye irritation study

| Scores observed after | 1 hour | 1 day | 2 days | 3 days |
|-----------------------|--------|-------|--------|--------|
| Cornea | | | | |
| degree of opacity | d, 0,0 | 1,0,0 | 1,0,0 | 0,0,0 |
| area of opacity | 4,0,0 | 2,0,0 | 1,0,0 | 0,0,0 |
| Iris | 1,1,1 | 1,0,0 | 0,0,0 | 0,0,0 |
| Conjunctiva redness | 1,1,1 | 2,1,1 | 1,0,0 | 0,0,0 |
| Conjunctiva chemosis | 1,1,1 | 1,0,0 | 0,0,0 | 0,0,0 |
| Conjunctiva discharge | 2,0,0 | 2,0,0 | 0,0,0 | 0,0,0 |

d= dulling of the normal lustre of the corneal surface.

The rabbit treated without anaesthetic showed an initial pain reaction of 3 (scale not specified).

4.4.2.1 Non-human information

One eye irritation study was performed in accordance with OECD guideline 405. Carvone (isomers not specified) was found to be mildly irritating to the rabbit eye (Table 12).

4.4.2.2 Human information

No data available.

4.4.2.3 Summary and discussion of eye irritation

Carvone was found to be mildly irritating to the rabbit eye.

4.4.2.4 Comparison with criteria

Carvone was found to be mildly irritating to the rabbit eye. However, the mean value of the scores for corneal opacity, iris lesions, conjunctival redness and conjunctival oedema for each animal are below the cut-of values for classification according to the CLP Regulation (1, 1, 2 and 2, respectively in 2 out of 3 animals).

Carvone was found to be mildly irritating to the rabbit eye. However, the mean value of the scores for corneal opacity, iris lesions, conjunctival redness and conjunctival oedema are below the cut-of values for classification according to the DSD Regulation (2, 1, 2.5 and 2, respectively).

4.4.2.5 Conclusions on classification and labelling

Carvone does not need to be classified for eye irritation in both the CLP Regulation and the DSD.

4.4.3 Respiratory tract irritation

4.4.3.1 Non-human information

No data available. The skin and eye irritation study (4.4.1) shows some irritation potential. In the acute inhalation study, during and shortly after exposure respiratory changes were observed. During exposure a decreased breathing frequency, and less frequently, post-inspiratory apnoea and superficial breathing were observed. After exposure an increased breathing frequency, post-inspiratory apnoea and dyspnoea were seen. Pathology revealed no abnormalities, except in the female that died the day after exposure: dark foamy lungs, light coloured liver and air-filled stomach and intestines were observed. The respiratory LC50 of carvone in rats was >5.66 g/m³.

4.4.3.2 Human information

No data available.

4.4.3.3 Summary and discussion of respiratory tract irritation

4.4.3.4 Comparison with criteria

Although respiratory effects as altered breathing frequency, post-inspiratory apnoea and superficial breathing were observed in some animals in the acute inhalation study, these effects do not necessarily indicate irritation. Since no direct indications of irritation were observed (as redness or oedema), carvone does not meet the criteria for classification based on respiratory tract irritation.

4.4.3.5 Conclusions on classification and labelling

Carvone does not need to be classified for respiratory tract irritation in the DSD regulation.

4.5 Corrosivity

4.5.1 Non-human information

No data available.

4.5.2 Human information

No data available.

4.5.3 Summary and discussion of corrosivity

The skin irritation study (4.4.1) shows no signs of corrosion.

4.5.4 Comparison with criteria

The skin irritation study (4.4.1) shows no need for classification for corrosion in both the CLP Regulation and the DSD.

4.5.5 Conclusions on classification and labelling

Carvone does not need to be classified for corrosivity in both the CLP Regulation and the DSD.

4.6 Sensitisation

4.6.1 Skin sensitisation

4.6.1.1 Non-human information

One skin sensitisation GPMT study was performed in accordance with OECD guideline 406. After dermal challenge with topical 75% carvone (isomers not specified, induction intradermal 5%, topical undiluted) 9/19 animals responded with slight to moderate erythema at 24h with 5 animals showing a reaction extending beyond the test site. At 48h, only 1 animal showed erythema but in 3 animals desquamation was observed. After challenge with topical 50% carvone, 10/19 animals showed erythema at 24h of which 4 animals showed an extended reaction. At 48h, 1 animal showed erythema but two showed desquamation. In control animals, no erythema reactions were observed at all.

4.6.1.2 Human information

Several case studies are available in which patch tests for (l-)carvone were positive (Worm, 1998; Corazza, 2002; Quertermous, 2010). In addition, patch tests for l-carvone were positive in 15 out of 541 patients with contact allergy (Paulsen, 1993). All cases had used spearmint toothpaste, spearmint chewing gum or shampoo with a mint scent

4.6.1.3 Summary and discussion of skin sensitisation

Carvone scored positive in a GPMT test (9/19 and 10/19 positive after challenge with topical 75 and 50% carvone, respectively (*ratio d/l unspecified*)).

4.6.1.4 Comparison with criteria

In the DSD, a substance should be classified with Xi and R43 according to EU labelling criteria, when more than 30% of the animals showed a positive response. This criterion is fulfilled.

In the CLP Regulation, a substance should be classified as a skin sensitiser (category 1, H317) when a positive response in a GPMT test (in >30% of the animals) is observed. This criterion is fulfilled. Subcategory 1B is required when $\geq 30\%$ responses at > 1% intradermal induction dose or when the substance shows a low to moderate frequency of sensitisation in humans.

4.6.1.5 Conclusions on classification and labelling

Carvone should be classified with Xi and R43 according to the DSD and Skin Sens Cat1B: H317 according to the CLP Regulation, respectively.

4.6.2 Respiratory sensitisation

No data available.

4.6.2.1 Non-human information

No data available.

4.6.2.2 Human information

No data available.

4.6.2.3 Summary and discussion of respiratory sensitisation

No data available. There is no need for classification for respiratory sensitisation.

4.6.2.4 Comparison with criteria

No data available.

4.6.2.5 Conclusions on classification and labelling

There is no need for classification for respiratory sensitisation, based on absence of data.

4.7 Repeated dose toxicity

The results of the relevant subacute and (sub)chronic toxicity studies are summarised in the following table.

Table 13: Summary table of relevant repeated dose toxicity studies

| Duration | Species | Dose (mg/kg bw/day) | Results | Remarks |
|----------|---------|------------------------------|------------------|-----------------------------|
| 14 days | rats | 0, 50, 200, 1000 | 50 mg/kg bw/day | NOAEL |
| 16 days | mice | 0, 150, 328, 723, 1590, 3000 | | NOAEL cannot be established |
| 90 days | rats | 0, 5, 30, 180 | 5 mg/kg bw/day | NOAEL |
| 13-weeks | mice | 0, 93, 187, 375, 750, 1500 | 375 mg/kg bw/day | NOAEL |
| 28-weeks | rats | 0, 50, 125, 500 | | NOAEL cannot be established |
| 2 years | mice | 0, 375, 750 | 375 mg/kg bw/day | LOAEL |

4.7.1 Non-human information

4.7.1.1 Repeated dose toxicity: oral

In a subacute study with rats (14 days, carvone, *ratio d/l unspecified*) 100% mortality was observed at levels of 1000 mg/kg bw/day while clinical signs were dose-related increased at 200 and 1000 mg/kg bw/day. At 200 mg/kg bw, slight effects were noted on haematological and biochemical parameters and absolute and relative kidney weight of males was significantly increased. Macroscopy revealed effects on the forestomach only in dead animals. The NOAEL in this study was 50 mg/kg bw/day.

In a subacute study with mice (16 days, d-carvone) 100% mortality was observed at 1590 mg/kg bw/day and above while clinical signs were dose-related increased at 723 mg/kg bw/day and above. Relative liver weights were increased and thymus weights decreased in all dose groups. In addition, food intake, haematological and biochemical analysis were not performed. Therefore, a NOAEL cannot be established in this study.

In a well performed 90-day study (carvone, *ratio d/l unspecified*) with rats clinical signs and slight decreases in body weight gain were observed at the highest dose (180 mg/kg bw/day). At 30 mg/kg bw/day and above various haematological and biochemical effects were observed. Absolute and relative liver and kidney weights were dose-relatedly increased (absolute liver weight <20%, absolute kidney weight up to 43%) while thymus weights were decreased (<20%). Macroscopy revealed enlarged kidneys at 180 mg/kg bw/day and histopathological analysis showed tubular necrosis at 30 mg/kg bw/day and above. The mechanism of kidney toxicity was further addressed. Microscopical re-evaluation of the kidney slides revealed in males of the 30 and 180 mg/kg bw/day groups slight to severe tubular changes, characterised by severe proteinaceous (hyalin) droplets within the proximal tubular cells, accompanied by epithelial cell necrosis and the occurrence of granular casts in the outer medulla, and proteinaceous casts and regenerating tubules. In addition, the staining with antibody against α_{2u} -globulin showed highly positive staining in the kidney slides of males of the high dose group. It is concluded that the renal histopathological changes in the kidney of male rats treated with carvone at doses of 30 and 180 mg/kg bw/day are the result of accumulation of α_{2u} -globulin in the proximal tubular cells. As this protein is not present in higher mammals including man, these α_{2u} -globulin-related effects can be considered not relevant for exposure risk assessment of carvone in man. The NOAEL in this study is 5 mg/kg bw/day.

Table 14: Summary table of the repeated dose toxicity study

| Dose groups mg/kg bw/day | 0 | | 5 | | 30 | | 180 | | dose related |
|-----------------------------|-----------------------------------|------|-------|------|---------|---------|---------|---------|--------------|
| | m | f | m | f | m | f | m | f | |
| Mortality | No mortality occurred | | | | | | | | |
| Clinical signs | | | | | | | | | |
| -alopecia | 4/10 | 5/10 | 1/10 | 1/10 | 0/10 | 0/10 | 4/10 | 4/10 | |
| -salivation | 0/10 | 1/10 | 1/10 | 3/10 | 2/10 | 2/10 | 10/10 | 10/10 | |
| -rales | 1/10 | 1/10 | 0/10 | 0/10 | 1/10 | 1/10 | 2/10 | 2/10 | |
| Body Weight (gain) a | | | | | | | (d) | (d) | |
| Food Consumption b | | | | | | | i | i | |
| Ophthalmoscopy | No toxicological relevant effects | | | | | | | | |
| Haematology | | | | | | | | | |
| -RBC | | | | | | | d | i | |
| -MCV | | | | | | | i | | |
| -PT | | | | | | | ds | | |
| -PTT | | | | | | is | | is | dr |
| Blood Biochemistry | | | | | | | | | |
| -albumin | | | | | | ds | | ds | |
| -ALAT | | | | | | | d | d | |
| -ASAT | | | | | d | | ds | d | |
| -Bilirubin | | | | | | | ds | | |
| -Cholesterol | | | | | | | i | | |
| -Triglycerids | | | | | i | | i | | |
| -Cl | | | | | ds | | ds | | |
| -inorg. P | | | | | ds | | ds | | |
| -Ca | | | | ds | | ds | | ds | |
| Organ Weights abs | | | | | | | | | |
| -liver | 15.43 | 8.01 | 14.61 | 8.34 | 15.16 | 8.92 | 16.20 | 9.28 is | dr |
| -kidneys | 3.02 | 1.63 | 3.07 | 1.69 | 3.42 | 1.85 is | 4.33 is | 1.95 is | dr |
| -thymus | | 0.35 | | 0.33 | | 0.28 ds | | 0.30 | |
| Organ Weights rel | | | | | | | | | |
| -liver | 2.95 | 2.75 | 2.83 | 2.78 | 2.94 | 3.09 is | 3.24 is | 3.23 is | dr |
| -kidneys | 0.58 | 0.56 | 0.60 | 0.57 | 0.66 is | 0.64 is | 0.87 is | 0.68 is | dr |
| -thymus | | 0.12 | | 0.11 | | 0.10 ds | | 0.10 d | |
| -ovaries | | | | | | | | i | |
| Macroscopy | | | | | | | | | |
| -Kidney enlarged ° | 0/10 | 0/10 | 0/10 | 0/10 | 0/10 | 0/10 | 8/10 | 0/10 | |
| Microscopy | | | | | | | | | |
| -Kidney | | | | | | | | | |
| basophilic tubules | 5/10 | 0/10 | 6/10 | 0/10 | 1/10 | 1/10 | 0/10 | 1/10 | |
| tubular necrosis | 0/10 | 0/10 | 0/10 | 0/10 | 8/10 | 0/10 | 10/10 | 1/10 | dr |

m/f = male/female, i/d = increased/decreased, is/ds = increased/decreased significantly, np = not performed, a/r = absolute/relative, dr = dose-related. To assess significance Dunnett test, Steel test and the exact Fisher test (ophthalmoscopic data) were used.

a) Body weight gain of high dose animals was slightly decreased in week 4-6.

b) Overall, the food intake of high dose animals was higher: mean values over the whole treatment period for males (and females) were 62 (70), 63 (72), 63 (70), and 71 (77) g/kg bw/day for the 0, 5, 30, and 180 mg/kg bw/day dose groups respectively.

c) Besides enlargement, kidneys of the high dose group were discoloured, pale, and granular.

In a 13-week gavage study (d-carvone) with mice mortality and clinical signs were observed at 1500 mg/kg bw/day. At 750 mg/kg bw/day, relative liver weight was significantly increased. The NOAEL in this study is 375 mg/kg bw/day, but food intake, haematological and biochemical analysis were not performed.

It has been demonstrated that oral treatment of rats with 2500 mg/kg food for 1 year (equivalent to 125 mg/kg bw/day) and 1000 mg/kg food for 28 weeks (equivalent to 50 mg/kg bw/day) showed no effects. At 10000 mg/kg food for 16 weeks (equivalent to 500 mg/kg bw/day) growth retardation and testicular atrophy were observed. However, since these studies showed major shortcomings compared to current guidelines these results can be used as indicative only.

4.7.1.2 Repeated dose toxicity: inhalation

No data available.

4.7.1.3 Repeated dose toxicity: dermal

No data available.

4.7.1.4 Repeated dose toxicity: other routes

No data available.

4.7.1.5 Human information

4.7.1.6 Other relevant information

4.7.1.7 Summary and discussion of repeated dose toxicity

In a 90 days gavage study in rats it was concluded that treatment with d-carvone induced enlarged kidneys and tubular necrosis in males, and increases in partial thromboplastine time (PTT), liver and kidney weights, and significant decreases in serum albumin, Ca and thymus weight in female rats at doses of 30 or 180 mg/kg bw/day. It is concluded that the renal histopathological changes in the kidney of male rats treated with carvone at doses of 30 or 180 mg/kg bw/day are the result of accumulation of α_{2u} -globulin in the proximal tubular cells. As this protein is not present in higher mammals including man, these α_{2u} -globulin-related effects can be considered not relevant for exposure risk assessment of carvone in man. However, since in the females of the 30 mg/kg bw/day group the absolute and relative increases in liver and kidney weight and the decrease in absolute and relative thymus weight were larger than 10 % (11-20%), the effects at 30 mg/kg bw/day were considered toxicologically relevant. Nevertheless, since no histopathological changes were observed in the liver and thymus, the changes in the weight of these organs are not considered to be serious damage.

4.7.1.8 Summary and discussion of repeated dose toxicity findings relevant for classification according to DSD

Increased liver and kidney weights, decreased thymus weight, and macroscopically and microscopically changes in the kidneys especially in males were observed at oral doses of 30 or 180 mg/kg bw/day. The renal histopathological changes in the kidney of male rats treated with carvone at doses of 30 or 180 mg/kg bw/day are the result of accumulation of α_{2u} -globulin in the proximal tubular cells, which is not considered relevant for man. No histopathological changes in liver and thymus were described. Significant decreases in serum calcium levels were observed in all treated female groups. However, since no changes in calcium were observed in males and, moreover, a dose-relationship was lacking, this effect is considered not to be toxicological relevant. In addition, another study with oral treatment of rats at doses of 50 and 125 mg/kg bw/day for 28 weeks showed no effects. Taken together, these studies suggest that carvone-induced effects are not severe at doses ≤ 100 . No dermal and inhalation data are available for carvone.

4.7.1.9 Comparison with criteria of repeated dose toxicity findings relevant for classification according to DSD

The cut-off value for R48/22 is 50 mg/kg bw/day in the DSD (in a 90 day repeated dose study). Significant effects on weights of kidney, liver, and thymus as well as serum parameters have been observed at doses of 30 mg/kg bw/day in a 90 day repeated dose study. Microscopical changes, however, were only observed in kidney and are considered not relevant for man. Since no histopathological changes were observed in the liver and thymus, the changes in the weight of these organs are also not considered to be serious damage. Some changes in serum parameters are considered not exposure related because a dose-relationship is lacking. Thus the effects observed at the dose of 30 mg/kg bw/day in the 90 day repeated dose study are considered not severe enough for R48 classification. This conclusion is supported by another study in which no effects were observed at doses of 50 and 125 mg/kg bw/day for 28 weeks of treatment.

4.7.1.10 Conclusions on classification and labelling of repeated dose toxicity findings relevant for classification according to DSD

Carvone does not need to be classified for repeated dose toxicity according to DSD.

4.8 Specific target organ toxicity (CLP Regulation) – repeated exposure (STOT RE)

4.8.1 Summary and discussion of repeated dose toxicity findings relevant for classification as STOT RE according to CLP Regulation

Please see 4.7.1.8.

4.8.2 Comparison with criteria of repeated dose toxicity findings relevant for classification as STOT RE

The cut-off values for STOT RE2 are $10 < C \leq 100$ mg/kg bw/day in the CLP Regulation (in a 90 day repeated dose study). Although significant effects on kidney, liver, thymus and serum parameters have been observed at doses of 30 and 180 mg/kg bw/day, these effects do not indicate organ dysfunction and therefore are considered not severe enough for STOT RE2 classification (see 4.7.1.9).

4.8.3 Conclusions on classification and labelling of repeated dose toxicity findings relevant for classification as STOT RE

Carvone does not need to be classified for STOT RE according to CLP.

4.9 Germ cell mutagenicity (Mutagenicity)

Table 15: Summary table of relevant in vitro and in vivo mutagenicity studies

| Method | Results | Remarks | Reference |
|---------------------------|----------------------------------|---|-----------|
| OECD TG 471 | negative | Ames test | DAR, 2000 |
| OECD TG 471 | negative | Ames test | DAR, 2000 |
| OECD TG 476 | equivocal | Gene mutation in mouse lymphoma cells | DAR, 2000 |
| OECD TG 473 | Positive (-S9) Negative (+S9) | Chromosome aberrations in human lymphocytes | DAR, 2000 |
| OECD TG 473 like protocol | equivocal | Chromosome aberrations in CHO cells | DAR, 2000 |
| OECD TG 479 | positive | Sister Chromatid Exchanges in CHO cells | DAR, 2000 |
| | negative | in vivo micronucleus test | DAR, 2000 |
| OECD TG 468 | negative | in vivo UDS assay in the liver | DAR, 2005 |

4.9.1 Non-human information

4.9.1.1 In vitro data

In an Ames test, carvone (isomer ratio not specified) was tested in triplicate in two independent experiments. Cytotoxicity was monitored in a preliminary test showing that the number of colonies was dose-dependently reduced without S9-mix at 333 and 1000 µg/plate with no colony growth at 3330 and 5000 µg/plate (-S9). In the presence of S9-mix colony reductions were observed at 1000 and 3330 µg/plate while at 5000 µg/plate no colony growth was observed.

In the absence of S9, in the first experiment a slight increase in the number of revertant colonies was observed for TA1535 at the highest dose whereas in the second experiment a slight increase was observed for TA1537 at the two highest dosages. However, both increases were marginal and were not observed in the independent duplo experiment (see Table 16). Under the experimental conditions used, carvone is considered to be non-mutagenic in *Salmonella typhimurium*.

Table 16: Mean number of revertant (His+) colonies/3 replicate plates (± S.D.) with different strains of *S. typhimurium*

| Dose (µg/plate) | TA98 | | TA100 | | TA1535 | | TA1537 | |
|--------------------|-------|-------|------------|--------|--------|-------|--------|-----|
| | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 |
| Exp. 1: | | | | | | | | |
| 0 ^a | 12± 3 | 25± 3 | 134± 28 | 143± 6 | 9±2 | 10± 2 | 12± 0 | 5±1 |

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| | | | | | | | | |
|----------------|------------|------------------|--------------------------|---------------------|------------|------------------|-------------|------------------|
| 10 | 17± 5 | | 120;13 3 ^b | | 5± 3 | | 6± 3 | |
| 33 | 18± 4 | 25 ± 4 | 118± 12 | 148± 11 | 7± 1 | 10± 2 | 9± 4 | 5 ± 2 |
| 100 | 13± 6 | 19± 2 | 134± 17 | 143± 12 | 6± 3 | 5± 3 | 4± 2 | 5± 3 |
| 333 | 13±5 | 24± 3 | 118± 12 | 159± 20 | 11± 5 | 4± 1 | 6± 1 | 9±4 |
| 1000 | 14± 5 | 24±6 | 127± 21 | 125± 11 | 16±1 | 4± 1 | 6± 1 | 7± 3 |
| 3330 | | 15±5 | | 5±6 ^d | | 3±2 ^c | | 0±1 ^e |
| Pos. contr. | 130±20 | 557± 67 | 944± 21 | 912± 61 | 236± 21 | 114± 15 | 604±13 3 | 241± 12 |
| Exp. 2: | | | | | | f | | f |
| 0 ^a | 14± 3 | 26± 5 | 134± 12 | 159± 11 | 8± 3 | 7± 0 | 8± 6 | 7± 1 |
| 10 | 14± 6 | | 140 ± 1 | | 11± 3 | | 7± 4 | |
| 33 | 15± 2 | 21± 4 | 141± 13 | 151± 18 | 8± 2 | 6± 2 | 8±2 | 8±2 |
| 100 | 13± 7 | 15± 5 | 149± 5 | 139± 10 | 11± 2 | 8± 2 | 6± 3 | 5±1 |
| 333 | 16± 2 | 26± 4 | 151± 19 | 162± 6 | 9± 4 | 7± 2 | 12± 3 | 7± 4 |
| 1000 | 14± 2 | 25±3 | 109± 5 | 138± 15 | 9± 3 | 3± 1 | 14± 3 | 4± 3 |
| 3330 | | 8±2 ^c | | 46± 12 ^d | | 2±1 ^c | | 1±0 ^d |
| Pos. contr. | 162± 17 | 784± 45 | 1125±5 8 | 990±43 | 337± 23 | 318± 37 | 451± 44 | 232± 35 |

a) 0.1 ml DMSO

b) One plate infected with other bacteria

c) Bacterial background lawn slightly reduced

d) Bacterial background lawn moderately reduced

e) Bacterial background lawn extremely reduced

f) Assay with S9-mix was performed in an independent experiment

In a second Ames test, carvone (isomer ratio not specified) was tested in triplicate in two independent experiments. The highest dosage was limited by toxicity (not defined) or solubility. None of the trials (either without S9 or in the presence of S9 (either from rat or syrian hamster liver) did show any increase in the number of revertant colonies. Under the experimental conditions used, carvone is considered to be non-mutagenic in *Salmonella typhimurium* (see table 17).

Table 17: Mean number of revertant (His+) colonies/3 replicate plates (\pm S.D.) with different strains of *S. typhimurium*

| Dose (ug/plate) | TA98 | | TA100 | | TA1535 | | TA1537 | |
|--------------------|--------------|-------------------------------------|--------------|---|--------------|------------------------------|-------------------|-------------------------------|
| | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 |
| Exp. 1: | | | | | | | | |
| 0 | 16 \pm 2.9 | 25 \pm 2.6/ 27 \pm 3 | 97 \pm 2 | 156 \pm 13/ 145 \pm 13 | 5 \pm 1.5 | 11 \pm 2/8 \pm 1.2 | 4 \pm 0.9 | 5 \pm 0.6/ 6 \pm 1 |
| 3.3 | 12 \pm 1 | 29 \pm 1/ 36 \pm 1 | 108 \pm 13 | 121 \pm 4/ 144 \pm 6 | 6 \pm 1 | 7 \pm 2/ 5 \pm 0.3 | 3 \pm 0.3 | 4 \pm 0.3/ 3 \pm 0.6 |
| 10 | 18 \pm 3 | 25 \pm 1/ 27 \pm 1 | 91 \pm 1.5 | 137 \pm 6.5/ 131 \pm 9 | 5 \pm 1 | 10 \pm 1.5/ 3 \pm 0.3 | 2 \pm 0.3 | 4 \pm 1/ 5 \pm 0 |
| 33 | 15 \pm 2 | 26 \pm 1/ 29 \pm 1 | 105 \pm 3 | 123 \pm 4/ 143 \pm 4 | 5 \pm 1 | 6 \pm 1/3 \pm 1 | 2 \pm 1 | 5 \pm 1/ 8 \pm 0.3 |
| 100 | 17 \pm 2 | 25 \pm 1/ 21 \pm 1 | 95 \pm 5 | 115 \pm 7/ 121 \pm 6 | 1 \pm 0.6 | 4 \pm 1/ 7 \pm 0.3 | toxic | 6 \pm 2/ 3 \pm 0.3 |
| 333 | 15 \pm 2 | 27 \pm 3/ 22 \pm 1 | 71 \pm 4 | 94 \pm 6/ 104 \pm 10 | 2 \pm 1 | 10 \pm 1/ 7 \pm 0.3 | toxic | 1 \pm 0.3/ 4 \pm 0.3 |
| Pos. Contr. | 305 \pm 47 | 1741 \pm 264/ 1815 \pm 76 | 492 \pm 75 | 1631 \pm 12 12/ 2162 \pm 100 | 260 \pm 13 | 109 \pm 8/ 192 \pm 13 | 543 \pm 68 | 65 \pm 2/ 125 \pm 16 |
| Exp. 2: | | | | | | | | |
| 0 | 14 \pm 2 | 21 \pm 4/ 25 \pm 1 | 89 \pm 5 | 133 \pm 12/ 6 \pm 2 | 4 \pm 1.5 | 8 \pm 3/ 6 \pm 1.5 | 3 \pm 1 | 6 \pm 1/ 6 \pm 1 |
| 3.3 | 16 \pm 3 | 20 \pm 3/ 23 \pm 5 | 84 \pm 8 | 94 \pm 14/ 119 \pm 10 | 3 \pm 1 | 5 \pm 0.3/ 5 \pm 1 | 3 \pm 1 | 5 \pm 1/ 5 \pm 1 |
| 10 | 15 \pm 0.3 | 15 \pm 3/ 23 \pm 1 | 75 \pm 4 | 105 \pm 7/ 113 \pm 10 | 3 \pm 1 | 5 \pm 1/4 \pm 1 | 2 \pm 0 | 8 \pm 1/ 5 \pm 1 |
| 33 | 11 \pm 1 | 22 \pm 1/ 25 \pm 3 | 89 \pm 4 | 108 \pm 2/ 107 \pm 7 | 3 \pm 0.3 | 4 \pm 1.5/ 3 \pm 1 | 3 \pm 1 | 7 \pm 2/2 \pm 1 |
| 100 | 13 \pm 1 | 22 \pm 2/ 23 \pm 2 | 73 \pm 7 | 100 \pm 5/ 104 \pm 3 | 2 \pm 1 | 3 \pm 1/5 \pm 1 | 3 \pm 1 | 8 \pm 3/ 4 \pm 1 |
| 333 | 10 \pm 2 | 22 \pm 2/ 17 \pm 4 | 48 \pm 9 | 65 \pm 11/ 78 \pm 8 | 1 \pm 1 | 3 \pm 2/ 4 \pm 1 | 3 \pm 1 | 6 \pm 1/ 6 \pm 1 |
| Pos. Contr. | 359 \pm 21 | 973 \pm 201 1969 \pm 57 | 224 \pm 7 | 3091 \pm 157/ 3096 \pm 178 | 122 \pm 11 | 76 \pm 13/ 41 \pm 6 | 1041 \pm 154 | 211 \pm 24/ 261 \pm 12 |

a) The results from the experiment with Aroclor 1254-induced S9 from male rat liver and male hamster liver are presented in one colmn (rat\ hamster)

In a gene mutation test with mouse lymphoma cells (carvone, ratio d/l unspecified), an equivocal response was observed because slight increases in mutation frequency were noted only at cytotoxic concentrations (see Table 18). Cloning efficiency in the main test directly after treatment was dose-

dependently decreased in the presence of S9-mix (up to 25% survival at 333 $\mu\text{g/ml}$) but only decreased to 43% of control at the highest dose in the absence of S9. After 3 days of expression no effect of treatment was observed on the cloning efficiency. The mutation frequency was slightly increased at the highest dosage in all tests (less than a factor 2 (-S9) or about a factor 2 (+S9)). The highest dose with metabolic activation was 333 $\mu\text{g/ml}$ and was associated with emulsification.

Table 18: Cytotoxic and mutagenic response of carvone in the mouse lymphoma L5178Y test system

| Dose ($\mu\text{g/ml}$) | C.E. at day 0 (% of control) | | C.E. at day 3 (absolute %) | | mean no. Of mutants per plate | | mutation frequency x 10^5 | |
|------------------------------|---------------------------------|-----|-------------------------------|-----|-------------------------------------|-----|--------------------------------|------|
| | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 | -S9 | +S9 |
| Exp. 1: | | | | | | | | |
| 0 | 100 | 100 | 69 | 68 | 0.8 | 0.7 | 0.8 | 0.7 |
| 33 | 101 | | 67 | | 0.7 | | 0.7 | |
| 100 | 102 | | 62 | | 0.8 | | 0.9 | |
| 133 | 102 | 87 | 67 | 61 | 0.4 | 0.7 | 0.4 | 0.8 |
| 178 | 43 | 90 | 65 | 64 | 1.1 | 0.5 | 1.1 | 0.5 |
| 237 | | 68 | | 59 | | 0.6 | | 0.7 |
| 333 | | 25 | | 70 | | 1.8 | | 1.7 |
| 0.5mM DMN | | 50 | | 49 | | 6.0 | | 8.2 |
| 2 mM EMS | 91 | | 71 | | 8.8 | | 8.3 | |
| Exp. 2: | | | | | | | | |
| 0 | 100 | 100 | 72 | 77 | 1.9 | 1.5 | 1.8 | 1.3 |
| 100 | 83 | 91 | 76 | 85 | 1.2 | 2.1 | 1.1 | 1.7 |
| 133 | 92 | | 80 | | 1.7 | | 1.4 | |
| 178 | 66 | 67 | 68 | 68 | 0.7 | 1.6 | 0.7 | 1.5 |
| 237 | 112 | 73 | 72 | 72 | 3.2 | 1.6 | 3.0 | 1.5 |
| 333 | | 73 | | 64 | | 3.0 | | 3.1 |
| 0.5mM DMN | | 51 | | 44 | | 6.8 | | 10.2 |
| 2 mM EMS | 125 | | 73 | | 8.4 | | 7.7 | |

Solvent control=DMSO

C.E. = Cloning Efficiency

EMS = Ethylmethanesulphonate

DMN = Dimethylnitrosamine

In an in vitro chromosome aberration test with human lymphocytes (carvone, ratio d/l unspecified), an increase in aberrations was found in the absence of metabolic activation but not in its presence. In the main test, MI was decreased below 50% only at 24h at 178 µg/ml (-S9). In the presence of S9, MI was maximally reduced to 55% of control at 333 µg/ml. In the absence of metabolic activation, carvone induced a significant increase in the number of cells with chromosome aberrations (excluding gaps) at 178 µg/ml in both experiments at 24h. At 100 µg/ml only a small but evident increase in aberrant cells was observed. The main type of aberration observed were chromosomal breaks. At 48h, a small increase in aberrant cells was observed for 75 µg/ml but not for 100 µg/ml. In the presence of metabolic activation, no evident increase of chromosome aberrations was observed but two cases of polyploidy were reported at the highest dose.

In another chromosome aberration test with Chinese Hamster Ovary (CHO) cells (d-carvone), a significant increase in the percentage of aberrant cells was observed at 12.5 and 25 µg/ml in the first trial without metabolic activation. In the second trial a significant increase was observed only at 31.3 µg/ml but not at other (higher) concentrations. In the presence of metabolic activation, an increase in the percentage of aberrant cells was observed at the highest dose in both trials. However, in trial 1 the highest dose was 250 µg/ml whereas it was 400 µg/ml in the second trial.

A test for Sister Chromatid Exchanges (SCE) in CHO cells (d-carvone) was considered positive since in all trials a significant increase (>20%) in the number of SCE was observed.

4.9.1.2 In vivo data

An in vivo micronucleus test (carvone, ratio d/l unspecified) in mice using intraperitoneal injection of 1000 mg/kg bw was performed. At this dose all animals showed lethargy, no reaction to stimuli, and slow breathing. No mortality occurred. The PCE/NCE ratio was slightly, but not significantly, decreased at 48h in both sexes. No increase in the frequency of micronucleated cells was observed at any time-point. It was concluded that carvone is non-genotoxic (See table 19).

Table 19: Mean number of micronuclei per 1000 polychromatic erythrocytes and ratio of polychromatic/normochromatic erythrocytes

| Group | Treatment | Dose (mg/kg bw) | Sampling time (hours) | No. of micronuclei per 1000 polychromatic erythrocytes (mean ± S.D) ¹ | Ratio of polychromatic/normochromatic erythrocytes |
|----------------|----------------------|-----------------|-----------------------|--|--|
| <i>Males</i> | | | | | |
| A | Vehicle | | 24 | 0.4 ± 0.5 | 0.98 ± 0.04 |
| B | Vehicle ² | | 48 | 0.6 ± 0.9 | 0.91 ± 0.08 |
| C | Carvone | 1000 | 24 | 0.6 ± 0.5 | 0.97 ± 0.06 |
| D | Carvone | 1000 | 48 | 1.0 ± 0.7 | 0.83 ± 0.05 |
| E | CP ³ | 50 | 48 | 10.4 ± 3.0* | 0.34 ± 0.13 |
| <i>Females</i> | | | | | |
| A | Vehicle ² | | 24 | 0.2 ± 0.4 | 0.99 ± 0.06 |
| B | Vehicle | | 48 | 0.4 ± 0.5 | 1.0 ± 0.09 |
| C | Carvone | 1000 | 24 | 0.6 ± 0.9 | 0.95 ± 0.04 |
| D | Carvone | 1000 | 48 | 0.4 ± 0.5 | 0.89 ± 0.06 |
| E | CP ³ | 50 | 48 | 7.2 ± 0.8* | 0.63 ± 0.16 |

1) Five animals per treatment group

2) Corn oil

3) Positive control (cyclophosphamide)

* Significantly different from corresponding control group

An in vivo UDS assay in the liver of male rats with d-carvone was performed in accordance with OECD guideline 468. Doses of 0 (corn oil), 500, 1000, 5000 mg/kg bw were administered by oral gavage. Animals were sacrificed 2-4 or 12-16 h after dosing. Immediately after dosing all animals of the 2000 mg/kg bw group were lethargic. Prior to perfusion at 2-4 or 12-16h after dosing all animals of the 2000 mg/kg bw group had a hunched posture and 4 animals had a rough coat. All animals of the 1000 mg/kg bw group had a hunched posture and one animal had a rough coat. No increase in net nuclear grain count was observed in livers of rats treated with carvone. Carvone did not induce unscheduled DNA synthesis in the test.

4.9.2 Human information

4.9.3 Other relevant information

No data available.

4.9.4 Summary and discussion of mutagenicity

Positive results have been reported in one chromosome aberration in vitro test with human lymphocytes and one sister chromatid exchanges in vitro CHO cells test. Equivocal results have been observed in a gene mutation test with mouse lymphoma cells and chromosome aberration test with CHO cells. Two Ames tests, one in vivo micronucleus test, and one in vivo UDS assay are negative. The in vivo tests results overrule the positive in vitro findings with respect to chromosome aberrations and SCE. Based on these results carvone is considered to be non-genotoxic.

4.9.5 Comparison with criteria

The classification for mutagenicity is based on the total weight of evidence available, with positive results in somatic cell mutagenicity tests in vivo. As the in vivo micronucleus and UDS tests in mice and in rats are negative, it seems no need for classification for mutagenicity. Carvone does not fulfil the criteria (both CLP and DSD) for classification for mutagenicity.

4.9.6 Conclusions on classification and labelling

Carvone is considered to be non-genotoxic. There is therefore no need to classify Carvone for mutagenicity.

4.10 Carcinogenicity

4.10.1 Non-human information

4.10.1.1 Carcinogenicity: oral

NTP performed a carcinogenicity study in mice according to a protocol resembling OECD test guideline 451. In this study mice (50/sex/dose) received 0, 375, or 750 mg d-carvone/kg bw/day by oral gavage. Food intake and haematological analysis were not performed. The results are summarized in the following table.

Table 20: Summary table of the carcinogenicity study

| Dose (mg/kg bw/day) | 0 | | 375 | | 750 | | dose related |
|--|-------------------------------------|-------|---------|---------|---------|---------|-----------------|
| | m | f | m | f | m | f | |
| Mortality | 13/50 | 36/50 | 8/50 | 21/50 | 14/50 | 12/50 | |
| Mean survival (days) | 679 | 639 | 694 | 652 | 631 | 676 | |
| Clinical signs | no toxicologically relevant effects | | | | | | |
| Body weight gain | no toxicologically relevant effects | | | | | | |
| Histopathology | | | | | | | |
| -forestomach | | | | | | | |
| acanthosis, focal | 2/48 | 5/47 | 3/48 | 2/47 | 5/47 | 7/49 | |
| acanthosis, multifocal | 2/48 | 1/47 | 2/48 | 0/47 | 6/47 | 0/49 | |
| -Nasal cavity | | | | | | | |
| glands hyperplasia | 3/50 | 19/49 | 42/50 * | 45/49 * | 44/49 * | 49/50 * | dr |
| atrophy olfact. epithelium | 11/50 | 25/49 | 42/50 * | 46/49 * | 44/49 * | 49/50 * | dr |
| acute multifocal inflammation turbinate | 0/50 | 5/49 | 3/50 | 22/49 * | 27/49 * | 39/50 * | dr |
| -Kidney | | | | | | | |
| chronic focal inflammation | 2/50 | 1/50 | 5/50 | 2/50 | 7/49 | 4/50 | dr |
| -Rectum | | | | | | | |
| acute focal inflammation | 19/48 | 5/47 | 15/45 | 15/45 | 18/44 | 22/45 | dr (f) |
| -Uterus | | | | | | | |
| dilatation | - | 5/50 | - | 7/50 | - | 14/50 | dr (f) |
| endometrium hyperplasia | - | 14/50 | - | 26/50 | - | 27/50 | dr (f) |
| -Lymph node, mesenteric | | | | | | | |
| multifocal lymph. hyperplas. | 11/50 | 2/46 | 7/50 | 3/47 | 10/48 | 14/48 | (f) |
| -Spleen | | | | | | | |
| diffuse lymph. hyperplasia | 4/50 | 4/50 | 2/50 | 3/49 | 2/48 | 16/50 | (f) |
| multifocal lymph. hyperplas. | 12/50 | 1/50 | 11/50 | 2/49 | 10/48 | 3/50 | |
| Tumor incidence (see also below) | No toxicological relevant effects | | | | | | |

m/f = male/female, i/d = increased/decreased, is/ds = increased/decreased significantly, np = not performed, a/r = absolute/relative, dr = dose-related. The probability of survival was estimated by the procedure of Kaplan and Meier. Life Table tests, Logistic regression tests, Cochran-Armitage test and Fisher Exact test were applied to assess significance.

The increased mortality in the females of the control group is most likely caused by an increased incidence of abscesses of the ovary and uterus possibly as a result from infection (not presented in the table above). The lesions in the nose were associated with the presence of foreign material, presumably the corn oil vehicle, which consisted of accumulations of pale yellow foamy or vacuolated material (sometimes with inflammatory exudate). It is possible that the lesions in the nasal mucosa are due to reflux of the gavage material into the nose after the gavage needle was withdrawn.

In addition to these observations, various dose-related effects (especially in females) were observed at histopathological analysis. Some of these effects were already evident at the low dose (e.g. inflammation of the rectum in females, hyperplasia of the uterus). Although the high mortality rate in female controls may have influenced these results it cannot be excluded that these effects are to some extent due to treatment. Therefore, the dose of 375 mg/kg bw/day is considered to be an effect level. In males, no increase of any type of tumour was observed (see table 21). The overall incidence of neoplasms in females was slightly higher in the treated groups. It is likely that this may

be related to lower incidences in the female control group due to the high (early) mortality rate in this group. Moreover, there was no difference in neoplastic incidences between the two treatment groups indicating the absence of any dose-relationship. Therefore, it is concluded that the data do not suggest any carcinogenic effect of d-carvone. Based on dose-related increases in histopathological changes in various organs, the lowest dose used in this study is considered to be an effect level (LOAEL is 375 mg/kg bw/day). A NOAEL cannot be established in this study. Under the conditions of this 2-yr gavage study, there was no evidence of carcinogenic activity of d-carvone.

Table 21: Summary of the incidence of neoplasms

| Dose (mg/kg bw) | 0 | | 375 | | 750 | |
|--|----|----|-----|----|-----|----|
| | m | f | m | f | m | f |
| Liver | 50 | 50 | 50 | 50 | 49 | 50 |
| Hepatocellular carcinoma | 5 | 0 | 3 | 2 | 3 | 1 |
| Hepatocellular adenoma | 2 | 1 | 4 | 0 | 4 | 0 |
| Lymphoma malignant mixed | 2 | 0 | 0 | 3 | 1 | 3 |
| Stomach, forestomach | 48 | 47 | 48 | 47 | 47 | 49 |
| Papilloma squamous | 1 | 0 | 1 | 3 | 0 | 0 |
| Uterus | - | 50 | - | 50 | - | 50 |
| Polyp | - | 0 | - | 0 | - | 2 |
| Lymph node, mesenteric | 50 | 46 | 50 | 47 | 49 | 48 |
| Lymphoma malignant mixed | 1 | 0 | 1 | 3 | 1 | 2 |
| Spleen | 50 | 50 | 50 | 49 | 48 | 50 |
| Lymphoma malignant mixed | 2 | 1 | 1 | 4 | 1 | 4 |
| Skin | 50 | 50 | 50 | 50 | 50 | 50 |
| Back, subcutaneous tissue, fibroma | 2 | 0 | 0 | 0 | 1 | 0 |
| Subcutaneous tissue, neurofibrosarcoma | 2 | 0 | 1 | 0 | 0 | 0 |
| Subcutaneous tissue, sarcoma | 4 | 0 | 0 | 1 | 2 | 0 |
| Lung | 50 | 50 | 50 | 50 | 50 | 50 |
| Alveolar/bronchiolar adenoma | 7 | 1 | 4 | 6 | 5 | 3 |
| Harderian gland | 50 | 50 | 50 | 50 | 50 | 50 |
| adenoma | 1 | 2 | 2 | 0 | 1 | 0 |
| Kidney | 50 | 50 | 50 | 50 | 49 | 50 |
| Lymphoma malignant mixed | 1 | 0 | 0 | 1 | 0 | 2 |
| Multiple organs | 50 | 50 | 50 | 50 | 50 | 50 |
| Hemangioma | 2 | 0 | 0 | 0 | 0 | 0 |
| Lymphoma malignant mixed | 4 | 1 | 1 | 4 | 1 | 4 |

Only neoplasms with an incidence $>1/\text{sex}/\text{dose}$ are included in the table (NTP, 1990).

4.10.1.2 Carcinogenicity: inhalation

No data available.

4.10.1.3 Carcinogenicity: dermal

No data available.

4.10.2 Human information

No data available.

4.10.3 Other relevant information

No data available.

4.10.4 Summary and discussion of carcinogenicity

A carcinogenicity study was performed in mice. Various dose-related effects (especially in females) were observed at histopathological analysis. Some of these effects were already evident at the 375 mg/kg bw/day (e.g. inflammation of the rectum in females, hyperplasia of the uterus). The dose of 375 mg/kg bw/day is considered to be an effect level. In males, no increase of any type of tumour was observed. The overall incidence of neoplasms in females was slightly higher in the treated groups. It is likely that this may be related to lower incidences in the female control group due to the high (early) mortality rate in this group. Moreover, there was no difference in neoplastic incidences between the two treatment groups indicating the absence of any dose-relationship. Under the conditions of this 2-yr gavage study, there was no evidence of carcinogenic activity of d-carvone.

4.10.5 Comparison with criteria

Classification for carcinogenicity should be on the basis of evidence obtained from animal studies. Under the conditions of the 2-yr gavage study, there was no evidence of carcinogenic activity of d-carvone.

4.10.6 Conclusions on classification and labelling

There is no need to classify Carvone for carcinogenicity.

4.11 Toxicity for reproduction

4.11.1 Effects on fertility

4.11.1.1 Non-human information

One 2 generation study was performed with d-carvone in accordance with OECD guideline 416. The test substance was administered orally (gavage) to rats of the F0 generation at doses of 0, 3, 10 and 30 mg/kg bw/day, starting 10 weeks prior to mating. The treatment of the F1 generation with 90 mg/kg bw/day started when the animals were 3-5 weeks old. The results are shown in the following table.

Table 22: Summary table of the 2 generation study

| | Dose (mg/kg bw/day) | 0 | | 3 | | 10 | | 30 | | 90 | | dr | |
|--------------------------|---|------------------------------------|------------------------------------|---|---|----|-----------------|-------------------------------------|-----------------|-------------------------------------|---|----------|--|
| F0 animals | Sex | m | f | m | f | m | f | m | f | m | f | | |
| | Mortality ^A | 2 | 1 | | 1 | | 1 | | 1 | | | | |
| | Clinical signs | no toxicologically relevant effect | | | | | | | | | | | |
| | Body weight (gain) ^B | | | | | | | | | ds | | | |
| | Food consumption ^C | | | | | | | | | ds | | | |
| | Mating, fertility, gestation | no toxicologically relevant effect | | | | | | | | | | | |
| | Oestrus cycle | no toxicologically relevant effect | | | | | | | | | | | |
| | Sperm parameters | no toxicologically relevant effect | | | | | | | | | | | |
| | Organ weights - kidney - thyroids | | | | | | is ^a | | is ^r | | | | |
| | Pathology | | | | | | | | | | | | |
| | - macroscopy | no toxicologically relevant effect | | | | | | | | | | | |
| | - microscopy ^D | no toxicologically relevant effect | | | | | | | | | | | |
| | F1 pups | Litter size | no toxicologically relevant effect | | | | | | | | | | |
| | Survival index | no toxicologically relevant effect | | | | | | | | | | | |
| | Sex ratio | no toxicologically relevant effect | | | | | | | | | | | |
| Body weight | no toxicologically relevant effect | | | | | | | | | | | | |
| Organ weight | no toxicologically relevant effect | | | | | | | | | | | | |
| Pathology | | | | | | | | | | | | | |
| - macroscopy | no toxicologically relevant effect | | | | | | | | | | | | |
| - microscopy (weanlings) | not performed | | | | | | | | | | | | |
| F1 animals | Mortality ^A | | 1 | | | | 1 | | 2 | | 1 | | |
| | Clinical signs | no toxicologically relevant effect | | | | | | | | | | | |
| | Body weight ^E | no toxicologically relevant effect | | | | | | | | | | | |
| | Food consumption | no toxicologically relevant effect | | | | | | | | | | | |
| | Mating, fertility, gestation | no toxicologically relevant effect | | | | | | | | | | | |
| | Oestrus cycle | no toxicologically relevant effect | | | | | | | | | | | |
| | Sperm parameters | no toxicologically relevant effect | | | | | | | | | | | |
| | Organ weights - liver - kidney | | | | | | | is ^r is ^{ar} | | is ^r is ^{ar} | | dr dr | |
| | Pathology | | | | | | | | | | | | |
| | - macroscopy | no toxicologically relevant effect | | | | | | | | | | | |
| | - microscopy ^D | no toxicologically relevant effect | | | | | | | | | | | |
| F2 pups | Litter size | no toxicologically relevant effect | | | | | | | | | | | |
| | Survival index | no toxicologically relevant effect | | | | | | | | | | | |
| | Sex ratio | no toxicologically relevant effect | | | | | | | | | | | |
| | Body weight | no toxicologically relevant effect | | | | | | | | | | | |
| | Pathology | | | | | | | | | | | | |
| | - macroscopy | no toxicologically relevant effect | | | | | | | | | | | |
| | - microscopy | not performed | | | | | | | | | | | |

dr = dose related; i = increased; d = decreased; is = increased significantly; ds = decreased significantly, a= absolute, r=relative

^A Animals were found dead or killed in extremis due to bad health or delivery difficulties. The deaths are not considered to be treatment-related.

^B Females of the 30 mg/kg bw/day group showed small but significant decreases in body weight gain during lactation on days 4, 7 and 14, and body weight loss on day 4 during lactation.

^C Females of the 30 mg/kg bw/day group showed a significant decrease in food consumption from day 1-4 during lactation.

^D Males of all treated groups showed histopathological changes in the kidney consistent with accumulation of α_{2u} -globulin.

^E Females of the 90 mg/kg bw/day group had an increased body weight and body weight gain. The study authors consider this finding not toxicologically relevant. The present reviewers endorse this view.

The effects of carvone on kidneys of male rats are consistent with the carvone-related induction of α_{2u} -globulin accumulation as was demonstrated in a 90-days toxicity study (see 4.7.1.1), and are not considered to be toxicologically relevant for humans. The reduction in body weight and body weight gain in females of the F0 generation treated with 30 mg/kg bw/day is considered not of toxicological significance since no such effects were observed in females of the F1 generation.

treated with carvone at 30 or 90 mg/kg bw/day. In F1 males treated with carvone at 90 mg/kg bw/day a statistically significant increase in relative liver weight (15%) was observed and considered toxicologically relevant. However, histopathological evaluation on liver of these animals was not performed. The statistically significant increase in relative liver weight in F1 males of the 30 mg/kg bw/day group was small (5%) and is not considered toxicologically relevant. Based on these observations the NOAEL for systemic toxicity is 30 mg/kg bw/day. No effects of carvone on reproductive parameters were observed. Based on this the NOAEL for reproductive effects for treatment of two generations of rats with carvone is 90 mg/kg bw/day.

4.11.1.2 Human information

4.11.2 Developmental toxicity

4.11.2.1 Non-human information

A teratogenicity study was performed with d-carvone in accordance with OECD guideline 414. Female rats were treated on GD 6-20 with 0, 20, 70 and 200 mg/kg bw/day. In addition, acetylcholinesterase (AChE) activity was determined in brain (dams and fetuses) and plasma (dams), collected at gestation day 21. The results are shown in the following table.

Table 23: Summary table of the developmental toxicity study

| | Dose (mg/kg bw/day) | 0 | 20 | 70 | 200 | dr |
|-------------------|---|------------------------------------|----|----|-----|----|
| Maternal effects | Mortality ^A | | | 1 | | |
| | Clinical signs | no toxicologically relevant effect | | | | |
| | Pregnant animals | no toxicologically relevant effect | | | | |
| | Abortions ^A | | | 1 | | |
| | Corpus lutea | no toxicologically relevant effect | | | | |
| | Body weight gain ^B | no toxicologically relevant effect | | | | |
| | Food consumption ^C | | | | | |
| | Pathology | | | | | |
| | - macroscopy | no toxicologically relevant effect | | | | |
| | - microscopy | no toxicologically relevant effect | | | | |
| Litter response | Live fetuses | no toxicologically relevant effect | | | | |
| | Fetal weight | no toxicologically relevant effect | | | | |
| | Pre implantation loss | no toxicologically relevant effect | | | | |
| | Post implantation loss ^D | no toxicologically relevant effect | | | | |
| | Sex ratio | no toxicologically relevant effect | | | | |
| Fetus examination | No. of foetuses | no toxicologically relevant effect | | | | |
| | No. of abnormal foetuses | no toxicologically relevant effect | | | | |
| | No. of dead foetuses ^D | no toxicologically relevant effect | | | | |
| | Malformations | | | | | |
| | External observations and visceral deviations | no toxicologically relevant effect | | | | |
| | Skeletal deviations | no toxicologically relevant effect | | | | |

^A One animal in the mid-dose group showed an early delivery on GD 19 and was killed.

^B Body weight gain was statistically significantly reduced on GD 9 and 12 in the mid-dose group and on GD 9, 12 and 15 in the high-dose group. However, the effects were small and are not considered toxicologically relevant

^C Food consumption in the highest-dose group was decreased from days 6-12 post coitum. Food consumption of the mid-dose group was decreased from GD6-9. However, the reductions were small and not considered toxicologically relevant.

^D In the highest dose group a significant increase in post implantation loss and number of dead foetuses was observed. This was due to one litter consisting of ten dead foetuses. The study authors consider this a chance finding. The present reviewers endorse this view.

No toxicologically relevant effects were observed. No firm conclusions on the effect of carvone on brain and plasma AChE activity can be drawn from the present study. The highest dose of 200 mg/kg bw/day is considered as the NOAEL for maternal as well as developmental toxicity.

4.11.2.2 Human information

No data available.

4.11.3 Other relevant information

No data available.

4.11.4 Summary and discussion of reproductive toxicity

In the 2 generation study in rats, performed in accordance with OECD guideline 416, no reproductive effects were observed in rats treated with d-carvone at 30 mg/kg bw/day for two generations. In the teratogenicity study in rats, performed in accordance with OECD guideline 414, no maternal or foetal toxicity was observed after treatment with d-carvone at doses up to and including 200 mg/kg bw/day.

4.11.5 Comparison with criteria

Classification for reproductive toxicity is based on the effects that have the potential to interfere with sexual function and fertility as well as the development of the offspring. No reproductive effects as well as no maternal or foetal toxicity are observed in two-generation test and developmental toxicity test.

4.11.6 Conclusions on classification and labelling

There is no need to classify carvone for reproductive toxicity.

4.12 Other effects

4.12.1 Non-human information

4.12.1.1 Neurotoxicity

Extracts from various *Mentha* species were analysed for their essential oil contents and were tested for AChE inhibition in vitro. Inhibition of AChE from bovine erythrocytes (0.04 Units/ml) was determined essentially according to the Ellman method. The results show that essential oils from *M. spicata* (> 60% l-carvone) and *M. gentilis* (> 70% l-carvone) as well as l-carvone itself were able to inhibit AChE activity to a moderate extent in vitro. The IC₅₀ for AChE activity inhibition in vitro is about 164 µg/ml for l-carvone.

In a second study, the inhibition of AChE from electric eel in vitro was studied for several monoterpene derivatives using a method published by Hestrin (not specified). All tested derivatives were found to inhibit AChE to some extent, the IC₅₀ for d-carvone being 8×10^{-4} M (0.8 mM), equivalent to 120 µg/ml.

In the new developmental study in the rat (4.11.2.1), AChE activity was measured in brains of dams and foetuses and in plasma of dams at gestation day 21. No effect of d-carvone treatment on AChE levels was observed. It has to be noted that brain and plasma were sampled one day after the last d-carvone administration. It cannot be excluded that at this time point brain and plasma levels of d-carvone were low. Since recovery of AChE activity may occur, no firm conclusions on the effect of d-carvone can be drawn from this study alone.

4.12.1.2 Immunotoxicity

4.12.1.3 Specific investigations: other studies

4.12.1.4 Human information

4.12.2 Summary and discussion

In in vitro experiments, l-carvone as well as Mentha species extracts containing l-carvone were shown to inhibit bovine erythrocyte AChE activity to a moderate extent, the IC₅₀ being 164 µg/ml. D-carvone showed an inhibition of AChE from electric eel in vitro, the IC₅₀ being 120 µg/ml. No effect of d-carvone treatment on AChE levels was observed in vivo. Since recovery of AChE activity may occur in this study, no firm conclusions on the effect of d-carvone can be drawn from this study alone.

4.12.3 Comparison with criteria

In CLP, neurotoxicity should be classified under the category of specific target organ toxicity - repeated exposure, on the basis of animal experiments or epidemiological studies. No effect of d-carvone treatment on AChE levels was observed in vivo.

4.12.4 Conclusions on classification and labelling

The negative results for neurotoxicity in vivo show no need for classification.

5 ENVIRONMENTAL HAZARD ASSESSMENT

5.1 Degradation

5.1.1 Stability

The determination of the hydrolysis as a function of pH was performed according to OECD 111. At pH 4, 7, and 9, a decrease in concentration <10% was observed after 5 days at 50°C. It is concluded that carvone is hydrolytically stable.

5.1.2 Biodegradation

5.1.2.1 Biodegradation estimation

5.1.2.2 Screening tests

A ready biodegradability test was performed with carvone at 1 and 3 mg/l in a closed bottle test (OECD301D). The sampling time interval was 7 days. Within the estimated 10-days window, 51 and 47% degradation was reached, respectively. After 28 days the degradation was 68 and 62%, respectively, with > 60% degradation achieved within a 14-days window. Carvone was assumed to be not inhibitory to bacteria in view of the results. Based on the fact that 60% degradation was reached within the 14-day window, carvone is considered readily biodegradable (EU DAR 2000, EU, 2007).

5.1.2.3 Simulation tests

No data available.

5.1.3 Summary and discussion of degradation

Carvone is hydrolytically stable. The substance is readily biodegradable. Information concerning metabolites of carvone is not available.

5.2 Environmental distribution**5.2.1 Adsorption/Desorption**

No data available.

5.2.2 Volatilisation

Carvone is volatile (VP = 1.9 ± 0.1 Pa at 25 °C and Henry's Law Constant = $3.6 - 10.6$ Pa.m³.mol⁻¹). The variation of the H constant is due to the range in the water solubility: 27-79 mg/l.

5.2.3 Distribution modelling**5.3 Aquatic Bioaccumulation****5.3.1 Aquatic bioaccumulation****5.3.1.1 Bioaccumulation estimation**

The log Kow of carvone is 2.4, indicating that the substance has a low bioaccumulation potential.

5.3.1.2 Measured bioaccumulation data

No data available.

5.3.2 Summary and discussion of aquatic bioaccumulation

No experimental studies into the bioaccumulation of carvone in fish are available. The log Kow of carvone is 2.4, indicating that the substance has a low bioaccumulation potential.

5.4 Aquatic toxicity

Table 24: Summary of relevant information on aquatic toxicity

| Method | Results | Remarks | Reference |
|--------|---------|---------|-----------|
|--------|---------|---------|-----------|

| | | | |
|-----------------------|--|--|-----------|
| OECD 203, Semi-static | 96h-LC50, 67 mg/l | zebrafish | DAR, 2000 |
| OECD 202, static | 48h-EC50, 46 mg/l | daphnia | DAR, 2000 |
| OECD 201, static | 96h ErC50, 41 mg/l 96h NOErC, 11 mg/l | algae | DAR, 2000 |
| Semi-static | EC50, 52 mg/l NOEC, 10 mg/l | <i>Lemna minor with 8 days of exposure</i> | DAR, 2005 |

5.4.1 Fish

5.4.1.1 Short-term toxicity to fish

A semi-static zebrafish toxicity test, with daily renewal of test solutions, was performed with five concentrations of 10, 18, 32, 56, and 100 mg/l, plus water control in accordance with OECD 203. Ten fish per vessel and two vessels per concentration were employed. Test vessels were slightly aerated. Samples of the control medium and test solutions of 10, 32 and 100 mg/l were measured at the start of the test and t=24h, except a sampling of the 100 mg/l at t=2h (instead of t= 24h) because all fish were dead at that time. At 100 mg/l actual concentrations were 95 and 56% of nominal at t = 0 and 2 hours; all fish had died at 2 hours. At 10 and 32 mg/l actual concentrations were 95% of nominal at t = 0. At t = 24h, at 10 and 32 mg/l the actual concentrations were 74% and 80% of nominal respectively. At 96h, 2 fish had died at the nominal concentration of 56 mg/l. The re-calculated LC50, based on the raw data, was 67 mg/l (95% confidence interval 56-77 mg/l) expressed as a nominal concentration.

5.4.1.2 Long-term toxicity to fish

No data available.

5.4.2 Aquatic invertebrates

5.4.2.1 Short-term toxicity to aquatic invertebrates

A static Daphnia toxicity test was performed with five concentrations of 10, 18, 32, 56, and 100 mg/l, plus water control in accordance with OECD 202. Actual concentrations were 92-103% (average 98% of nominal) at t=0. At t = 48h, at 10, 32 and 100 mg/l, actual concentrations were 72, 88 and 87% of nominal, respectively. The reported 48-hours EC50 is 46 mg/l (95% confidence interval 41-53 mg/l) expressed as nominal concentration.

5.4.2.2 Long-term toxicity to aquatic invertebrates

No data available.

5.4.3 Algae and aquatic plants

An algae toxicity test with *Selenastrum capricorrotum* was performed with six concentrations, 3.3, 11, 18, 32, 46 and 99 mg/l, plus control in accordance with OECD 201. Actual concentrations were 97-101% at t = 0, and 69% at 18 and 99 mg/l after 96 hours. An EC50 for growth rate of 41 mg/l

(95% confidence interval 37.8-44.3 mg/l) was calculated. For biomass these values are 26 mg/l (18-32 mg/l). A NOEC for growth rate of 11 mg/l is estimated.

A toxicity test with *Lemna minor* was performed with a nominal concentration range of 4.6, 10, 22, 46 and 100 mg test substance/L in accordance with ISO standard proposal: “water quality – Duckweed growth inhibition test” (2000) and a draft OECD guideline: “*Lemna* sp. growth inhibition test” (1999). The reference substance was 3,5-dichlorophenol. Test solutions were renewed every 2 days. A SIS-medium was used as the test medium. Three replicates were used per concentration with exception of the highest concentration, which had six replicates. In the untreated control, six replicates were used. Each replicate consisted of four plants with 10 fronds per vessel. Actual concentrations were measured at days 0, 2 and 6 in duplicate samples. Test was performed under continuous lighting with light intensity 85-96 $\mu\text{E m}^{-2} \text{s}^{-1}$. Frond numbers were counted at the start, after 4, 6 days and at the end of the test of 8 days. Numbers of affected fronds were recorded at the same time-points. Numbers of affected fronds were recorded at the same time-points. Average growth rate at each test substance concentration was compared with the control value and the percentage reduction in growth was calculated. The mean analytical recovery was 98.1%. Mean actual recoveries were between 91 and 98% of nominal in samples in the period 0-2 days. At day 6 concentrations were above 84%, except at the lowest level (72%). EC_{50} values for the periods 0-4, 0-6 and 0-8 days were 52 mg/L, 65 mg/L and 75 mg/L, respectively. The NOEC value was 10 mg/l (EU DAR, addendum, 2005).

5.4.4 Other aquatic organisms (including sediment)

No data available.

5.5 Comparison with criteria for environmental hazards (sections 5.1 – 5.4)

Conclusion of environmental classification according to Directive 67/548/EEC

Carvone is readily biodegradable. The log Kow is < 3 , indicating that the substance has a low bioaccumulation potential. The L(E)C50 values for fish, Daphnia, algae and aquatic plant are between 10 – 100 mg/l. Therefore, carvone does not fulfill the criteria for classification following Directive 67/548/EEC

Conclusion of environmental classification according to Regulation EC 1272/2008

Carvone is rapidly biodegradable. The log Kow is < 4 , indicating that the substance has a low bioaccumulation potential. The L(E)C50 values for fish, Daphnia, algae and aquatic plant are between 10 – 100 mg/l. Furthermore, the available NOEC values are > 1 mg/l. Therefore, carvone does not fulfil the criteria for classification following Regulation EC 1272/2008.

5.6 Conclusions on classification and labelling for environmental hazards (sections 5.1 – 5.4)

Carvone does not need to be classified for the environment according to Directive 67/548/EEC and Regulation EC 1272/2008.

6 OTHER INFORMATION

7 REFERENCES

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8 ANNEXES