

Poison centre notifications

PCN: a practical guide

Version 6 - December 2023



Disclaimer

This document aims to assist users in complying with their Article 45 and Annex VIII obligations under the CLP Regulation. However, users are reminded that the text of the CLP Regulation is the only authentic legal reference and that the information in this document does not constitute legal advice. Usage of the information remains under the sole responsibility of the user. The European Chemicals Agency does not accept any liability with regard to the use that may be made of the information contained in this document.

Version	Changes	Date
1.0	First edition	May 2020
2.0	Changes to represent the release of the latest version of the IT solution to prepare and submit poison centre notifications.	October 2020
3.0	Changes throughout the document to reflect the latest changes in IUCLID and improves all sections for clarity and general enhancement.	May 2021
4.0	Changes to represent the release of the latest version of the IT solution to prepare and submit poison centre notifications, namely the PCN format version 4.	October 2021
5.0	Updated version to support the changes included in IUCLID 6 (version 6.20); including the functionality for Disable submission in the ECHA Submission portal. Translated versions made available.	December 2021
5.1	Inclusion of new information about new User Role in ECHA Accounts 'Submission Portal Manager restricted' in section 2.3	June 2022
6.0	Updated screen shots to reflect the latest version of IUCLID. Text on the foreign user/roles explained in more detail. Additional clarifications and improvements for readability made throughout the guide.	December 2023

PCN: a practical guide

Reference: ECHA-23-H-11-EN **ISBN:** 978-92-9468-330-4

Cat. Number: ED-02-23-201-EN-N

DOI: 10.2823/777159 **Publ.date:** December 2023

Language: EN

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1. Introduction

This manual gives practical guidance to support industry with their poison centre notification (PCN) obligations according to Article 45 and Annex VIII to the CLP Regulation¹. In particular how information can be prepared and submitted to the Member States Appointed Bodies, for example, through the ECHA Submission portal.

The document explains how to access ECHAs IT applications and establish third party users to work on behalf of another company. It also covers some practical issues specific to companies making poison centre notifications such as ensuring the consistency of legal entities when using various ECHAs IT tools.

1.1. Additional support material

Guidance on Annex VIII

Full information requirements according to the legal text are covered (translations are provided) https://echa.europa.eu/quidance-documents/guidance-on-clp

The PCN validation rules list

Full list of validation rules, and the history of changes covered in English https://poisoncentres.echa.europa.eu/documents/1789887/5577602/pcn validation rules en.pdf/

The EuPCS practical guide

Full descriptions of the individual categories of the European product categorisation system (including translations) as other support on the topic

https://poisoncentres.echa.europa.eu/eu-product-categorisation-system

The ECHA Accounts manual

Explains how to create, export, import and manage your account in general: https://www.echa.europa.eu/documents/10162/17247/howto account manual industry en.pdf/

IUCLID Functionalities Manual

Explanations of the complete functioning of IUCLID is comprehensively covered (translations are provided). The manual is also available in the IUCLID application itself. https://iuclid6.echa.europa.eu/documentation

UFI user guide

Explains how the generator tool and algorithm work (translations available), plus additional support on this topic:

https://poisoncentres.echa.europa.eu/ufi-generator

¹ Annex VIII to CLP Regulation https://eur-lex.europa.eu/eli/reg_del/2020/1677/oj

2. Accessing ECHA's IT applications for poison centre notifications (PCN)

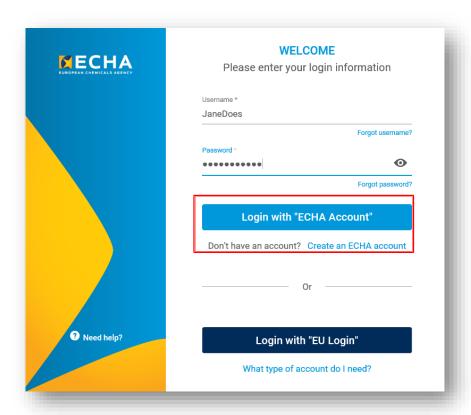
You must create an ECHA Account if you want to use the available 'IT' applications provided by ECHA e.g. ECHA Cloud Services or ECHA Submission portal for preparing or submitting your poison centre notifications (PCNs).

Step 1 - Create an ECHA Account

The Login page is located at https://idp-industry.echa.europa.eu/idp/. If you do not have a valid username and password, then you will need to register by creating an account first. To create an ECHA Account you will need to provide specific information about your company and verify your email address.



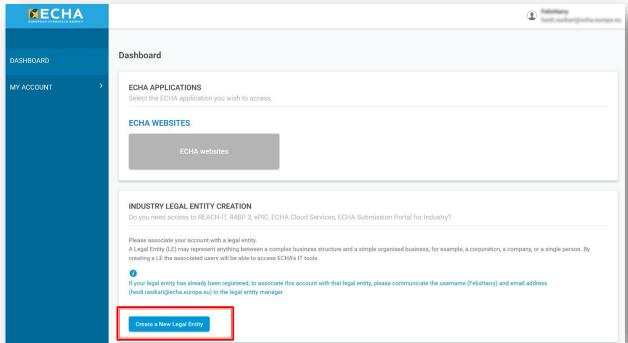
It is recommended to use the latest version of **Chrome**, **Firefox** and **Microsoft Edge** to guarantee the best user experience.



Step 2 - Assign a Legal Entity to the ECHA Account

Submissions made through the ECHA Submission portal are carried out by legal entities² which have to be defined, including contact details, prior to submission.

Once you have created an ECHA Account and you have logged in successfully, a Legal Entity (LE) must be assigned to it. In order to use ECHA IT applications, a UUID number is generated and assigned to each Legal Entity in ECHA Accounts.



To assign the legal entity click on 'Create a New legal Entity'. You have two options:

- i) **create a new Legal Entity** in ECHA Accounts and enter specific information about the company, or,
- ii) import a pre-existing Legal Entity e.g. from IUCLID desktop.
- Once a Legal Entity has been assigned, the Industry Applications will become visible in the ECHA Accounts dashboard and the user can access the application from the available list e.g. REACH-IT, R4BP3, ePIC, ECHA Cloud services, ECHA Submission portal.
- Non-EU legal entities can work in ECHA Cloud Services e.g. to prepare information, but they cannot use the ECHA Submission portal to make submissions from their account.

² A legal entity (LE) may represent anything between a complex business structure and a simple organised business, for example, to a corporation, a company, or a single person.

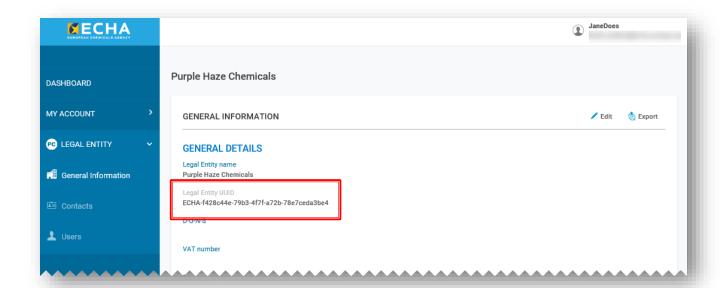
2.1.1. Create a new Legal Entity

Selecting 'Create a New Legal Entity' opens a page where you need to enter information about the company, address, contact details, account security information. Upon creation, the Legal Entity is automatically assigned a universal unique identifier (UUID) number so that the ECHA IT applications can explicitly identify the Legal Entity, it's users and their actions.

The legal entity UUID acts as an unequivocal identifier and each legal entity must be represented by a single UUID number. The legal entity UUID numbers generated in ECHA Accounts contain the pre-fix 'ECHA-'.



In some cases, a third party e.g. a consultant, may represent more than one company, and may prefer to create a different account for each company they represent. Note it is possible to use the same company information (e.g. name, VAT, address, etc.) for each account but a common convention is to use numerical identifiers attached to the representing company's name to facilitate the management of accounts linked to different companies.



Billing information

For certain submission processes, like submissions under REACH Regulation, the submitter has to pay a fee directly to ECHA. That's why in the registration form, you are asked to provide your billing information.

However, ECHA does not charge any fee for poison centre notifications. In this context, please note that some Member States charge fees (https://poisoncentres.echa.europa.eu/documents/1789887/5674408/msd_en.pdf/).

For information about the fees charged for those Member States, make contact or visit the Appointed Body websites https://poisoncentres.echa.europa.eu/appointed-bodies

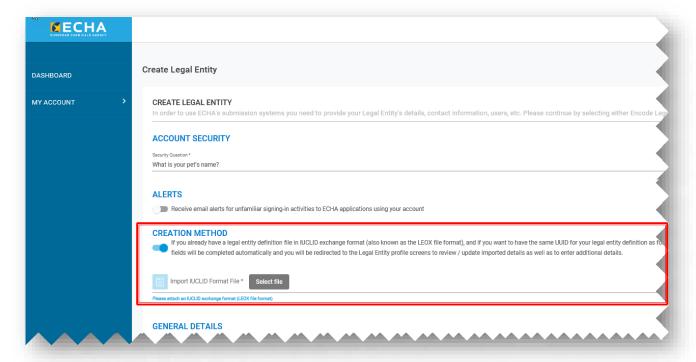
2.1.2. Importing a pre-existing legal entity (LE) from IUCLID³

If you are a IUCLID desktop user, you will already have previously created a Legal Entity (the UUID number contains pre-fix IUC...). Note that ECHA IT applications such as the Submission portal, will identify you by the legal entity UUID in ECHA Accounts and check it against the legal entity contained in the notification – therefore legal entities in both places must be matching.

In this case, you may prefer to either:

- i) export your LE from ECHA Accounts to your working instance of IUCLID, or,
- ii) export your LE from IUCLID to ECHA Accounts.

In the latter case, once your Legal Entity has been exported from IUCLID to e.g. your desktop, you can import it directly in the Create Legal Entity form by activating the section 'Creation method', and selecting the file for upload. Refer to section 4.2.2 for details how to export your Legal Entity from IUCLID.





When working from IUCLID desktop, information about the *Legal Entity* cannot be automatically synchronised with ECHA Accounts. Therefore, in cases where a company has installed IUCLID in their local systems or develops their own tool to create PCN dossiers, any *Legal Entity* changes made in ECHA Accounts will have to be replicated in those dossier preparation tools.

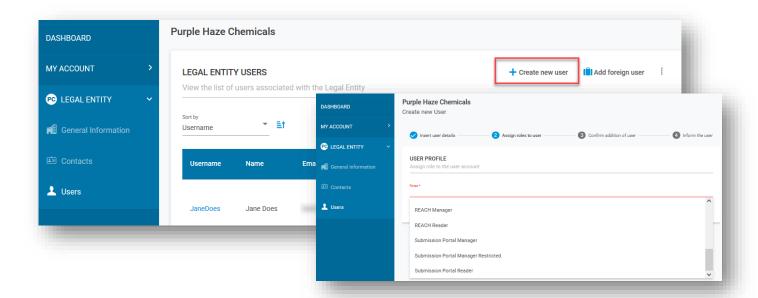
³ International Uniform Chemical Information Database - IUCLID is a key application used by industry and authorities to prepare, store and exchange data on chemicals in a harmonised format

Step 3 - Add users & define/manage user roles

By default, the user who created the account is the Legal Entity Manager, and additional users can also be added to the account using the Create New User process. Adding, modifying or deleting users, contacts and user roles is all managed in ECHA Accounts in the relevant tab under Legal Entity.



It is possible for a Legal Entity (Company A) to appoint a third-party user from another Legal Entity (Company B) to work as if they were a user from the company who appointed them. For example, a company hiring a consultant to prepare and possibly submit information. This is achieved with the **foreign user** functionality as established in ECHA Accounts (**Appendix 1**). Confidentiality concerns must be fully explored before appointing a foreign user.



The user roles define the user access level within the ECHA IT applications. The specific PCN related roles for users (including foreign users) include:

User Role	Rights and Visibility
LE manager	The Legal Entity (LE) manager can view and edit the account and add, remove contacts or edit users' data. Only a user with the `LE manager' role can edit the Legal Entity details – except for the specific LE UUID number and the country.
Submission portal manager	Users with this role can work in the ECHA Submission portal to: • make submissions from the legal entity account,
	 search and access submission information in the submission portal (such as submission and validation reports).
Submission portal restricted	A 'LE manager' can restrict users' visibility of information in the ECHA Submission portal. Users assigned with this role
	 can only see details on the submissions that they have submitted in the portal.
	 have restricted visibility of information in the Submission portal, and are limited only to the information they themselves have submitted.
NOTE that when this role is assigned in combination with other roles, then the stronger role defines the access rights, e.g. if someone is assigned the "Submission Portal Manager" role, those rights remain even if the "restricted" role is assigned – meaning that the user can still see all the submissions of the company.	
IUCLID full access	Users with this role:
	can prepare submissions online in IUCLID Cloud
	 have complete access to dossier information in IUCLID and rights to edit existing datasets, prepare new notifications and create dossiers.
NOTE that when a user has been assigned the 'IUCLID full access' role they will also have access to all information available in IUCLID Cloud for that legal entity, regardless of any other roles assigned.	
IUCLID Read Only	Users assigned read only access can view dataset and dossier information but do not have the possibility to prepare or create dossiers.
IUCLID trial	Users (excluding foreign users) can work in the trial version of the IUCLID Cloud.

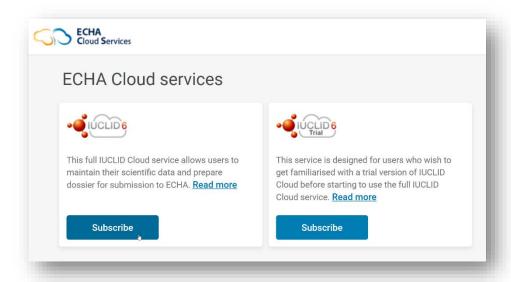


Use caution when adding Foreign Users to your IUCLID Cloud instance or to the ECHA Submission portal to avoid exposing confidential business information.

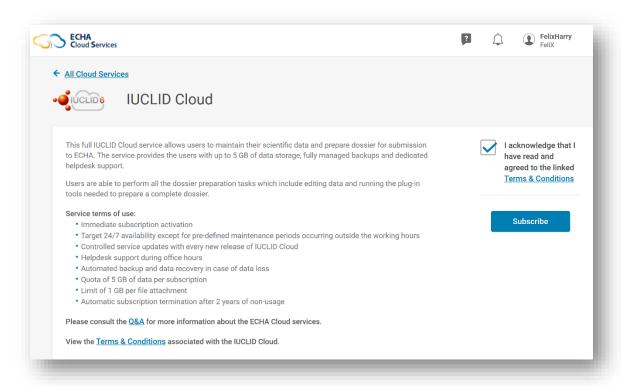
- > Any third-party, EU or non-EU based, can be a foreign user, provided they have a valid ECHA Account detailing their legal entity information.
- > A foreign user prepare and have access to information belonging to the appointing company in either IUCLID Cloud or the ECHA Submission portal, or both. This depends on the user roles assigned to them.
- > It is possible to grant full access, partial access or no access to a foreign user.
- ➤ A foreign user will have full visibility in IUCLID Cloud if they assigned a IUCLID role. Therefore, to ensure complete confidentiality in IUCLID Cloud, do not assign any IUCLID user roles.
- > To ensure complete confidentiality in the ECHA Submission portal, no portal roles should be assigned.
- ➤ ECHA strongly recommends entering into contractual agreements specifying what the foreign user is expected to do, or how to handle confidentiality issues. However, no guidelines for this have been established and therefore each contract needs to be determined by the parties involved on a case-by-case basis.

Step 4 - Subscribing to the ECHA Cloud services

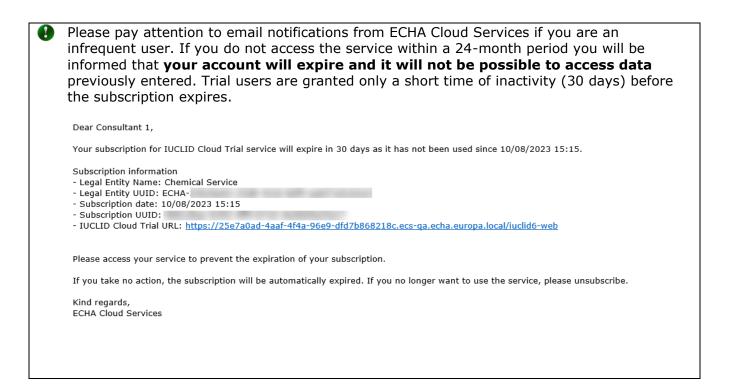
Following the creation of an ECHA Account and Legal Entity, new users will need to Subscribe to ECHA Cloud Services before they can begin to work in the application.



The Terms and Conditions to use the ECHA Cloud Services, in particular for IUCLID Cloud and ECHA Submission portal need to be accepted before use.



The **Terms and Conditions** are available in all EU languages here from the ECHA website: https://echa.europa.eu/support/dossier-submission-tools/echa-cloud-services



3. Prepare and submit a poison centre notification (PCN)

There are several options available for a company to prepare and submit poison centre notification (PCN) information depending on the company's preferences and internal systems in place.

All notifications need to adhere to the harmonised PCN format dedicated to structuring the information on hazardous mixtures classified for health or physical hazards. The format is XML-based and defined by the requirements laid out in Annex VIII to the CLP Regulation.

For the preparation and submission of information, ECHA has made available:

- Poison centre notification (PCN) format
- IUCLID for data preparation
- ECHA Submission portal
- System-to-system (S2S) service

Additional support on the various ways to prepare and submit

Check our e-Learning page for trainings, webinars and other presentations: https://poisoncentres.echa.europa.eu/training-material

3.1. The Poison centre notification (PCN) format

The PCN format structures the harmonised information requirements on hazardous mixtures classified for health or physical hazards available to poison centres in cases of poisoning incidents in the European Union/European Economic Area (EU/EEA).

The PCN format is .xml-based and is compatible with IUCLID and as such is incorporated into the dossier preparation tools offered by ECHA. It is also available for companies to incorporate into their own tools for dossier preparation, for example when using the system-to-system (S2S) service. Updates of the format occur annually.

Additional support on the PCN format

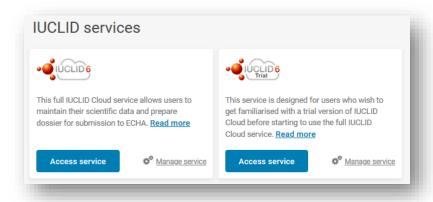
For system-to-system users, you can download the latest version of the PCN format and related documents from the poison centre website here:
https://poisoncentres.echa.europa.eu/poison-centres-notification-format

3.2. IUCLID for data preparation

This chapter looks at both the IUCLID Cloud (online) and IUCLID (offline) tools for manual preparation of poison centre notifications. Both tools offer the same interface. Explanations of the complete functioning of IUCLID is comprehensively covered in the **IUCLID Functionalities Manual**. The manual is also available in the IUCLID application itself - https://iuclid6.echa.europa.eu/documentation

3.2.1. IUCLID Cloud Services

Preparation in IUCLID Cloud is available through the ECHA Cloud Service (https://idp-industry.echa.europa.eu/idp/) which is maintained, backed-up, updated by ECHA and the data is securely stored in the Cloud. The **full IUCLID Cloud service** allows users to maintain their scientific data and prepare dossiers for submission. The **Trial version** can be used for getting familiar with the tool. It is not possible to make submissions via the Trial version.



3.2.2. IUCLID 6 via download from IUCLID website

Offline preparation is always recommended using the latest version of IUCLID 6 which can be downloaded from the IUCLID website (https://iuclid6.echa.europa.eu/home). Both desktop and server versions are available for the user who will need to perform their own maintenance activities.



•

Ensure you have the latest version of IUCLID as only the most recent version can fully accommodate the poison centre requirements and avoid unnecessary failures.

3.3. ECHA Submission portal for poison centre notifications

The ECHA Submission portal is an online tool for EU based companies for the submission of poison centre notifications to relevant Member State Appointed Bodies. To make submissions through the ECHA Submission portal a company must have an active ECHA Account, users must be assigned with the correct roles and be subscribed to the service.

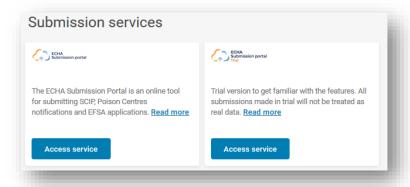
Our support and Login page for PCN is here https://poisoncentres.echa.europa.eu/prepare-and-submit-a-pcn



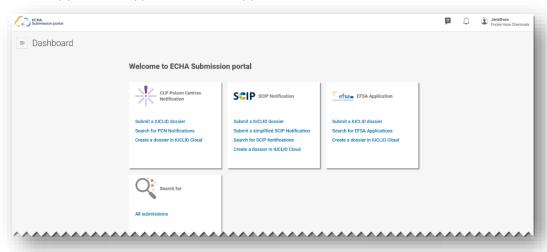


Submissions made through the portal can accommodate **multi-market notifications**, meaning that one submission can reach multiple Appointed Bodies.

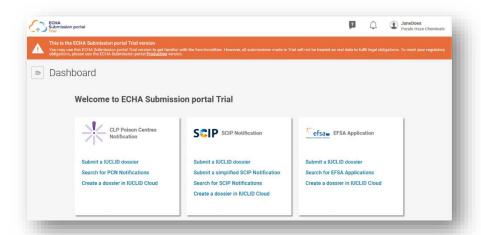
Data submission services available in the Cloud, include the **ECHA Submission portal** full service (production environment) and **ECHA Submission portal Trial version** (Testing environment). Each are explained further below.



The **ECHA Submission portal (full service)** dashboard landing page allows you to navigate to various functionalities of the system. In addition to CLP poison centre notifications, other notification and application types are also supported.

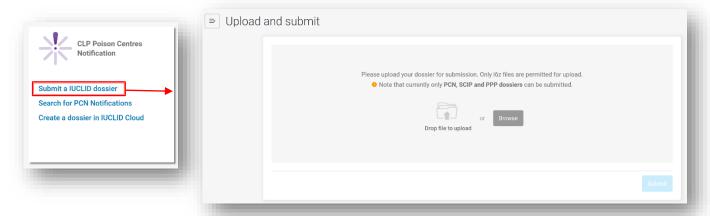


ECHA Submission portal trial version layout is designed to alert users they are in the Trial version. Any dossiers for testing purposes are not forwarded to recipients indicated in the notification.

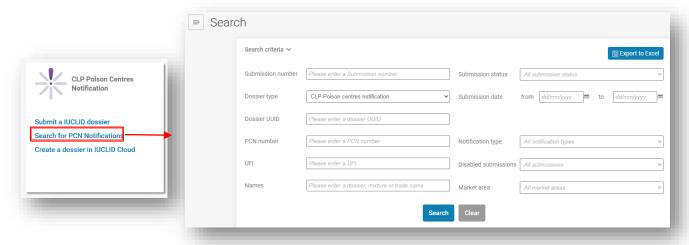


For poison centre notifications, the following functionalities in the ECHA Submission portal include:

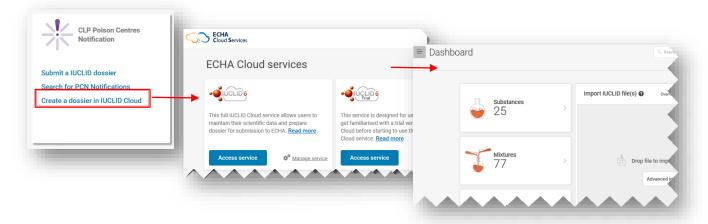
Submit a IUCLID dossier redirects you to the upload and submit page.



Search for PCN notifications redirects to the Search page of the Portal where you can search for PCN submissions - or search all submissions e.g. if you are submitting under other legislations.



Create a dossier in IUCLID Cloud redirects you to the ECHA Cloud services where you choose to access the service for IUCLID Full service or the IUCLID Trial version to begin the preparation of a dossier.



3.4. System-to-system service

The system-to-system (S2S) service is available to support industry who wish to prepare and submit a PCN dossier in a more automated way. Through this service, a company can create a PCN dossier directly in their own systems using the IUCLID-compatible PCN format and make a submission to the ECHA Submission portal. Dossiers are then forwarded from the portal to all the relevant Member States.

Using the system-to-system service for the first time requires a company to:

- 1. Request and get access to the ECHA Submission portal S2S services
- 2. Generate the 'S2S keys'
- 3. Integrate their system with the ECHA Submission portal services
- 4. Successfully pass the connectivity and integration tests before switching to Production mode

Additional support on the system-to-system service

Guide to the PCN format - The guide for developers assists system-to-system users for poison centre notifications

https://poisoncentres.echa.europa.eu/documents/1789887/5577602/Guide+to+PCN+format.pdf/

The **Developers Guide to the IUCLID format** - This guide contains the full IUCLID format

https://iuclid6.echa.europa.eu/documents/1387205/1806384/iuclid i6z format developer quide en.pdf/

System-to-system integration for industry - Visit the ECHA website for more information on gaining access to the service, technical/practical documentation and related files.

https://echa.europa.eu/system-to-system-submission-service

4. Creating an editable dataset in IUCLID

This chapter details the process of preparing a CLP poison centre notification 'Dataset' in IUCLID (.i6z file containing information about a substance or a mixture). Further, the relevant 'Documents' which contain the data entry fields according to the information requirements laid out in Annex VIII to the CLP Regulation are explained.

4.1. The IUCLID Dashboard

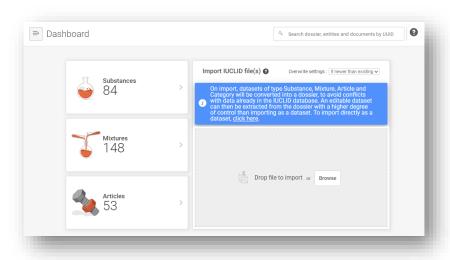
The IUCLID Dashboard is home to the following widgets:

Substances stores any Substance dataset information either prepared or imported into the working environment. The number indicated on the widget refers to the number of Substance datasets available. Note if you have prepared substance dossiers for other notification types, they will also be included here.

Mixtures stores information about the Mixture datasets available as well as any dossiers prepared.

Articles is only used for making notifications to the SCIP database, which was established under the Waste Framework Directive (WFD)⁴.

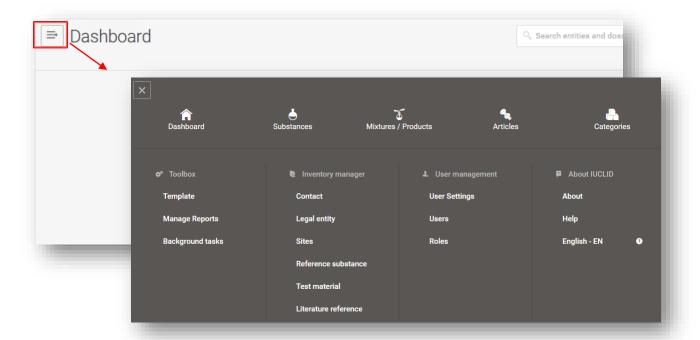
The **Import IUCLID files** area allows you import .i6z files to your working instance of IUCLID. This could include Legal Entities, Reference substances, or Substance/Mixture datasets and Dossiers.



⁴ This functionality is relevant only to suppliers of article containing certain substances of very high concern above 0.1% w/w. Detailed information is provided in the WFD section of ECHA website (https://echa.europa.eu/understanding-wfd).

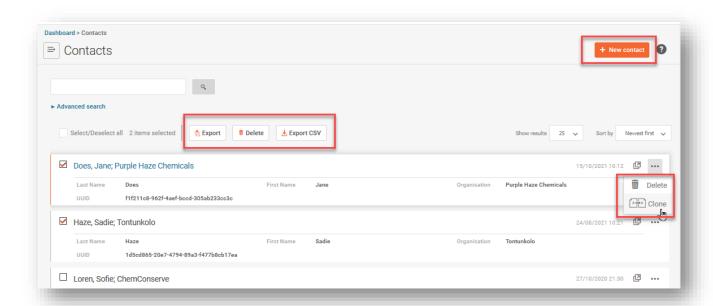
4.2. Managing IUCLID entities outside the notification

From the menu icon on the Dashboard, you are directed to the Main menu. It contains direct links to the list pages for various entities. From here you can easily manage your entity specific information, for example, on Legal Entities, Contacts, Reference substances as well as Users and their Roles, outside of a notification.



4.2.1. Managing Contact information

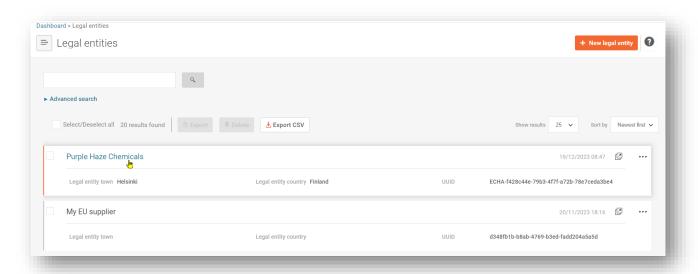
When managing Contacts outside the notification (it is possible to manage them also from within a notification), it is possible to create new or edit existing contacts, export selected contacts and clone them as well.



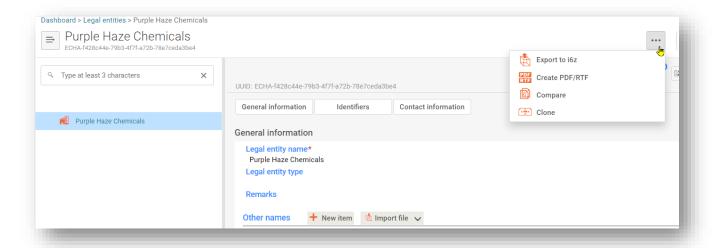
4.2.2. Managing the Legal Entity

In some cases, the Legal Entity details may need to be exported from IUCLID , for example, by a IUCLID Desktop user (described in section 2.1.2).

Selecting 'Legal Entity' from the Dashboard takes you to the complete list of legal entities in your IUCLID instance.

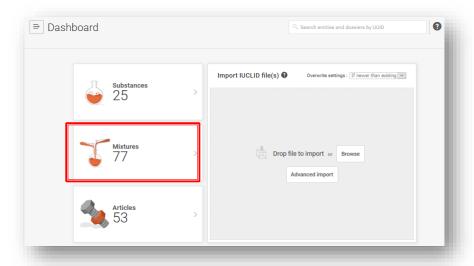


Once a legal entity is selected, the information about that legal entity is displayed and can be exported following the prompts, and saved e.g. to your desktop, before importing where relevant.

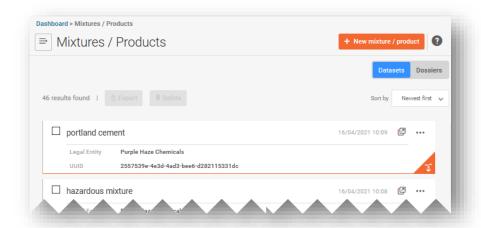


4.3. Steps to prepare an initial Mixture/product dataset

This section will explore the general principles for creating a dataset customised for poison centre notifications, field by field. Begin by clicking on 'Mixtures':

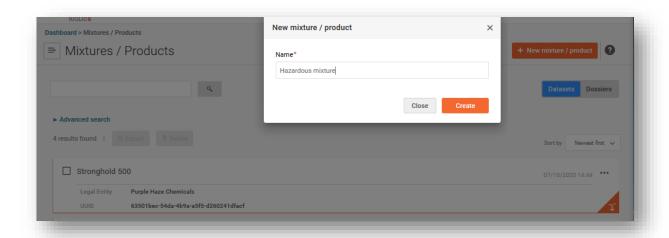


You are then re-directed to the Mixtures/Products page which lists all 'datasets' under preparation (orange mixture symbol) or you can switch to view all 'dossiers' that have been previously created (purple lock symbol).



4.3.1. Create a New mixture/product dataset

The '+New mixture/product' button starts the creation process of a new mixture/product dataset. To complete the process, you need to enter a name for the mixture and press 'Create'.

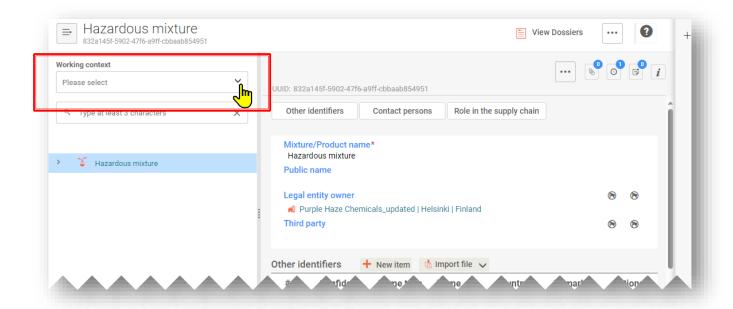


0

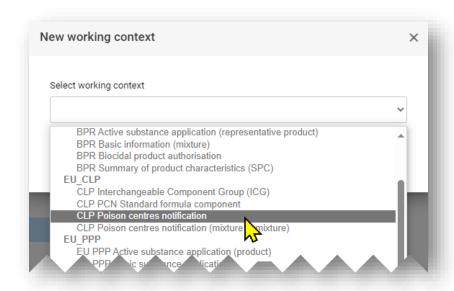
The Mixture dataset is identified by its name given upon creation. Any name can be used however suggested to select a name which is commonly used for that mixture within the company. This can be for instance an internal name or a formulation identifier. If necessary, this name can be changed.

4.3.2. Set the working context

Once the Mixture dataset has been created, it can be opened, and the 'Working context' selected. The working context customises the IUCLID fields relevant to the selected legislation and process.

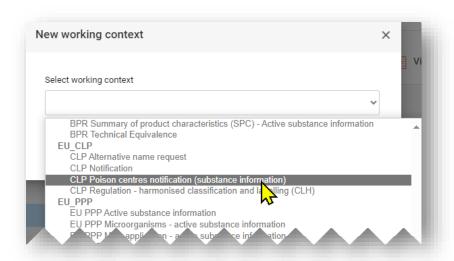


For example, the working context selection 'CLP Poison centre notification' is used to prepare a poison centre notification.



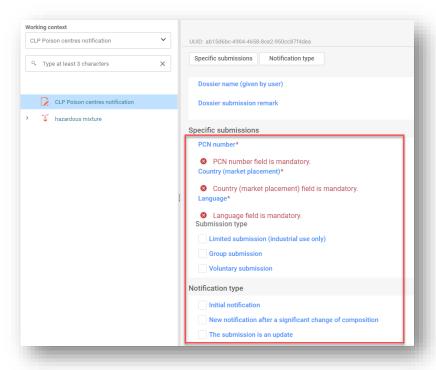
Other selections relevant to poison centre notifications (various components) are available but not generally required to be selected if creating component datasets directly in the 'Composition document' of the final mixture. More information on how to create these datasets in a notification are discussed in later sections of this guide, including mixture-in-mixtures, interchangeable component groups, and standard formula components.

Similarly, a working context for 'PCN Substance information' is also listed working in the Substances widget. Again, it is not necessary to make this selection when adding substance components in the final 'mixture composition document' – see the section in this guide on how to add substance components.



4.3.3. Open and complete the Dossier Header

Open the 'dossier header' by clicking on the hyperlinked title CLP Poison centre notification as seen in the left-hand side of the navigation tree – note that some sections of the dossier header are mandatory to provide at this stage, while others are not. Each section is further explained below.



'Dossier name & Dossier submission remarks' are fields in the dossier header where you may wish to enter the name of the dossier you are preparing, or if you wish provide any remarks about the submission for your own records.

'PCN number' i.e. a poison centre notification number, is required information and can be generated and assigned to the notification by opening the field and using the auto-generate button depicted by two circular arrows. The PCN number refers to an initial notification (or a new notification after a significant change of composition) and is used by poison centres to track all related notification updates. A PCN number can be generated either in the dossier preparation tools, or by using one of the UUID generators available online, e.g. https://www.uuidgenerator.net/

'Country (market placement)' and 'Language' selections must include all the market areas where the mixture will be placed on the market. In addition, all the relevant languages required for each market area must be indicated as well. The allowed languages as specified by each Member State can be found from the Overview of Member States Decisions on Implementing Annex VIII to the CLP https://poisoncentres.echa.europa.eu/appointed-bodies



Indicating the **languages** prior to data preparation is necessary as it creates the multilingual fields required for free text fields which must be provided in all relevant languages e.g. for the toxicological information.

Following successful submission, **market areas** cannot be deleted in an update. Note, this applies even in case of ceasing the market in a specific country.

4.3.3.1. Explaining Submission types

Submission type is set to 'Standard' submission type requirements by default. Therefore, it is not required to make a selection for the Submission type unless you are deviating from the standard information requirements through either a limited, group or voluntary submission (or combination of these).

Subm	nission type 🎱 🛆 sission type selection only required if you are deviating from the standard information requirements
	Limited submission (industrial use only)
	Group submission
	Voluntary submission

'Limited Submission (industrial use only)' are available for hazardous mixtures placed on the market for industrial use only.



Note that the use of the mixture downstream should be taken into account. If, for example, the mixture is used downstream to further re-formulate a mixture intended for consumer or professional use, this option cannot be selected.

The limited submission option can also be applied to industrial mixtures included in a final mixture intended for professional or consumer uses that are outside the scope of Article 45 and Annex VIII (i.e. **mixtures with an end use not subject to notification**).

A **'Group submission**' (described further in this guide) is available for the creation of a dossier containing information for several mixtures provided:

- all mixtures contain the same composition except for certain perfumes under specific conditions;
- reported concentrations/ranges for each of the components is the same (except certain perfumes);
- all mixtures in the group have the same classification for health and physical hazards

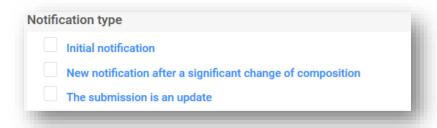
A '**Voluntary submission**' is available for non-duty holders or mixtures outside the scope of Article 45 and Annex VIII.



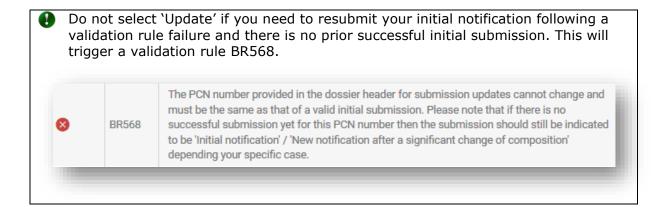
The EU-based company submitting the voluntary notification is still responsible for the accuracy of the notification and keeping up to date according to the Terms & Conditions of the Terms & Conditions of the ECHA submission Portal

4.3.3.2. Explaining Notification types

Notification type must be provided, e.g. initial, update or new notification after a significant change in composition. The selection here, sets the framework for the dossier and rules that will be used to validate the information using the Validation assistant.



'Initial notification' is the very first submission made for a mixture/product. An initial submission must be successful before an update can be accepted.

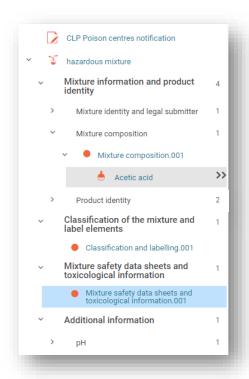


'New notification after a significant change of composition' is expected for a notified mixture when a change in the composition has occurred outside the set limits indicated in Annex VIII to CLP. This leads de facto to two different mixtures on the market; the two sets of information (referring to the original and new composition) remain relevant (both products may remain on the market for potentially a long time). The previous submission and the new notification remain linked via the related PCN number and a new UFI is needed – see later in this guide.

'The submission is an update' is selected if there is a need to update information that currently exists in the database. An updated submission is where the mixture composition remains the same. Both previous and updated submissions remain potentially relevant for the poison centres and Appointed Bodies - see later in this guide.

4.3.4. General navigation and functionalities for data entry

The navigation tree, or table of contents (TOC), featured on the left-hand side of the page, allows easy movement between the dossier header and the customised sections and subsection created for the poison centre notification mixture-dataset. Documents need to be created for each of the sections. The navigation tree allows you to navigate in the dataset using the collapsible/expandable arrows.

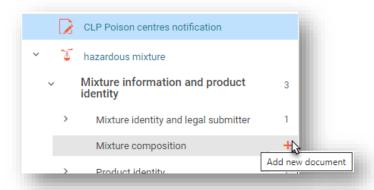


Each of the created sections and documents can be managed by additional features.

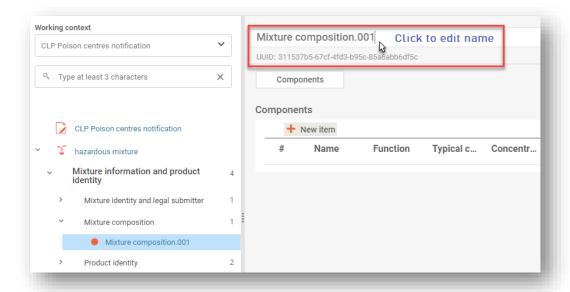
Function	Symbol
Collapses a section	~
Expands a section	>
Go to sub-tree	>>
Adds a New document	+
IUCLID document	
Trash-can deletes unwanted documents	Ŵ
Substance	.
Mixture/product	•

4.3.4.1. Creating a 'New document'

Before information can be entered into the dataset, a document containing relevant entry fields, must be created by clicking on the orange + and selecting 'Add new document'.



When a new document has been created it is represented in the table of contents by a filled orange circle and given a default name and number (e.g. [Mixture composition.001]) and a specific document UUID⁵ number. The name of the document can be edited to suit.

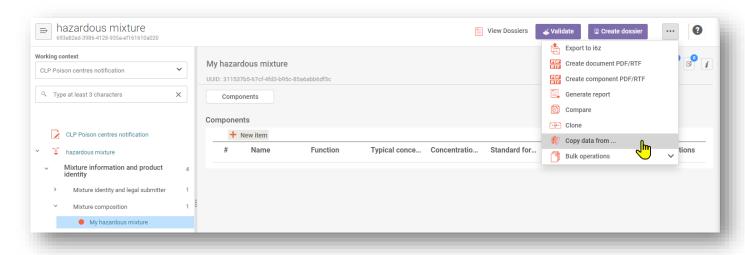


⁵ In order to ensure the correct relationship between the various documents contained in a IUCLID dossier, a UUID is associated to each document (e.g. Legal entity, Substance, Reference substance etc.). Additionally, the dossier is identified with a UUID. Note that IUCLID, by default, keeps the UUID the same if, for example, the Substance, Reference Substance document is "the same" in different dossiers.

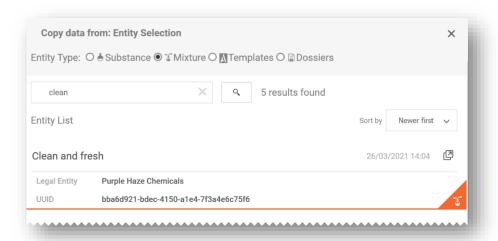
4.3.4.2. 'Copying data from' existing documents

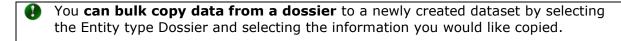
If you have previously created information documents for other mixture-datasets, they will be available for re-use in other datasets.

To include previously prepared information, click on the three dots '...' in the top right-hand corner of the dataset you are working in. A number of functionalities including 'Copy data from ...' will become available.

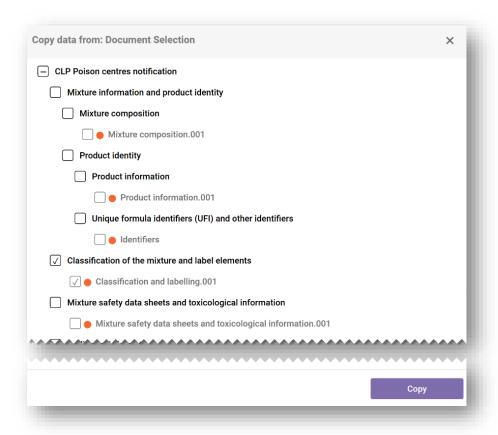


A list of available datasets to select information is presented. The entity type is set by default to 'Mixtures' (i.e. mixture-datasets), but it is possible to select 'Dossiers'. You may wish to use the search function to assist locating your source information and making your selection faster.

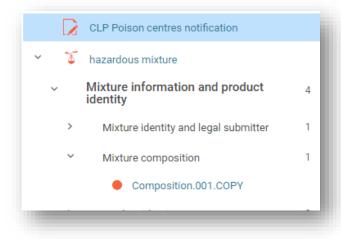




Once you select the source document from which to copy information from, you can copy all sections (note the Dossier header information cannot be copied over) or further refine which sections you would like to copy.



After you copy the specified information, the copied document will appear as '[original name of the record].COPY' which can always be re-named.



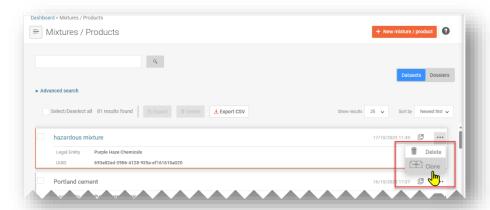
4.3.4.3. Using the 'Cloning' functionality

The 'Clone' functionality is available to facilitate e.g. the preparation of update dossiers by replicating a mixture-dataset in order to edit and create a new dossier from that. In this way, you do not need to re-enter all the information related to the mixture, such as composition, toxicological information or product information.

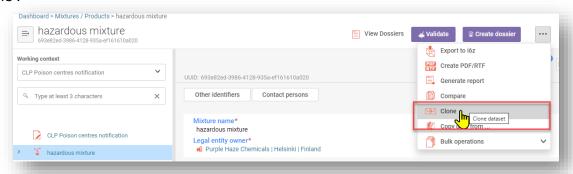


Note that the **working context is not cloned** therefore you will need to re-set the working context and enter the information in the dossier header.

This functionality is available in the list of datasets by clicking on the three dots `...' of the dataset you wish to replicate and select `Clone'.



Alternatively, you can open the mixture-dataset itself and create a cloned copy by clicking on the three dots `...' in the top right-hand corner. This opens a list of functionalities including `Clone'.



Once the dataset has been cloned, it will appear in the list of mixture-datasets named as 'original mixture name _CLONE_[date]' which you can edit accordingly before creating a new dossier.

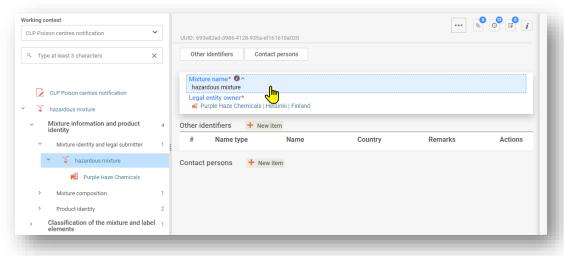


5. Preparing a PCN - section by section

5.1. Mixture identity and legal submitter section

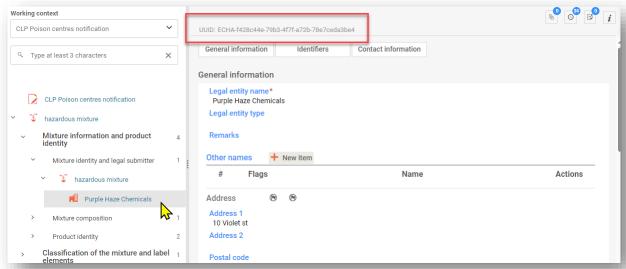
5.1.1. Mixture name

This is the name of the mixture entered when the new Mixture/product-dataset was created. It can be the trade name of your product, though it does not necessarily need to be. This field is editable.



5.1.2. Legal submitter

The legal submitter document displays the Legal entity name and UUID. This is the Legal Entity that is checked against the logged in user of the ECHA Submission portal and is considered the duty holder.

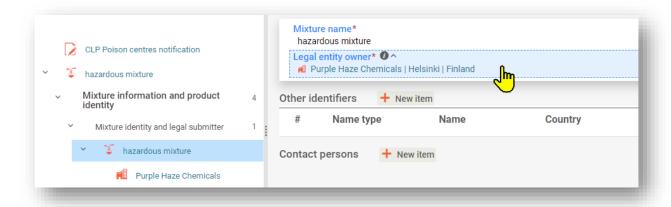




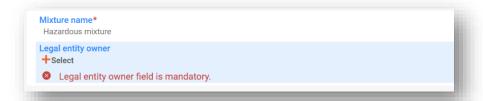
The Legal Entity UUID specified at the mixture level must be consistent with the Legal Entity in the ECHA Submission portal upon submission. Note that changing the Legal Entity Name does not change the UUID of the submitting legal entity. All changes associated with the legal entity need to be managed in ECHA Accounts.

5.1.2.1. How to change the legal submitter UUID

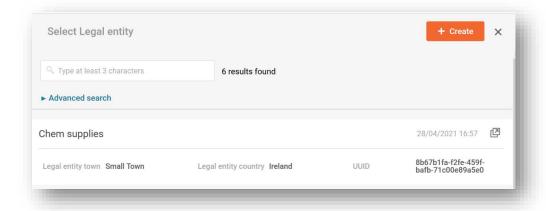
The Legal Entity Owner details can be changed to a different legal entity if needed from the Mixture Identity section. To remove a legal entity, first click on the hyperlinked name of the Legal Entity Owner to activate the process. Then click on the 'X' to remove the legal entity assigned.



The field will prompt you to 'Select' a new legal entity.



Make a selection from the available legal entities listed in your working instance. If it is not there, then the legal entity owner details will need to be imported e.g. from ECHA Accounts.

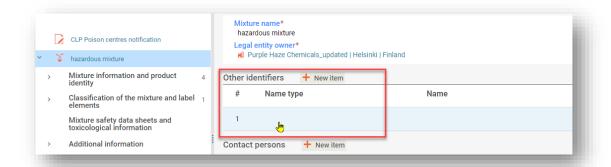




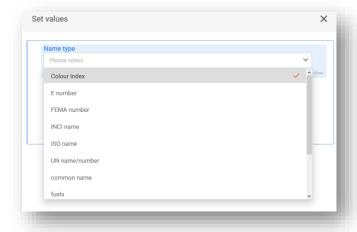
Do not edit the name or other details e.g. contact details, of the legal entity in the dataset. Doing so does not change the legal entity UUID of the legal submitter. If you need to update this information then you are required to do this in the ECHA Accounts.

5.1.3. Other identifiers

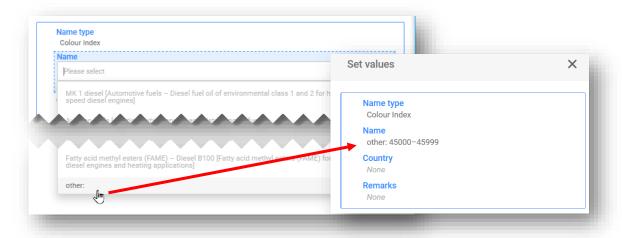
Can be used to add additional information about the mixture.



Add a '+New item' and make a selection from the Name type picklist (e.g. Colour index). Then add the specific information to the Name field – either by selecting a specific name from the picklist (for fuels and stand formulas only) or by selecting 'Other'.



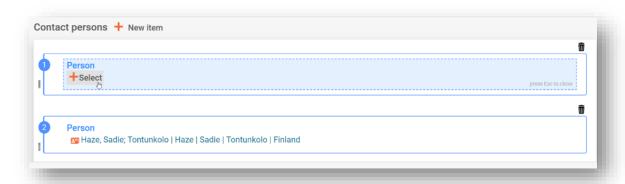
If 'Other' is selected from the Name field, further information can be provided in the free text field.



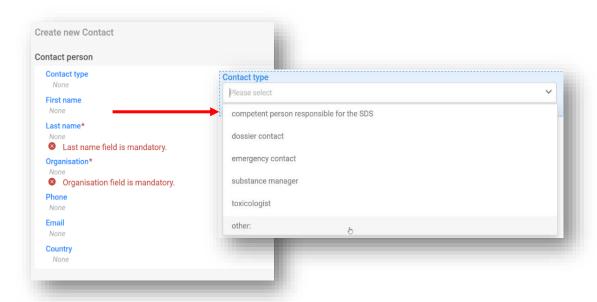
5.1.4. Contact person

A 'Contact person(s)' is used to record the persons role and contact, for example, a contact for rapid access for a limited submission (please select 'Emergency contact' in this case), or a 'Contact point' if the submitter is not in possession of further information relevant for emergency health response.

Click on '+ New item' and either select an already created contact or create a new one.



If the 'Contact Type' picklist does not contain the correct type of contact you wish to specify, you can select 'Other' and enter the information in the free text field.



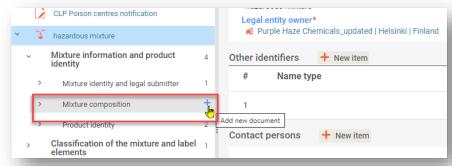


In case of **limited submissions, the contact type 'Emergency contact'** has to be selected for identifying rapid access for limited submissions.

Further, if the mixture is placed on the market in a **single market area**, then you must provide only one emergency contact record containing this information. If the submission is for **multiple market areas**, then you need to provide multiple Contact person(s) records. Each record will contain the Emergency contact person information for each country, even if the same details are applicable for all the countries – Note you can use the Clone feature to assist in such cases.

5.2. Mixture composition document

The 'Mixture composition document' contains the details of all the components of the mixture as placed on the market. To enter compositional information, a 'New document' must be created first.



After you create the Mixture composition document, a Components section is created where the individual substances and mixture components of the final mixture are added, by selecting '+ New item'. An item is created and can be opened and completed by clicking on the blue-shaded area.



A Set values box opens and specific values for each component must be entered: Name, Function, Typical concentration/Concentration range:

'Name': The name of the component. You need to first select if the type of component i.e. substance or mixture component. Making a selection requires that you then continue to identify the component.

`Function': The function is only mandatory to indicate if you are indicating a GCI (generic component identifier) component (i.e. perfumes or colourants) and if you are preparing a Group Submission (only certain perfume components can vary across the compositions). In any other case, the information can be voluntarily provided.

'Typical concentration/Concentration range':

The concentration of components can be declared either as a typical concentration (i.e. exact concentration), or a concentration range and expressed in either % (w/w) or % (v/v). Units must be reported in the same way for all components. Operators $(>, <, \leq, \geq)$ must be included for reporting ranges.

Set values		
Name		
Function		
Typical concentration		
Concentration range		
Standard formula (SF) component		
Interchangeable component group (ICG)		
Generic component identifier (GCI)		



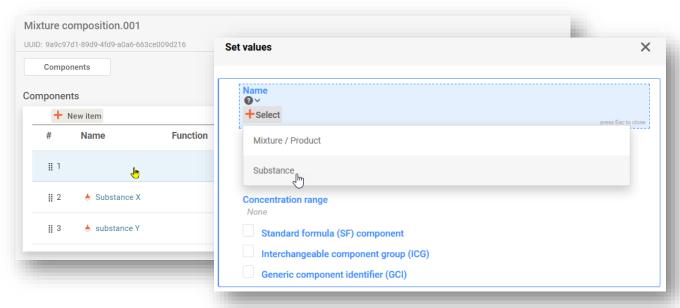


Only where components fit specific conditions outlined in Annex VIII to the CLP Regulation should these component types be flagged: **Standard formula (SF)** component, Interchangeable component group (ICG), Generic component identifier (GCI)

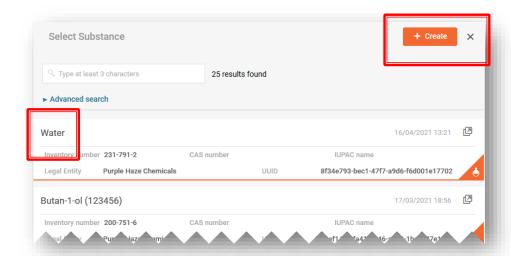
5.3. Adding a substance component

Each 'Substance component' of the final mixture is identified by information contained in a 'Substance dataset'. Substance datasets are also required for all the substances contained in reported 'mixture-in-mixtures' (MiM).

From the (main) Mixture composition document, you need to first create a '+ New item'. The generated field for the component is numbered. A drag and drop feature allows you to re-order the components from highest to lowest concentration. Clicking on the field (shaded blue) opens the Set values box where you select 'Substance' in the Name field. Add as many items as is required.



The substance can be included in one of two ways, either by selecting a previously prepared Substance dataset from the list, or, by Creating a new Substance dataset. Both are explained below.



i) **Selecting a substance from the list** automatically fills the name section with what was provided in the Substance dataset, plus is linked to all other information included in that dataset, such as the reference substance and any classification and labelling.



ii) **Creating a substance** dataset requires entering information manually for the:

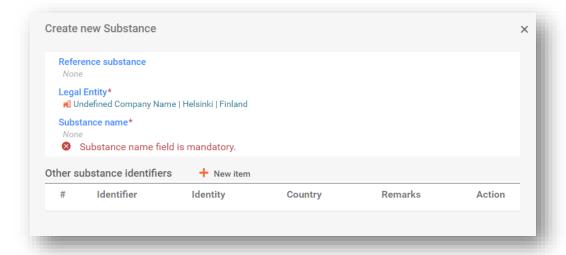
'Reference substance': The Reference substance information is required for substance components (with the exception of generic component identifiers) – here you will also need to either select a Reference substance from the available list (if available) or if not available, you can either download and import them directly into your working environment or create your own Reference substance document.

'Legal entity': Note that the legal entity is mandatory to create the IUCLID dataset, however, 'this is not mandatory for PCN purposes and no checks concerning this information are carried out. Your own Legal Entity is automatically pre-populated but can be edited e.g. to an undefined legal entity.

'Substance name': The substance name is a free text field and is not language specific. 'Therefore, it is at the discretion of the notifier to decide on the most appropriate language for all recipients. Names in additional languages can be included in the Reference substance document under Synonyms.



Where more than one name exists for a substance component, these should not all be included in this field. It is recommended to include additional names in the Reference substance document in the field 'Synonyms' – see below.



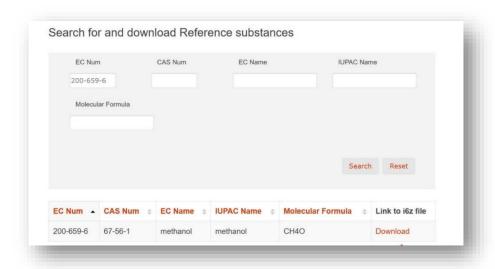
5.3.1. Downloading a Reference substance from the IUCLID website

A 'Reference substance' is used to define the identity of a substance, in such a way that the definition may be re-used in more than one dossier if needed. While you can create Reference substance documents during dossier preparation, it is also possible to download and import them as a ready-made set from the IUCLID website (https://iuclid6.echa.europa.eu/get-reference-substances).



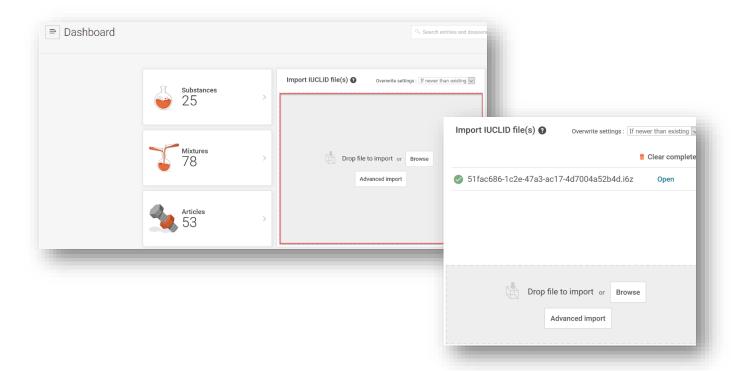
Note that the set of Reference substances was created in 2007 and is available for download is but it is not maintained, therefore errors and inconsistencies can exist. It is the responsibility of the notifier to verify the information used in the notification.

From the 'Search and download Reference substance' page of the IUCLID website, begin by searching for the substance using an EC or other product identifier. From the results list, you can download and save the file locally (.i6z format) to a more meaningful name.



list.

To import the Reference substance into your working instance of IUCLID, select 'Browse', and locate the file that you have previously saved e.g. on your desktop. Once the Reference substance file has been successfully imported, it will be available in the Reference substance



5.3.2. Creating and linking a Reference substance

Linking a Substance dataset to a Reference substance can be done either a) making a selection from the list of Reference substances or b) create a new Reference substance. To create a new one, a minimum of information must be provided:

- i) A Reference substance name
- ii) The Reference substance must have at least one of the following identifiers reported: EC number, CAS number, IUPAC name, international chemical name, Colour index or INCI name. Please note that international chemical name should be reported in IUPAC name field.



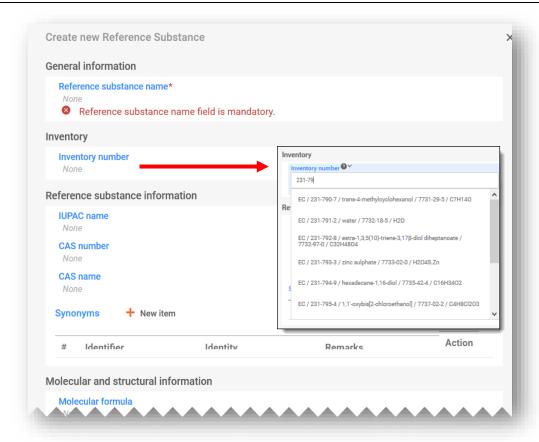
On polymers

Polymers are considered to be substances therefore Article 45 notification obligations do not apply to the polymer itself, however, if the polymer is placed on the market as part of a mixture, it needs to be identified in the same way as any other component. To identify the polymer you have to provide a name and an identification number as described in Article 18(2) of CLP. Where no EC, CAS or IUPAC details are available, you can report the known name in the IUPAC name field in the Reference substance document. If an identifier is ambiguous additional information is to be provided to support its identification. Note, you do not need to specify the monomers in the notification.

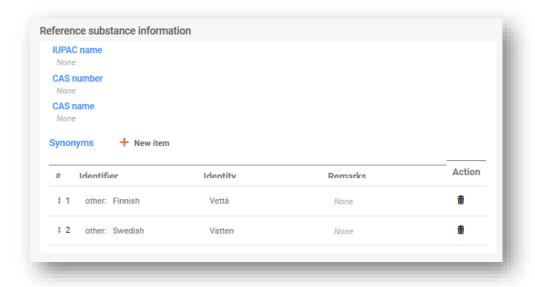
When you create a new Reference substance, the relevant form is opened, and you can enter the information.



The 'Inventory number' picklist does not contain an exhaustive list of substances. If the substance is not found in the EC inventory, you can manually enter the EC number details, but note that there are specific rules checking it's format.



The Reference substance 'Synonyms' field can be used e.g. to include additional languages or to include other names such as common names.





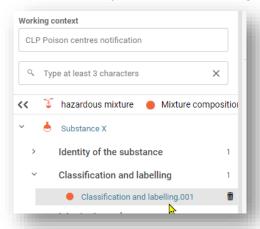
On micro-organisms

Micro-organisms in general are living organisms and according to the biocides guidance on micro-organisms, CLP provisions are not applicable to micro-organisms. However, Annex VIII to CLP requires the identification of all components as a name and product identifier. If you need to identify a micro-organism in the notification, please provide any known information that can help their identification e.g. strain or species,

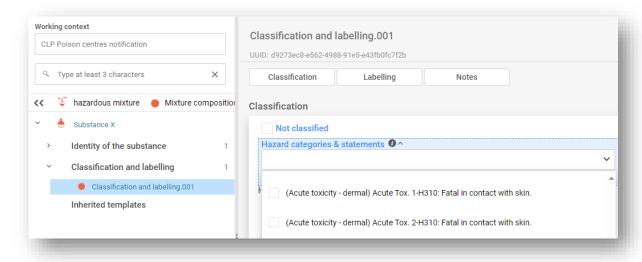
Quite often biocidal products containing micro-organisms are classified as H317 or trigger the warning phrase "Micro-organisms may have the potential to provoke sensitising reactions". If the mixture is classified as **H317** based on test data, bridging principles or due to sensitising ingredient substances of the mixture, and thus is a classified mixture under the scope of CLP Annex VIII, then a poison centre notification is required. If the classification is derived on the basis that the mixture contains a living micro-organism, and is based on Directive 2000/54/EC (on the protection of workers from risks related to exposure to biological agents at work), then a notification is not required.

5.3.3. Classification (and labelling) of the substance

A new 'Classification and labelling' document must be included for the substance. A new document can be created, or one can be copied from an existing source.



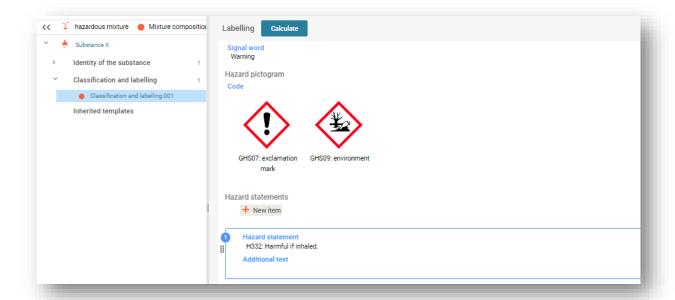
The new document must have the **classification of the substance** indicated by making a selection from the 'Hazard categories and statements' picklist. Specific concentration limits and M-factors, if applicable, can also be indicated in the specific fields. If it is not classified for any physical, health or environmental hazards, select '**Not classified**'. This selection freezes the classification fields. Any 'Additional hazard classes' may also be entered in this section.



The **'Labelling'** information related to substance components is not necessary but may be included on a voluntary basis. Note that the 'Calculate' functionality uses the classification information entered in the previous step to derive labelling information.



It is the responsibility of the submitter to verify the results of the 'Calculate' function in the labelling section. The information can be edited if necessary.



5.4. Adding a mixture-in-mixture (MiM) component

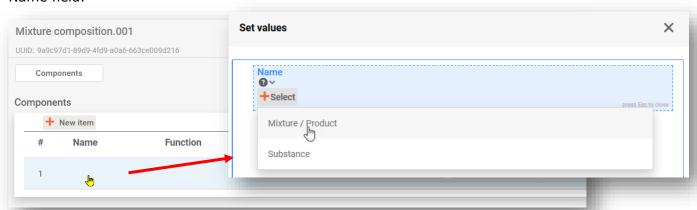
5.4.1. Identify the MiM and specify concentration

Creating a Mixture dataset is required for each MiM component that is included in the final mixture that will be placed on the market. If reporting the known substances of the MiM, individual substance datasets are required, each to be identified with their respective identifier and linked to a Reference substance.

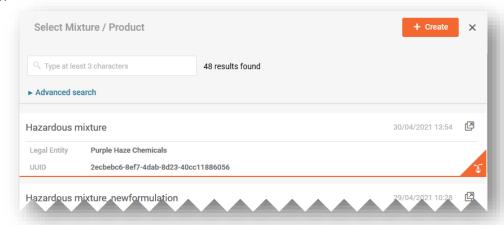


If all the substances in the mixture-in-mixture component are known, they need to be reported individually, as for all other substances i.e. it should not be included as a MiM. In this case, check if you have two of the same substances reported – these will have to be merged and reported only once.

From the (main) mixture composition document, you need to first create a '+ New item'. Clicking on the field (shaded blue) opens the Set values box where you select 'Mixture' in the Name field.



The MiM can be added in one of two ways, either by selecting a previously prepared Mixture dataset from the list if available, or, Creating a new Mixture dataset and enter the relevant information



Selecting a Mixture dataset from the list automatically populates the Set values box with the name of the MiM component linked to its associated information.



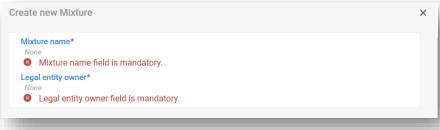
When **creating a Mixture dataset**, you need to enter information manually for the mixture component, including:

`Mixture name': The mixture name is a free text field and should include the name of the mixture as received by the supplier.



Where more than one name exists for a mixture component, these should not all be included in this field. These can be included in the section 'Other identifiers'

'Legal entity owner': Note that the Legal Entity is mandatory to create the IUCLID dataset, however, this is not mandatory for PCN purposes and no checks concerning this information are carried out. Currently a Legal Entity selection must be made e.g. it could be your own legal entity.

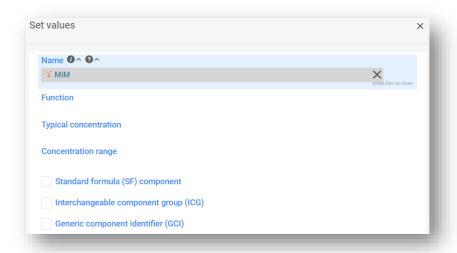


Once the mixture name has been provided and saved, the Set values box needs to be completed for the following fields:

`Function': The function is only mandatory to include if you are indicating a GCI (generic component identifier) component or if you are preparing a Group Submission. In the first case, a selection must be made between perfumes or colourants. In the second case, for the components which perfumes should be selected. In any other case, the information can be voluntarily provided.

Typical concentration/concentration range': The concentration of components can be declared either as a typical concentration (i.e. exact concentration), or a concentration range and expressed in either % (w/w) or % (v/v). Note that units should be reported in the same way for all components. Enter the relevant information either if the MiM component conforms to either 100% of the final mixture, or only a part of the final mixture. Operators $(>, <, \le, \ge)$ must be included for reporting ranges – note ca. is not permitted.

Declaring concentrations for hazardous and non-hazardous components must be in accordance with the allowed limits detailed in Annex VIII (unless specific provisions apply). You can find more information about these ranges, including working examples from our website https://poisoncentres.echa.europa.eu/components-of-major-concern



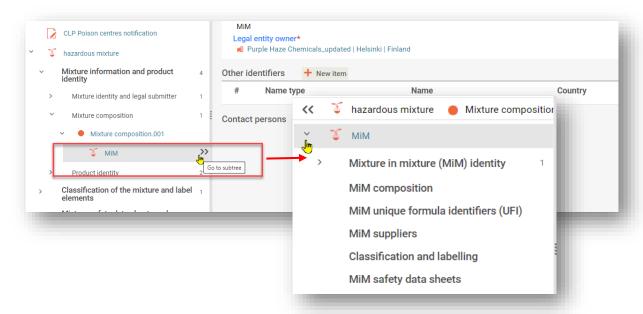


Only where components fit specific conditions outlined in Annex VIII to the CLP Regulation should these component types be indicated: **Standard formula (SF)** component, Interchangeable component group (ICG), Generic component identifier (GCI)

5.4.2. Completing information about the MiM

This section describes the MiM specific fields and how information should be entered into IUCLID when the composition of the MiM is not fully known.

When a MiM component is added to the main mixture composition document and the 'Save' button is clicked, an additional sub-set of information fields are generated in the navigation tree. The following sections become visible when using the arrows to expand the document: MiM identity, MiM composition, MiM UFI, MiM supplier, (MiM) classification and labelling, and MiM safety data sheets. New documents will first need to be created for the relevant sections before information can be entered.



1

Note that depending on the information you use to report your mixture-in-mixtures, not all the sections and fields need to be completed. Please check the Guidance on Annex VIII (https://echa.europa.eu/guidance-documents/guidance-on-clp) to determine which information is mandatory to be provided for your mixture-in-mixture as outlined in Annex VIII to CLP Part B; 3.2.2.

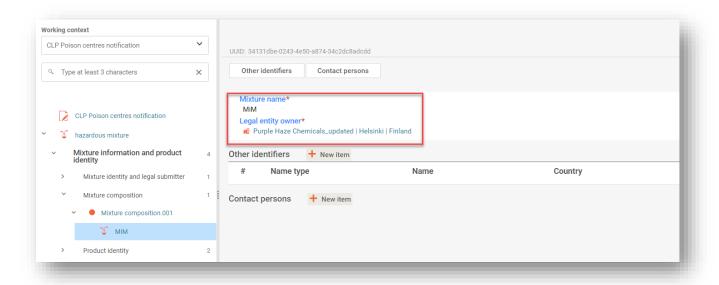
3.2.2. Mixture in mixture

When a mixture is used in the composition of a second mixture placed on the market, the first mixture is referred to as a mixture in mixture ("MIM").

Information on the substances contained in a MIM shall be provided in accordance with the criteria of Section 3.2.1, unless the submitter does not have access to information on the full composition of the MIM. In the latter case,

- (a) if a UFI has been created for the MIM and the appointed body has received the information on the MIM in a prior submission, the MIM shall be identified by means of its product identifier in accordance with Article 18(3)(a), together with its concentration and UFI;
- (b) if a UFI has been created for the MIM, but the appointed body has not received the information on the MIM in a prior submission, the MIM shall be identified by means of its product identifier in accordance with Article 18(3)(a), together with its concentration and UFI and the compositional information contained in the Safety Data Sheet in accordance with Annex II to Regulation (EC) No 1907/2006 of the MIM and any other known components, as well as the name, email address and telephone number of the MIM supplier;
- (c) in absence of a UFI, the MIM shall be identified by means of its product identifier in accordance with Article 18(3)(a), together with its concentration and the compositional information contained in the Safety Data Sheet in accordance with Annex II to Regulation (EC) No 1907/2006 of the MIM and any other known components, as well as the name, email address and telephone number of the MIM supplier.

'MiM identity': Note that the name of the MiM (and legal entity) was provided during the creation process in the previous step. You can add information in the Other Identifiers section for the MiM e.g. if it conforms to a Standard formula or a fuel as outlined in Annex VIII. It is not necessary to include contact persons for the MiM here – refer to the 'MiM supplier' section described below.



'MiM composition': Substance (only) components of the MiM are entered here. Clicking on the area of the created item (shaded blue) in the Components section opens a specific Set values box for: **'Name'** of the substance, **'Function'** is not mandatory to provide but can be provided voluntarily, and **'Typical concentration'** or a **'Concentration range'** has to be provided.



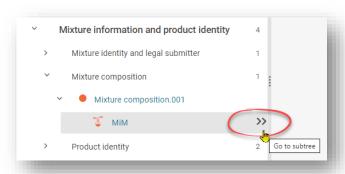
Note that the substance identity and classification information must be provided for each substance of the MiM through, see the steps involved below.



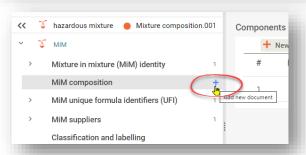
Entering substance identity and classification information for substances of the MiM component

The principles as the same as for identifying substances in the Main mixture composition (Section 5.3)

1. Go to the sub-tree menu of the MiM component



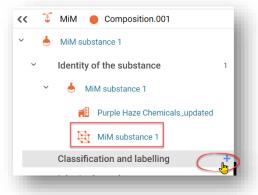
2. Add a new MiM composition document, followed by adding the substances of the MiM (if known) as a new substance item into components table, then link the substance to a reference substance



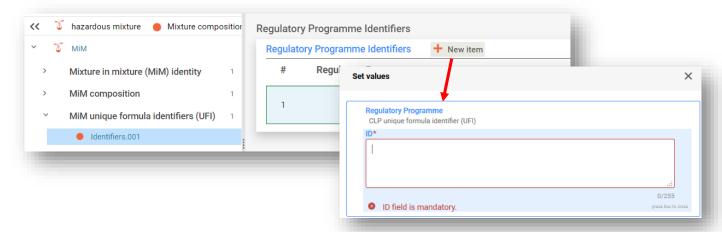
3. From the Set values box, selecting 'Name' initiates the process of identifying the substance as earlier described. Substances have to be linked to a Reference substance.



4. Create a new Classification and labelling document for the MiM substance and enter it's classification details (labelling is not required)



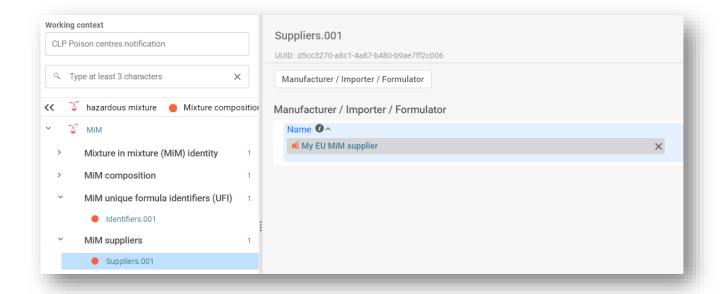
`MiM UFI': The UFI of the MiM as provided by the supplier can be included in this field if available. The 'Regulatory programme' needs to contain 'CLP unique formula identifier)' and the UFI entered in the ID field.



'MiM supplier': The supplier details can be included by making a selection from the list of Legal entities. If the details are not listed, a '+New document' can be created and the relevant details for the MiM supplier provided (at least, the name, email address and telephone number of the MiM supplier). Note that the legal entity UUID of the MiM supplier is not required.



When specifying the MiM supplier details, ensure to use **EU-supplier details**. This is to assist Authorities in case of further follow up on composition. If you do not provide EU supplier details, a quality rule (QLT847) will be triggered.



'Classification (and labelling)' (of the MiM): Similarly to the main mixture, the classification of the MiM must be indicated by making a selecting from the 'Hazard categories and statements' picklist. The labelling elements of the MiM are not required but can be included voluntarily. The Calculate functionality can be used to assist this process following verification of the results by the submitter.

'MiM safety data sheet': Not mandatory information in any case, but the field is available for optional upload in multiple languages.

5.4.3. Preparing a notification for 100% MiM

In specific situations, when the submitter is not in possession of sufficient compositional information as required by Annex VIII (and it is not able to obtain it from the supplier), it can be acceptable to make a notification where one mixture-in-mixture constitutes 100% of the final mixture composition.



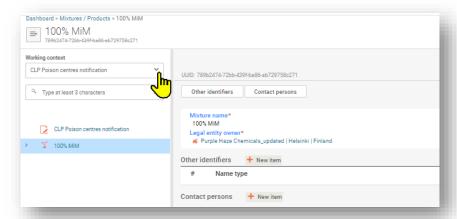
Please note, that completing the MiM section will depend on what information you have available.



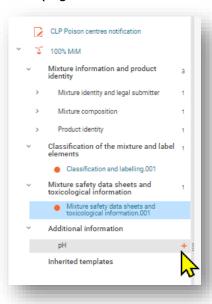
If you are duty holder making a notification for a hazardous mixture through the 100% MiM route, you are remain responsible for the data in the notification if it is not representative of the product itself.

A 100% MiM notification still requires a full notification, meaning that you:

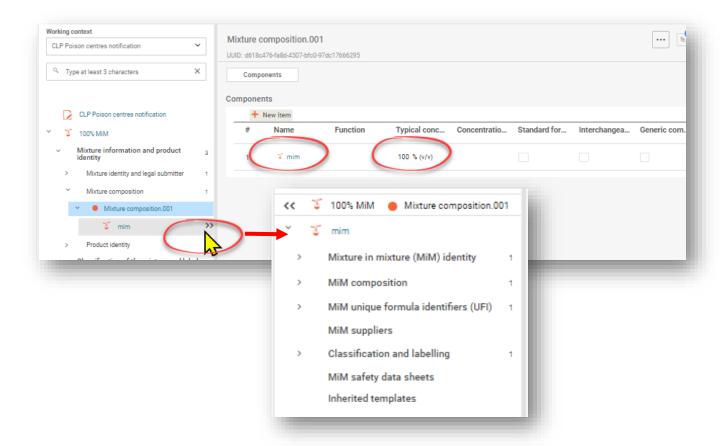
 Create a new Mixture dataset and specify the working context as CLP poison centre notification



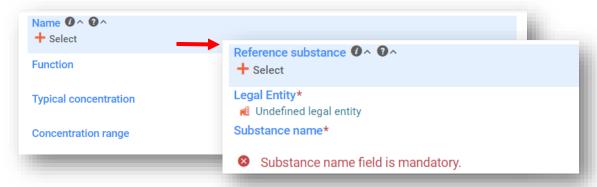
- 2. Enter all the required information in the notification for the final mixture
 - a. Dossier header section (e.g. markets, languages, notification type)
 - b. Product information section (e.g. packaging, use type, main intended use, trade names, colour, physical state)
 - c. UFI and other identifiers section (generate and add your own UFI)
 - d. Mixture information (e.g. classification & labelling, toxicological information, pH)



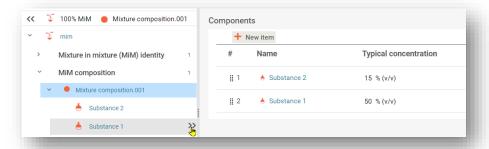
- 3. Create a mixture composition document and enter all the required information for the mixture-in-mixture
 - a. Add a mixture component from the +New item
 - b. Provide the name of the mixture and specify a legal entity (this could be an undefined legal entity or even your own)
 - c. A Set values box will be created to enter the typical concentration. Here is where you would specify 100%.
 - d. Once you save this information, open the MiM component in the sub-tree you will see a number of additional sections to complete.



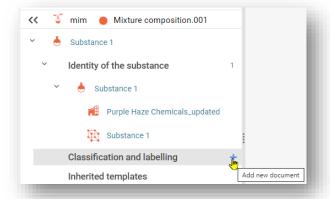
- 4. The MiM components sections include:
 - a. MiM identity (automatically filled in from step 3.b)
 - b. MiM composition (this is where you would add the individual substance components of the MiM if available e.g. from the SDS). Note that each substance requires a reference substance name, a substance name, and a concentration.



c. Once all the components of the MiM have been added to the MiM composition, and a reference substance linked, you need to go to the sub-tree of the individual substances to provide additional information on it's classification



d. In the sub-tree of each substance, you can check it is linked to a reference substance, and create a Classification and Labelling document.



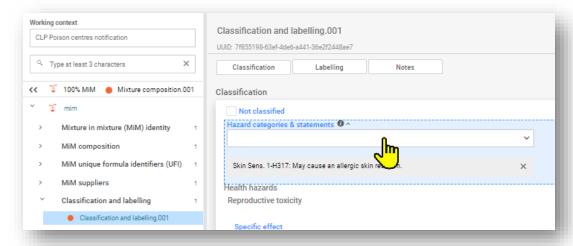
5. Back in the MiM UFI section is where you add the UFI of the supplied mixture



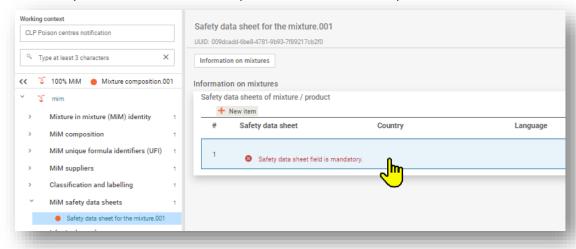
6. MiM supplier – here you add the EU supplier details (unless you are the importer of the MiM)



7. Classification & labelling - add the classification of the MiM

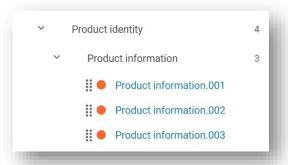


8. MiM safety datasheets - here it is possible to attach safety datasheets



5.5. Product identity/information document

The 'Product information document' (Product identity section) contains the information required for the identification of the product or products containing the mixture as placed on the market. To create a Product information document, select '+New document' (from the navigation tree).



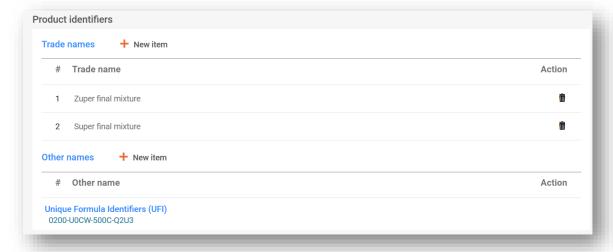
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Creating multiple Product information documents is possible for a mixture where it may be desirable to separate the information for specific products containing the mixture e.g. there may be different trade names or UFIs or perhaps different market areas. It may also be useful to have separate product information documents if e.g. a duty holder is including additional information for a distributor customer.

The Product information document contains the sections 'Group submission' (relevant only for that specific submission type and covered in section chapter 8), 'Product identifiers' and 'Additional information' (covered below).

5.5.1. Product identifiers

Product identifiers include trade and other names and the unique formula identifier (UFI). Individual items are added by clicking on '+New item'.



'Trade names': The trade name of the product, as indicated on the label, is to be entered here. It is possible to include multiple trade names in the one IUCLID document (for instance when the same mixture is placed on the market with different trade names, but the same product information applies). Alternatively, they can be each added individually in separate product information documents.

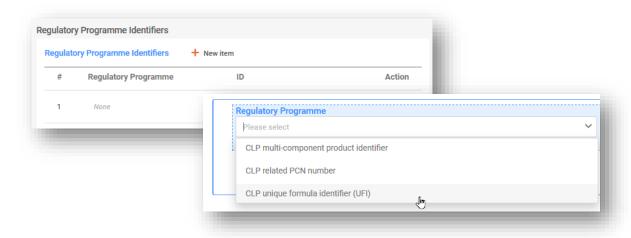


'Other names': Any other names which may appear on the label and can be useful to facilitate the identification of the mixture, can be included in this section.

'Unique formula identifiers (UFI) and other identifiers':

A single or multiple Unique Formula Identifier (UFI) are added in this section of Regulatory Programme Identifiers. There are three 'CLP PCN relevant identifiers' that need to be included here where relevant:

- i. **UFI** a 16-character alphanumeric code with a defined format, is included in this section. The UFI generator tool (and relevant support) is available from ECHAs Poison Centre Website https://poisoncentres.echa.europa.eu/ufi-generator
- ii. **Related PCN number** If you are submitting a 'New notification after a significant change of composition' then you need to indicate the CLP related PCN number (See chapter 11 for details on updates).
- iii. **Multi-component product identifier** allows to indicate that certain mixtures (notified separately) belong to the same multi-component product (see section 7.2 for full details).

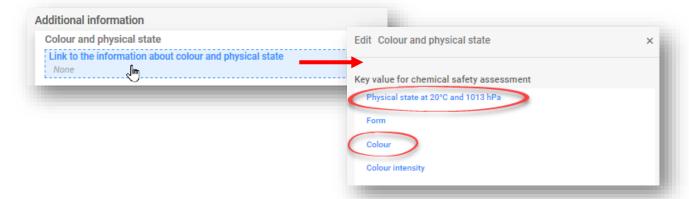


5.5.2. Additional information

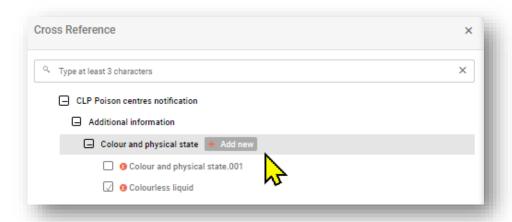
'Additional information' on the product includes the following fields:

5.5.2.1. 'Colour and physical state'

A link to this information is required by either i) selecting from any existing information records, or 2) creating a new document and entering information

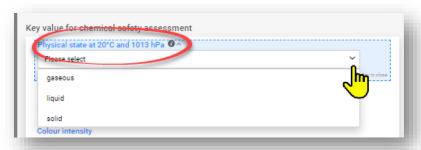


The example below shows that it is possible to make a selection for colour and physical state can be made from two existing information records or, add a new one. This will vary from notification to notification depending on what has been entered earlier.

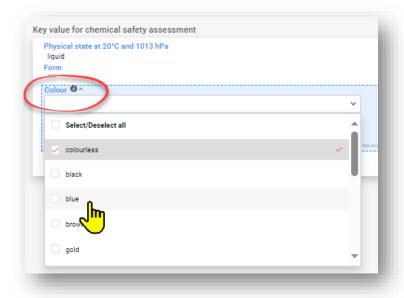


In any case, information must be provided for:

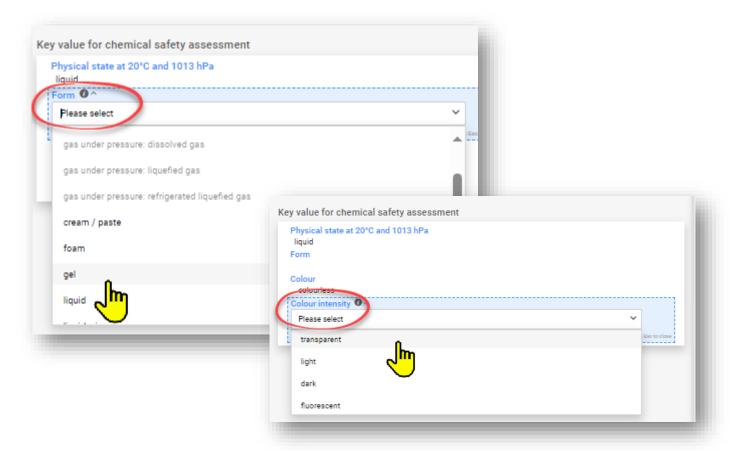
i) the physical state at 20°C 101 hPa of the product (i.e. gas/liquid/solid).



ii) and the colour. Note that single or multiple colour selections are available. Selecting multiple colours, e.g. colourless and blue, means that the product is placed on the market in these variations (for instance when a mixture composition differs for colourants which are identified with the same Generic Component Identifier (GCI).



Optional information for the form and intensity can be included from the available picklist



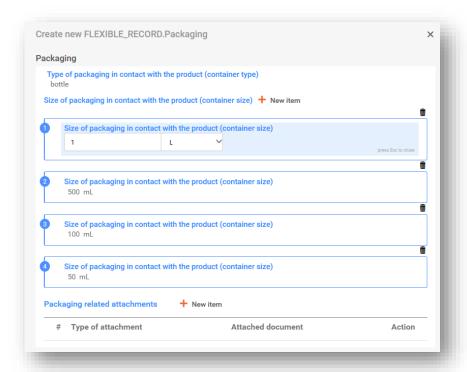
Note that making a selection for a physical state enables/disables certain 'Forms'.

5.5.2.2. 'Packaging'

If a mixture is supplied in different types and sizes of packaging, information on all the relevant types and sizes (no ranges permitted) placed on the market have to be included. Additionally, you can indicate if the product is not packaged in the check box.



Multiple packaging sizes can be indicated in one packaging item.



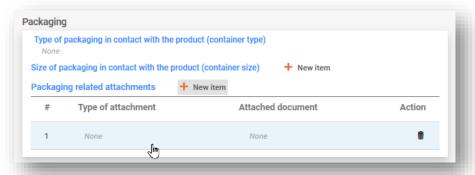
However, different packaging items are required if more than one packaging type is used:



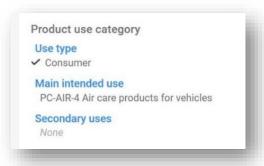


Note that mixtures for use at industrial sites are not mandatorily required to have packaging indicated.

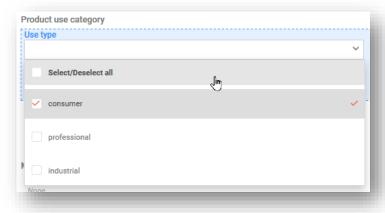
Any packaging related documents e.g. an example label, may be included. Files accepted include, but are not limited to pdf, word, jpeg.



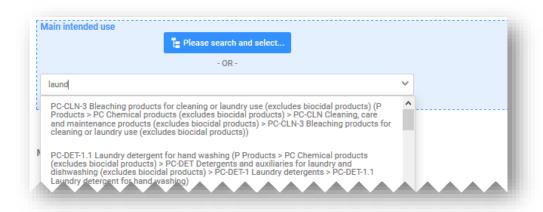
5.5.2.3. 'Product use category information'

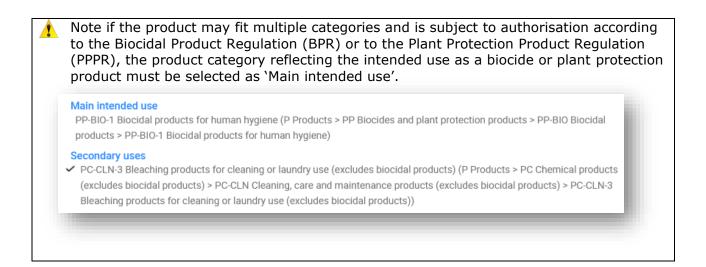


i. **'Use type':** The selection of the use type(s) should reflect both the mixture as placed on the market as well as for other mixtures if it is incorporated for use further down the supply chain. For example, the use type of a mixture supplied for use at industrial sites will also need to reflect other use types if it is used as a mixture for further formulation in professional or consumer use products.



ii. 'Main intended use': A single main intended use category per Product information document is required. The categories listed are those available from the European Product Categorisation System (EuPCS). Scroll through the list or use the search functionality



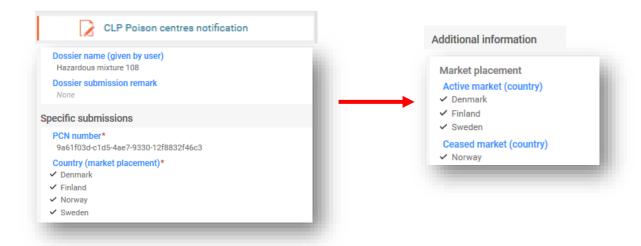


iii. **'Secondary uses':** This is optional information that can be provided for those products that fit several main intended use categories.



5.5.2.4. 'Country (Market placement)'

The complete lists of market areas included in the Product information-document(s) must match the full list indicated in the dossier header. In case of multiple product information documents, each Country indicated in the dossier header must be selected at least once, either in the 'Active market' or in the 'Ceased market' lists.



- i. 'Active market (country)': Earlier in the preparation phase, all the relevant market areas were indicated in the dossier header for the whole notification. These market areas need to be indicated accordingly per Product information document. If the same product is placed on different market areas, all the relevant countries can be selected in the same product record. Alternatively, several Product information documents can be created and the countries assigned as relevant.
- ii. **'Ceased market (country)':** The submitter can indicate in an update whether the placing on a specific market area of a product has ceased. The relevant Country or Countries can be selected in this list. At the same time the same countries have to be deselected by the 'Active market' list of the same Product information document.



Ceasing a product from the market is a functionality in the IT tools and included in the PCN format. The information about a product ceased from the market can be included in an updated dossier and **not to be confused** with the solution for disabling a submitted dossier!

5.6. Classification and labelling of the (final) mixture

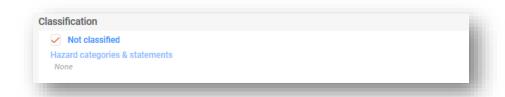
This section must contain all the correct and relevant 'Hazard categories and statements' from a predefined list of existing values (according to the CLP regulation criteria).



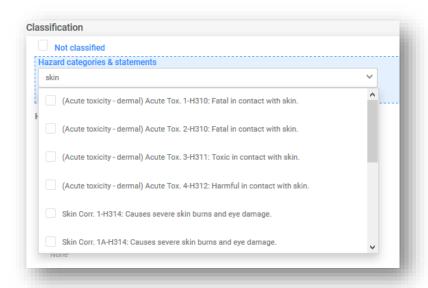
Only a single classification and labelling (C&L) document can exist for a mixture for a standard submission. Entering multiple C&L documents for this submission type will result in a validation rule failure.

5.6.1. Classification information

'Not classified': If your mixture is not classified for any physical, health or environmental hazards, select Not classified. This selection freezes the classification fields.



'Physical and health hazards': Select all the hazard category and statements for each of the hazard classes your mixture falls under, by selecting from the pick list. Pre-emptive text is available for searching.



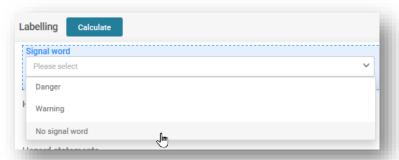
`Environmental hazards': If your mixture is classified for physical or human health hazards, then it is not mandatory to indicate any environmental hazards though they can be included for completeness. If your mixture is only classified for environmental hazards and you are making a voluntary notification, then you will need to indicate the classification accordingly.

'Additional hazard classes': Free text fields are available to include additional hazard classes and statements in all relevant languages.

5.6.2. Labelling information

Like with the substance and mixture-in-mixture datasets, the labelling elements (mandatory for the final mixture) can be automatically filled using the calculate button. The submitter should verify and possibly correct or complete the information generated. The fields included in the labelling document include the following:

'Signal word': Danger, warning or no signal word are available in the drop-down list.



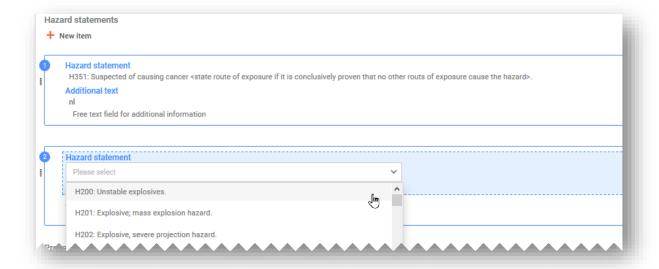
'Hazard pictogram': Multiple selections of hazard pictograms are available according to the CLP Regulation.



'Hazard and Precautionary statements': This section must contain all the correct and relevant (labelling) hazard and precautionary statements from a predefined list of existing values (according to the CLP Regulation criteria).



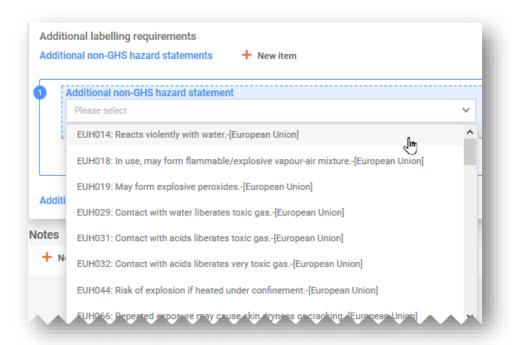
Some statements contain free text fields to include additional text information. It is possible to include this information **in all relevant languages** where the mixture will be placed on the market.



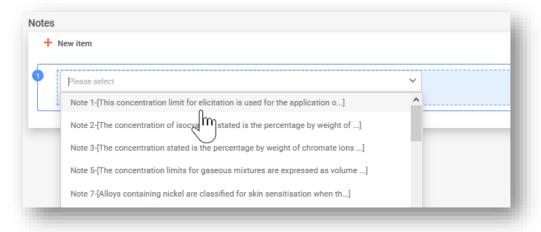
'Additional labelling requirements': This section refers to the supplemental hazard information, namely EUH-Statements.



Some mixtures are classified only for **EUH208**, which applies to mixtures that are not classified as sensitisers but however contain at least one sensitising substance. The Guidance on Annex VIII considers these mixtures are only subject to voluntary notification.



'Notes': This section includes a picklist from which you can select additional sub-categories for GHS classification.



5.7. Mixture safety data sheets and toxicological information

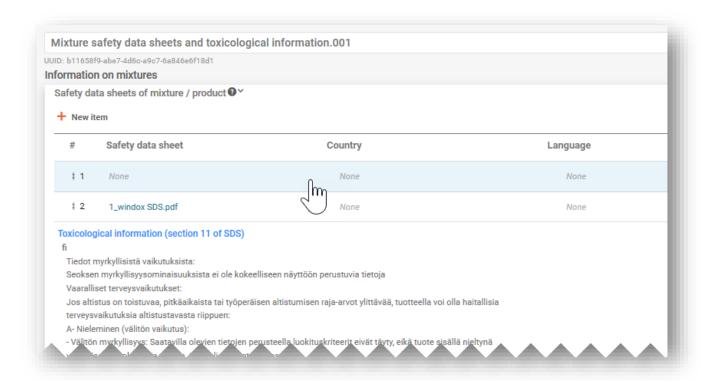
Create a New document for the toxicological information or copy from an existing one where available and relevant. In all cases, this section must include the information on the toxicological effects of the mixture, or its components, as required in Section 11 of the safety data sheet for the mixture.

'Toxicological information (section 11 of SDS)': Only one Toxicological information document is allowed per notification of standard submission. However, if the submission is for multiple markets/languages, then this information must be given for each language selected in the dossier header.



You must ensure that the information entered here is stand-alone information and does not contain, for example, cross-references to other sections of the SDS.

'Safety data sheets of mixture/product': Attaching the safety data sheet (SDS) of the mixture is not mandatory and does not release the duty holder from the provision of information in the required format. Multiple SDS attachments are possible, and Country and Language information can be included.

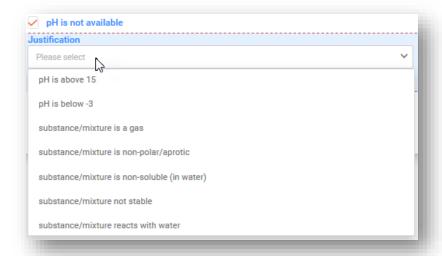


5.8. Additional information

The 'Additional information' section contains the pH data. To provide this information, you can create a New pH document or use the 'Copy data from...' functionality if relevant.

There are two options to provide information on the pH, either:

i) 'pH not available': If the pH cannot be measured, you must tick the pH is not relevant box. You should not indicate a pH value nor a solution concentration. In this case the justification for not providing a value must be given.

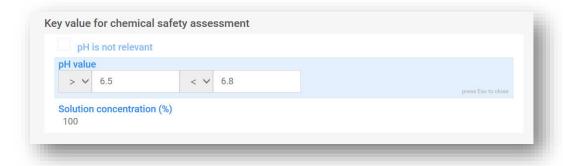


- **Provide `pH value':** To provide a pH value you can provide an exact value or a range:
- To indicate the exact pH value, only a single numerical entry is required
- To provide a range you must use the relevant operators e.g. <,>. The operator ca. is not accepted for reporting the pH in poison centre notifications.
- When the pH = <3 or >=10, the preferred maximum range width is 1 unit.

When the pH 3 < or < 10, the preferred maximum range width is 3 units.

Going beyond these limits will trigger a quality rule contained in a validation report and sent with the notification to the Member State.

'Solution concentration': This is a mandatory field when the pH value is provided.



6. Mixture composition – specific cases

This section covers the mixture composition document in specific circumstances

- Indicating a generic component identifier (GCI)
- Indicating a standard formula (SF)
- Indicating an interchangeable component identifier (ICG)

6.1. Indicating a generic component identifier (GCI)

A Generic Component Identifier can be used to identify those components used exclusively to add perfume or colour, and only if they are **not classified for any health hazard.** These are referred to in this document as 'GCI components'.

The same type of GCI, i.e. 'perfumes' or 'colouring agents, can be used to identify one or more components. However, the total concentration of components identified with a given GCI cannot exceed in total 5% for the sum of perfumes, and 25% for the sum of colouring agents.



On GCI components

Note that a classification & labelling document is mandatory for GCI components.

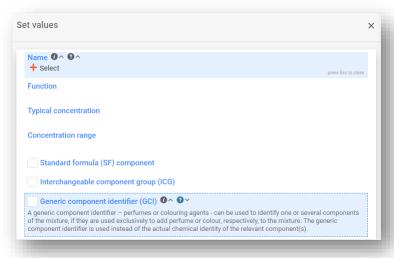
The same GCI can be used more than once in the same composition, as long as the maximum concentration threshold of 5% or 25% is not exceeded.

If there are multiple components to be identified with the same GCI and they have the **same classification** for physical and/or environmental hazards, they can be covered by one single GCI component.

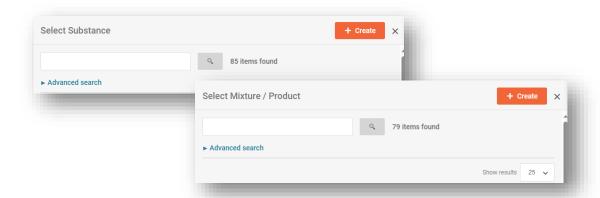
Where multiple components can be identified with the same GCI but do not have the same **classification** for physical hazards, it is recommended to include different GCI components each with the relevant classification record.

GCI components can be either substances or mixture-in-mixtures. In either case, a GCI component must have a 'name' (e.g. 'Perfumes' or 'Colouring agents'), and a 'function' specified (i.e. either perfume or colourant), a concentration indicated, and the GCI check box must be flagged.

To add a GCI component from the mixture composition-document, select '+ New item', and a Set values box will appear.



In the Name field, select 'Substance' or 'Mixture/Product' to open the respective listing page. You can select an available dataset from the list if it has already been prepared earlier, or, you can '+Create' a new one.



To create a new substance item, select +create and provide the Substance Name of the GCI component (e.g. 'Perfumes' or 'Colouring agents'). Enter the Function, Concentration and mark the 'GCI' checkbox. If the GCI component covers multiple perfumes or colouring agents, their total concentration has to be provided.



To create a new mixture item, select +create and provide the Mixture Name and specify a Legal Entity. Enter the Function, Concentration, and mark the 'GCI' checkbox



Note that the Legal Entity (LE) is mandatory to create the IUCLID dataset, however, this is not mandatory for PCN purposes and no checks concerning this information are carried out. Currently a LE selection must be made e.g. it could be your own LE.

The Reference substance information is not required for GCI substance components.

If the GCI component covers multiple perfumes or colouring agents, their total concentration has to be provided (or a range according to table 2, Annex VIII).

If the GCI component is added as a mixture, the standard identification requirements for mixture-in-mixtures do not apply (i.e. no need to provide UFI, composition and supplier's details).

6.2. Indicating Standard Formulas (SF)

Standard formulas have been developed specifically for cement, gypsum, ready-mixed concrete and are listed in Part D, Annex VIII.

1

The identity and concentration of each component must be reported according to the values detailed in Part D of Annex VIII to CLP. For example:

Cement Standard Formula – 1			
Product description	Portland cement with one main constituent: clinker		
Component name	EC No	Concentration (w/w%)	
Portland cement clinker	266-043-4	86,5 – 100	
Calcium sulfate	231-900-3	0 – 8	
Flue dust (1)	270-659-9	0-5	
Inorganic natural mineral materials	310-127-6		
Iron(II) sulfate	231-753-5	0 – 1	
Tin(II) sulfate	231-302-2	0 – 0,1	

Currently, the regulation contains 23 Standard Formulas, each indicating the components contained with their concentration ranges. For mixtures that conform to a specific Standard Formula, less strict requirements in terms of composition apply and the submission can partially deviate from the standard requirements.

You can prepare your own Standard Formula datasets in IUCLID (Section 6.2.1 – 6.2.2) or download ready-made datasets from the Poison Centre website (See section 6.2.3).

A mixture may include a Standard formula as either:

- i. one that constitutes **100% of the final mixture** (in this case the mixture as a whole conforms with the Standard formula and this can be used as such in the submission; no additional components are present in the mixture), or,
- ii. one which constitutes only part of the final mixture as it contains other components in addition to those specified in the Standard Formula.

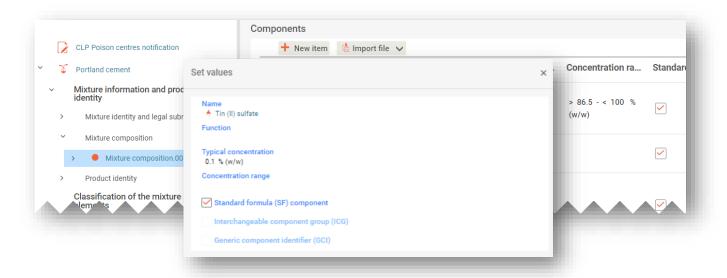
In either case, the Standard Formula can be reported in the composition document of the main mixture or as a MiM.

6.2.1. How to report a standard formula in the main mixture composition document

When the mixture conforms to 100% of a standard formula, or constitutes only part of it, the standard formula components can be reported in the (main) mixture composition document. Here, each component is individually added and flagged as 'Standard formula (SF) component'.

Step 1. From the mixture composition document, first click on +New item and then click on the empty row of the composition table generated.

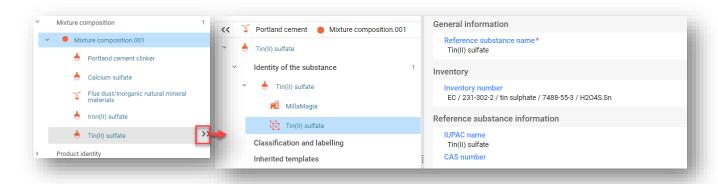
A 'Set values' box is opened and you can enter information for each individual component's name (remember that all substances need to linked to a reference substance as well) in accordance with it's concentration (in line with Part D of Annex VIII); then you need to flag it as 'Standard formula'.



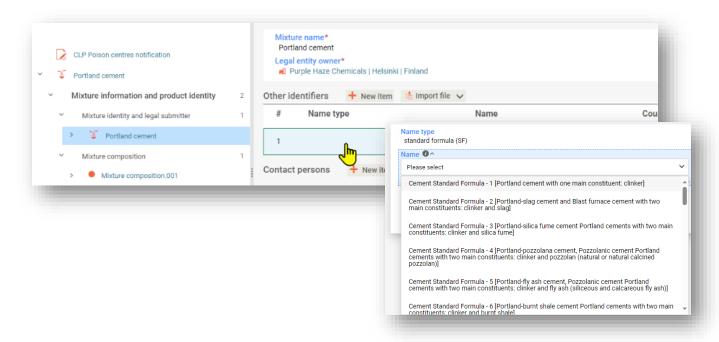
1

If you add additional components that do not belong to the Standard Formula, they **must not** be flagged as a Standard Formula component.

Step 2. After the addition of every relevant SF component to the mixture composition document where each component has been linked to a Reference substance, the classification information must also be entered:



Step 3. If the mixture conforms to 100% Standard formula, then its specific name should be indicated (in addition to the given mixture name) in the 'Other identifiers' field of the 'Mixture identity and legal submitter' section. To do this, go to the Mixture Identity document, click on +New item in the Other Identifiers field. Click on the empty row that appears in the table below. 'Standard formula (SF)' should be selected from the Name Type field, which then activates a drop-down list in the 'Name' field where you can make your relevant selection.



Note that the **Legal Entity** (LE) is mandatory to create the IUCLID dataset, however, this is not mandatory for PCN purposes and no checks concerning this information are carried out. Currently a LE selection must be made e.g. it could be your own LE.

	als). Flag the substand) Flue dust and ii) Inorganic natur component a 'Standard formula (
compor	nent'.						
	Cement Standard Formula – 1						
	Product description	Portland cement with one main constituent: clinker		1			
	Component name	EC No	Concentration (w/w%)				
	Portland cement clinker	266-043-4	86,5 – 100				
	Calcium sulfate	231-900-3	0 – 8				
	Flue dust (1)	270-659-9	0 – 5				
	Inorganic natural mineral materia	als310-127-6					
	Iron(II) sulfate	231-753-5	0 – 1	+-			

6.2.2. How to report a standard formula in the MiM composition document

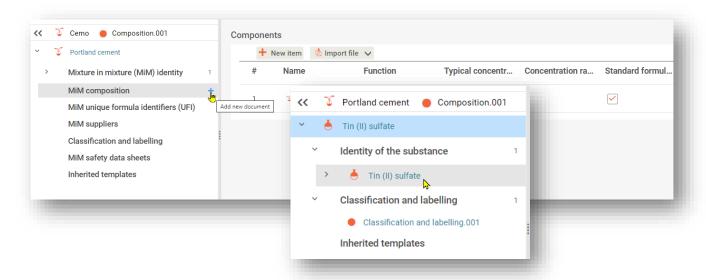
It is possible to report a standard formula in the MiM composition document instead of the main mixture composition, for either a 100% SF or a partial SF component.

Step 1. From the mixture composition document, first click on +New item and then click on the empty row of the composition table generated.

A 'Set values' box is opened and you can select that the component is a Mixture (i.e. a MiM), and then provide details for the Mixture component's name e.g. Portland cement in the example below, and legal entity (this is not checked). In addition, report the concentration as either 100% MiM or other percentage accordingly, and mark the check box 'Standard formula component'.



Step 2. Go to the sub-tree of the MiM component and create a new document for the MiM composition. Complete the MiM composition document by entering more information on the individual components as in the relevant SF from Part D of Annex VIII (including reference substance and classification details) – as well as other information required for the MiM where available and appropriate e.g. UFI or suppliers.



0

Note that it is the duty of the submitter to provide as much detailed information as possible. Therefore, if it is possible to provide more precise concentration ranges than those indicated in the Standard Formula in Part D of Annex VIII (e.g. if available in the SDS), this should be done. The components can still be flagged as SF components so that the rules checking the normal concentration ranges are waived.

6.2.3. Downloading ready-made Standard Formula datasets

For each Standard formula (SF), the composition (components identity and concentration) is specified in Part D of Annex VIII. For example:

Cement Standard Formula – 1					
Product description	Portland cement with one main constituent: clinker				
Component name	EC No	Concentration (w/w%)			
Portland cement clinker	266-043-4	86,5 – 100			
Calcium sulfate	231-900-3	0 - 8			
Flue dust (1)	270-659-9	0 – 5			
Inorganic natural mineral materials	310-127-6				
Iron(II) sulfate	231-753-5	0 – 1			
Tin(II) sulfate	231-302-2	0-0,1			

For ease, SF datasets have been pre-prepared and are available for download and use in your IUCLID instance. Note that only the compositional information (name and numerical identifiers, and concentration as defined in the legal text) have been included in the dataset. Therefore, you will need to complement these datasets with other information e.g. the classification. Of course, preparing your own SF datasets is also possible if preferred.



6.3. Indicating an interchangeable component group (ICG)

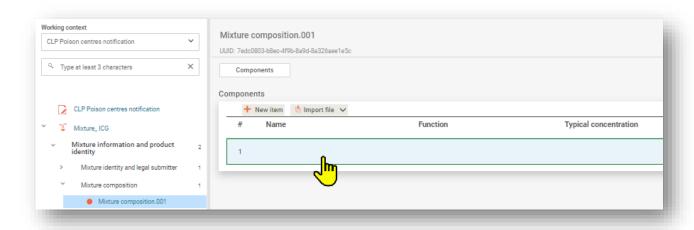
An 'Interchangeable component group (ICG)' consists of multiple components that are similar to each other according to certain criteria, where each component can be used interchangeably in a mixture without altering that mixtures overall classification, hazard or emergency health response.

Using the ICG is envisaged when it is not possible to know the exact concentration at each point in time of certain components if they are used interchangeably and/or purchased from different suppliers. For more details about the criteria that components have to meet in order to be notified as part of an ICG, refer to the Guidance on Annex VIII (https://echa.europa.eu/guidance-documents/guidance-on-clp).

The components within an ICG are called interchangeable components. When preparing a poison centre notification, the group is first created as for a Mixture/product (i.e. MiM) component and then the interchangeable components (substances or MiMs) within it are added and further identified.

6.3.1. Step 1- Indicating the group

The mixture composition document is where all components, i.e. substances or MiMs, and the ICGs are added by selecting '+New item'

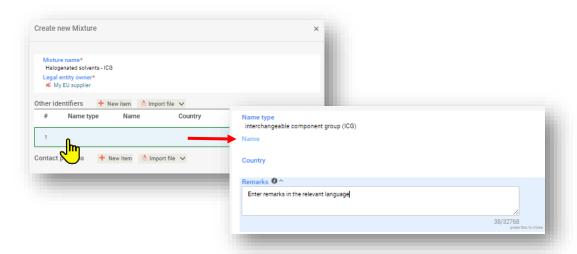


A **mixture component** is created for the ICG (i.e. a MiM) and a **name** is entered for the group in the Name field that corresponds to the technical function(s) of the grouped components.



The **name** of the grouped components should be meaningful and indicative of the components contained to allow the emergency operator to easily identify which kind of components can be present in the final mixture.

A selection for the **legal entity** is required. A more detailed description of the ICG can be provided in all the relevant languages by adding a '+New item' in the Other identifiers section and selecting the Name type 'Interchangeable Component Group (ICG)'. The information is to be included in the multi-language Remarks field.



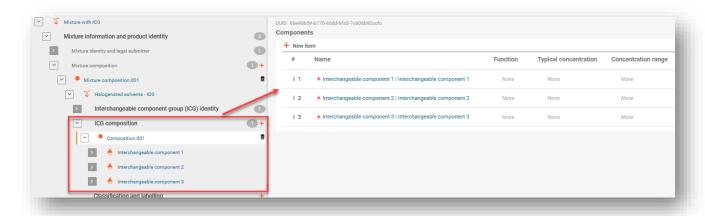
The **Legal Entity** (LE) is mandatory to create the IUCLID dataset, however, this is not mandatory for PCN purposes and no checks concerning this information are carried out. Currently a LE selection must be made e.g. it could be your own LE.

The **concentration** of the ICG component (and not the single components grouped) in the final mixture is provided and the **ICG check box** is marked.



6.3.2. Step 2 – Indicating information for the components

Once the ICG is created/flagged in the Mixture composition document, the individual interchangeable components can then be added in the specific 'ICG composition' document.



Interchangeable components have to be identified following the standard rules for substances or MiMs, i.e.:

- Each substance component included in an ICG has to be identified as per any other substance and requires a link to a Reference substance.
- Each MiM component included in an ICG will have to be identified by:
 - i) its name and the full composition (if available); or
 - ii) its name and UFI (if available), or
 - iii) its name, the composition from the SDS and the supplier's details.



The components included in an ICG do not require concentration information.

The information on **classification** can be provided for the ICG as a whole, in particular when all the components have exactly the same composition. When small differences exist (possible at subcategory level), the classification should be provided for each component individually. In this case the classification for the ICG should not be provided.

Information about **pH** and **toxicological properties** for the ICG as a whole (i.e. relevant to all the components included in the ICG) can be provided but it is not legally required.

Information about concentration has to be provided for the ICG as a whole, and not for the single components grouped in the ICG. If the concentration of the individual interchangeable components is known, these should not be notified as part of an ICG.



🗘 A MiM flagged as an ICG cannot include a component additionally flagged as:

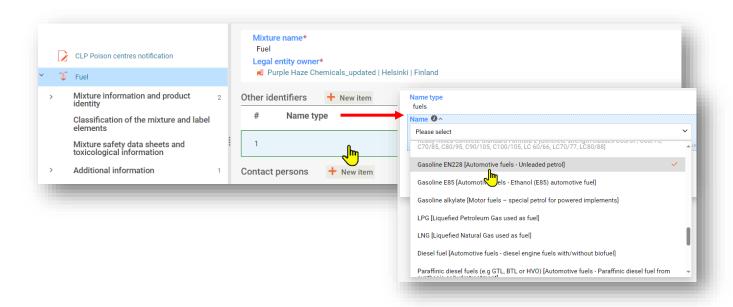
- Standard Formula
- Generic Component Identifier
- another Interchangeable Component Group

6.4. Notifying fuel mixtures

Like mixtures conforming to a Standard Formula (SF), certain **fuels** (i.e. those listed in Table 3, Annex VIII) can also deviate from standard information requirements. For allowed fuels (only those listed in table 3 of Annex VIII), the composition can be reported according to the Safety Data Sheet (and, in addition, any the other available compositional information available).

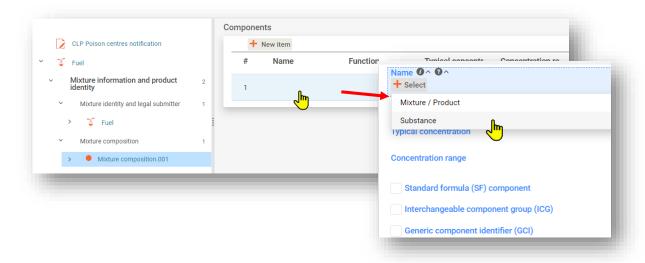
6.4.1. Step 1 - Identify the fuel

Identify the fuel at the main mixture level in the 'Other identifiers' section by selecting 'Fuels' in the *Name type* field and making a selection of the relevant fuels name from the picklist.



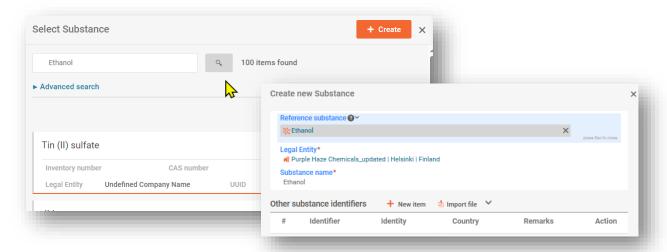
6.4.2. Step 2 - Add fuel components

In the (main) mixture composition document, add each component by creating a New item and providing the information for the component by selecting the component type (Substance or Mixture) in the Name field.



6.4.3. Step 3 - Identify components

All reported substances must be named and linked to a Reference substance document and classification information provided.



6.4.4. Step 4 - Complete compositional information

Complete the Set values box by providing the compositional details for each component and select the 'Standard Formula (SF) component' check box. The remaining sections of the notification must be completed.





The components need to be flagged as 'Standard Formula (SF) component' so that the rules checking the normal concentration ranges are waived.

7. Other considerations for special mixtures

This section covers:

- Multi-component products
- Combination of mixture/article

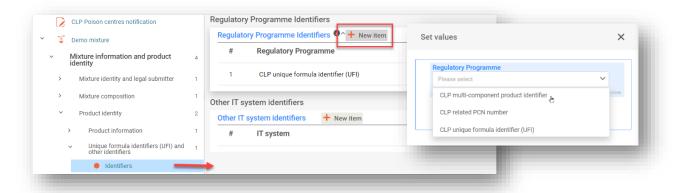
7.1. Indicating multi-component products

Multi-component products are products containing a set of distinct mixtures (e.g. reagents, testing kits, dish washing tablets, etc.) where each mixture has to be notified individually with its own unique formula identifier (UFI).

The UFI and other identifiers section allows to indicate that certain mixtures (notified separately) belong to the same (multi-component) product by indicating a PCN multi-component product identifier.

The PCN multi-component identifier:

- should be used in all notifications related to the same multi-component product
- format is not checked by the validation rules but a rule is in place to ensure that if 'CLP multi-component product identifier' was included, then there should be another notifications submitted with the same 'CLP multi-component product identifier' identifier.
- Existing identifiers can be used as relevant by the notifier e.g. the trade name of the multi-component product); or a new identifier or universal unique identifier (UUID))





If your mixture/product is related to a **multi-component** product, then all relevant information (e.g. on the mixture created upon use) should in addition, be included in the toxicological information field. In this case, it must be clear which information refers to the mixture and which to the multi-component product.

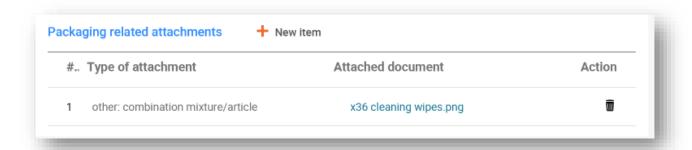
7.2. Indicating combined mixture/article

Some mixtures are placed on the market in combination with articles and may be required to be notified. For example, a cleaning wipe is an example of a combination of a mixture and an article (functioning as a carrier).



Assess your object by applying the criteria detailed in Chapter 2 of the **Guidance on requirements for substances in articles** (https://echa.europa.eu/guidance-odocuments/guidance-on-reach.).

Indicating **mixture/article combinations** is not supported by the PCN format. Though it is possible that this information could be included in the Packaging related documents, e.g. by attaching a picture.



8. Group submissions (for mixtures differing only in perfume components)

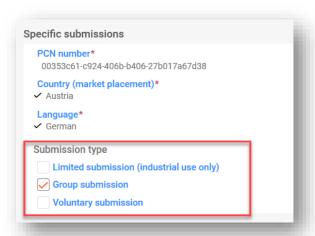
A group submission (GS) can be optionally prepared for several mixtures provided:

- i) all mixtures contain the same composition except for certain perfumes under specific conditions (and where the total concentration of the differing perfumes contained in each mixture, does not exceed 5%).
- ii) reported concentrations/ranges for each of the components is the same (except for certain perfumes)
- iii) all mixtures in the group have the same classification for health and physical hazards

The sections below provide only specific information relevant for a Group submission. The dossier must contain all the information required by Annex VIII as applicable and explained in the previous sections.

Step 1 – establish the correct submission type

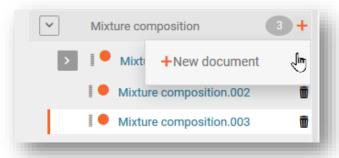
Specify the Submission type as 'Group submission' in the dossier header.



A single mixture that is marketed under different mixture/product information e.g. trade names, UFIs, is notified with a **standard submission**. Please note that standard notifications can include multiple product information documents as described in section 5.5

Step 2 - enter individual mixture compositional information

Multiple mixture composition documents are required for a Group submission. An individual mixture composition document must be created to reflect the composition of each mixture contained in the notification.

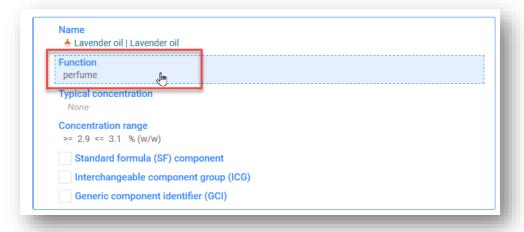


All components (substances or MiMs) are added to each mixture composition document – note that you can use the 'Copy data from ...' functionality to avoid repetitive data entry of shared components. Therefore, it is possible to first create a document containing only the common composition and duplicate it as many times as the number of the mixtures to be included in the group (see section 4.3.4.3). The specific, not-common components can then be added to each relevant composition document.



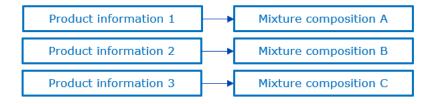
All substance components and MiM-substance components are required to be linked to a Reference substance and the classification information provided.

The 'Function' of the perfume component must be specified as 'Perfume'. Concentrations are to be indicated either as an exact concentration (i.e. 'Typical concentration'), or as a 'Concentration range'.

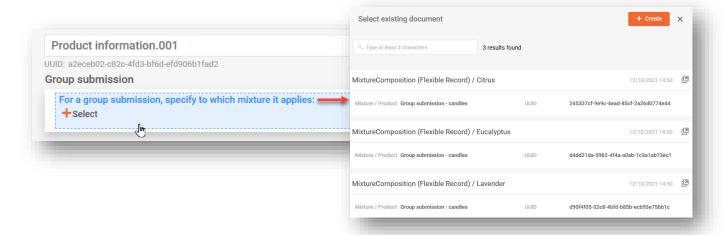


Step 3 – enter and link product information for each mixture

In a Group submission, one Product information document must be created and linked to each mixture composition that it represents:



Selecting and linking the Mixture composition to a Product information document is achieved in the field 'For a group submission, specify to which mixture it applies'.



Currently, each Product information document can be linked to one mixture composition only. Therefore, even if the same product information applies to several mixture compositions, then several documents will have to be created.

Step 4 - include and link single or multiple information documents

Additionally, multiple documents can be created for:

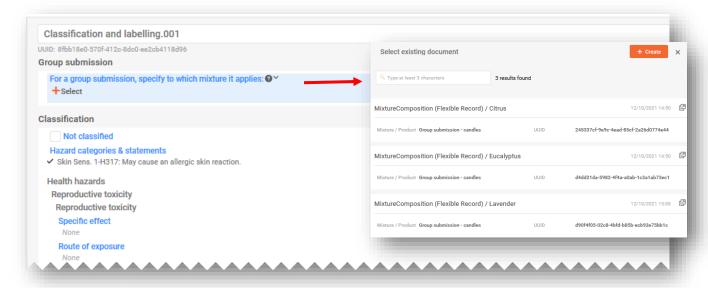
- i) Classification & Labelling
- ii) Toxicological information
- iii) pH

It is always necessary to indicate to which mixture composition the specific record refers to, by using the specific field. Note in these cases a single document can link to one or multiple mixtures. Therefore, if the same information applies to several mixture compositions, these can all be selected in the same field.



8.1.1. Reporting classification and labelling in the Group submission

For Group submissions, multiple Classification and labelling (C&L) documents may be reported (for instance if the classification for environmental hazards is different across the mixtures of the group and the submitter decides to provide this information).

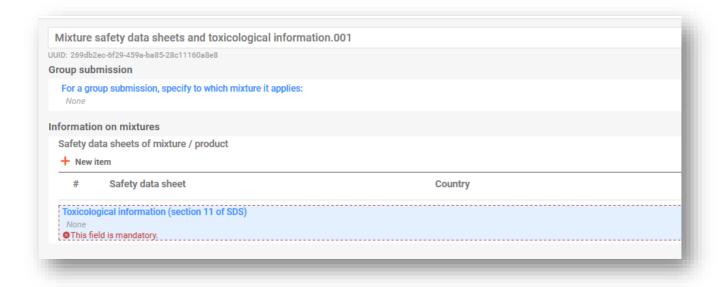




The same C&L document can be linked to multiple compositions of the same Group submission.

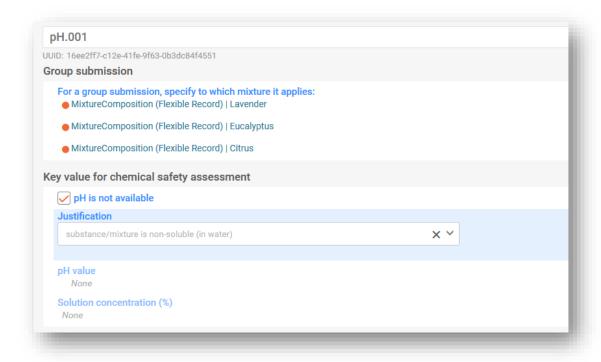
8.1.2. Reporting toxicological information in the Group submission

In the case of Group submissions, multiple Toxicological information documents may be reported, if relevant. Each document has to be linked to the relevant mixture composition. Alternatively, one single document can be linked to multiple compositions by selecting them from the specific field.



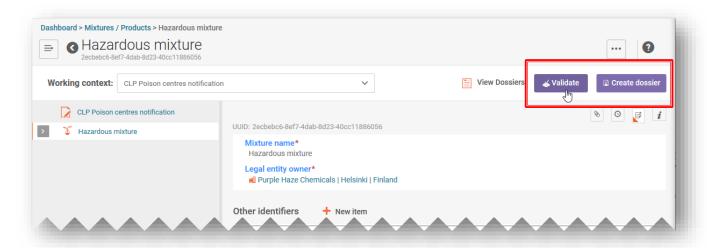
8.1.3. Reporting pH in the Group submission

Single or multiple pH documents may be reported. Each document has to be linked to the relevant mixture composition. Alternatively, one single document can be linked to multiple compositions by selecting them from the specific field.



9. Validate and create a PCN dossier

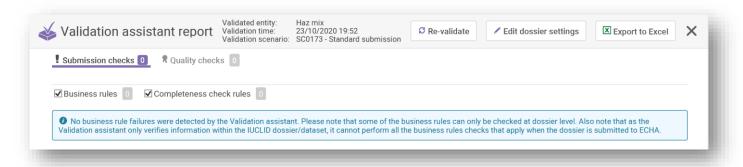
Before creating a dossier, it is recommended to first check the dataset for any errors or missing information using the 'Validate' functionality. This functionality carries out a number of checks according to a set of pre-defined rules to verify that you have provided the information as expected.



The Validate function will display the outcome of the check in a 'Validation assistant report' as either:

- i) 'Succeed' without triggering any rules
- ii) **'Succeed with warnings'** i.e. quality rule triggered, denoted by the orange
- iii) 'Fail' i.e. a business rule triggered, denoted by the red X

When no rules are triggered, the 'Validation assistant report' will display a message to highlight that additional checks are performed in the system upon submission.

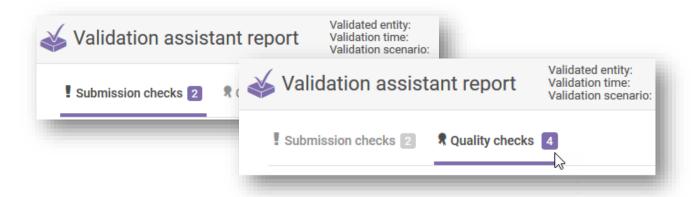




Because of the additional checks run in the ECHA Submission portal, note that it is possible to trigger a rule after you have submitted the information even if the 'Validation assistant report' passes prior to submission. These Portal rules check the consistency between the information the user is submitting and the information already available in the database (for example, from previous submissions made by the same user or by other users).

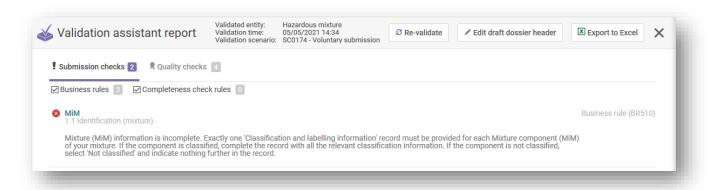
9.1. Validation assistant report

When the 'Validation assistant report' is generated there are two tabs – one for failures (marked as Submission Checks) and the other for warnings (marked as Quality checks). Each tab indicates the number of rules that have been triggered and you can switch between both lists to view the results.



9.2. Submission checks

A submission check indicates a failure which means that the dossier would not be accepted by the system, nor forwarded to the authorities. It is recommended to check the sections of the dossier which require action by clicking in the hyperlinked failure in the 'Validation assistant report' and making changes where relevant.





If you are submitting an initial submission which has failed, then once the corrections have been made, and a new dossier created, it still remains an initial submission and not an update.

9.3. Quality checks

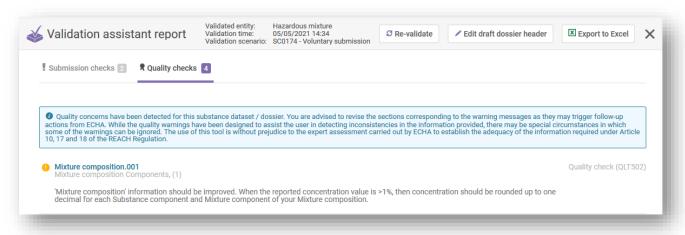
A quality check serves as a warning or reminder that there may be shortcomings or inconsistencies. Nevertheless, this cannot be assessed by the system and it depends on the specific case. These rules do not prevent a successful submission. In other words, notifications that are *Successful with warnings* will be dispatched to the relevant Appointed Bodies along with the 'Validation assistant report' detailing the warnings listed.

However, the need for reviewing and possibly correcting the information should be considered.



A 'Validation assistant report' containing warnings may lead to further clarification requests by Member States at a later stage. Nevertheless, you may have valid reasons for ignoring the warning.

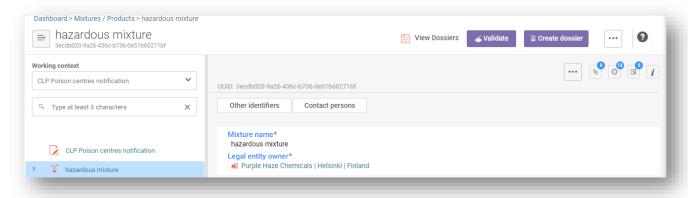
Note that warnings do not necessarily require corrections. It is the submitters responsibility to assess whether corections are needed.



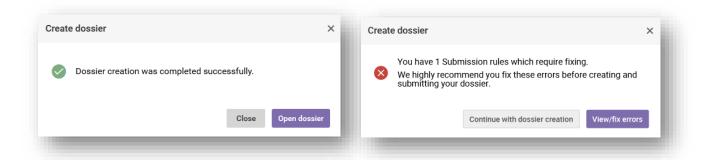
For a complete list of all the rules, i.e. those checked in the IUCLID and the Portal, you may refer to latest version of the **Validation rules for poison centre notifications**https://poisoncentres.echa.europa.eu/poison-centres-notification-format

9.4. Creating a dossier

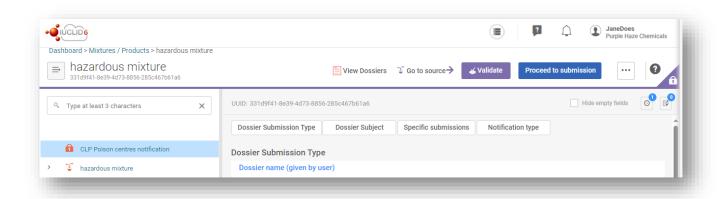
The 'Create dossier' functionality is available from the mixture page. Once clicked, you are directed to the dossier header of which the details can be checked.



Clicking again on 'Create dossier' at the bottom of the page will either successfully create the dossier or display a message that there are a number of rules that require fixing.

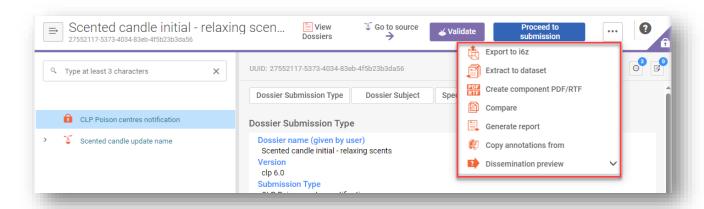


Once the dossier has been created it will appear with the purple lock symbol. If you are working in IUCLID Cloud, there will also be the option to 'Proceed to submission'.



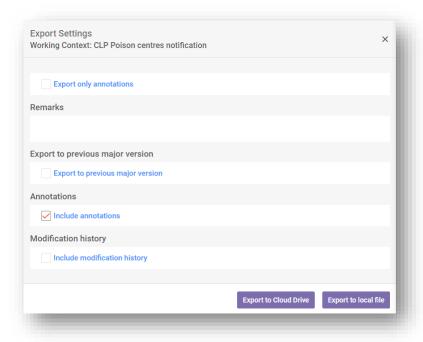
10. Dossier report and export functions

From in the dossier, clicking on the three dots '...' opens a window where various functionalities are available. Of most importance for PCN are the 'Export to i6z' and the 'Generate report'.



10.1. Exporting a dossier (or dataset)

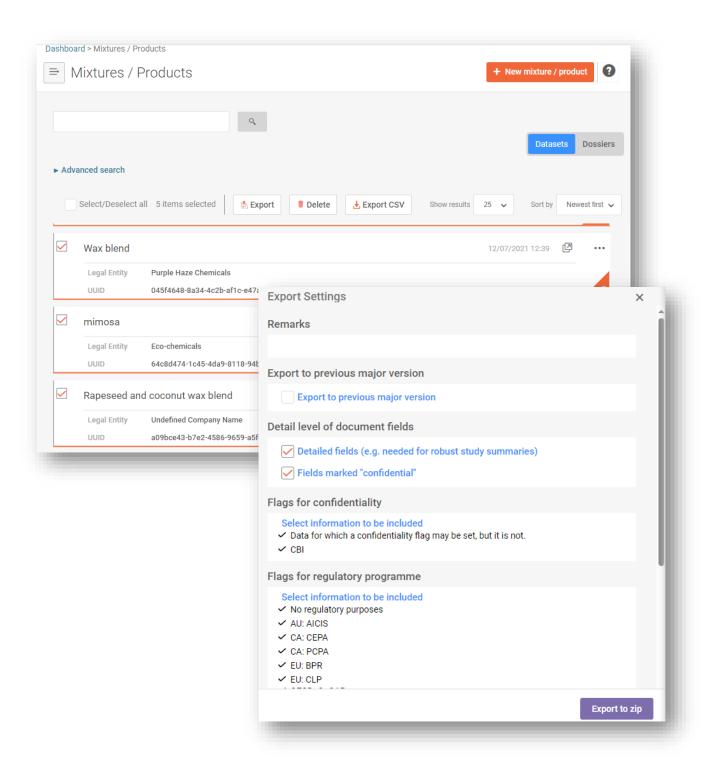
You can export a single dossier or dataset locally by clicking on the three dots '...' in the top left corner and selecting 'Export to i6z'. You may want to give the dossier a meaningful name to help you with dossier management.



10.1.1. Bulk export functionality (datasets or dossiers)

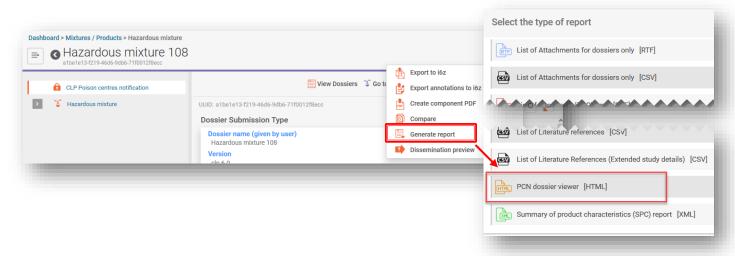
Substance and Mixture datasets as well as dossiers can also be exported either singly or in bulk by marking the tick box next to each item. Note that each item needs to be selected individually, there is no 'Select/deselect all' feature.

Once you select 'Export', the Export settings box will open for datasets (and there you have the possibility to change the exported information) before exporting the selected items to a zip file.



10.2. Generating a report for PCN

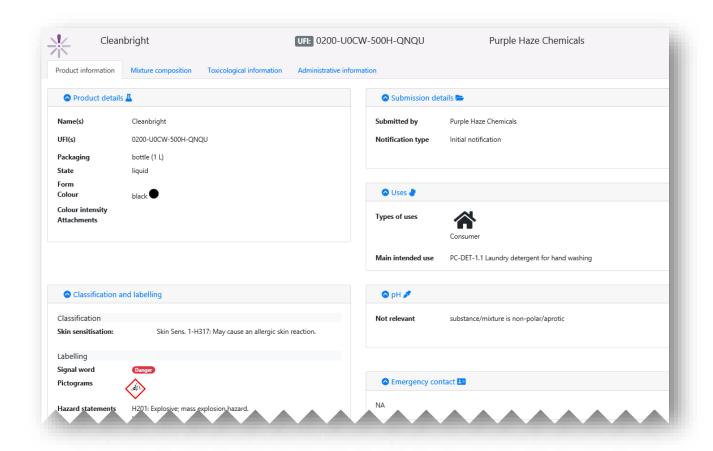
Clicking on 'Generate report' from the dossier opens a list of the available report types in IUCLID.



Selecting **'PCN Dossier Viewer**' generates a web-based report with tabs for different sections of the dossier.



Note that the HTML report (in full or selected section) can be printed as a pdf using the browser settings available.



11. Making updates

Once a dossier has been created, and a successful submission made, it is possible to update the mixture/product dataset and create an updated dossier.

To update a mixture/product dataset it is possible to

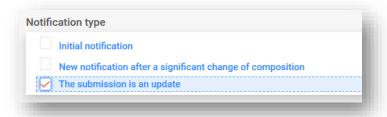
- i) Overwrite the previously existing dataset
- ii) Create a 'Clone' of the previously prepared dataset and edit accordingly
- iii) Create a new mixture/product dataset and enter new/copy previously prepared information as relevant



The duty holder must ensure that the relevant Appointed Bodies have a valid and up to date notification at all times, reflecting the mixture as placed on the market.

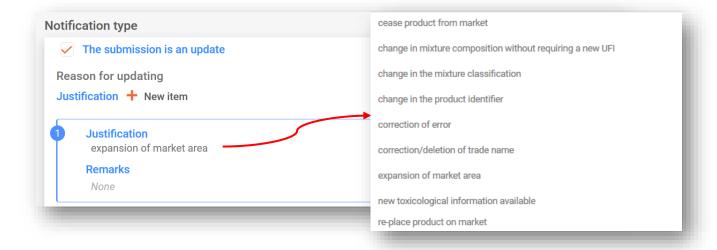
11.1. When the submission is an update

From the relevant Mixture dataset, open the dossier header and select 'Update'. If 'Initial notification' is selected, then unselect this field in order to activate the full list of Notification types and select 'The submission is an update'. If an update is required for a notification that does not trigger the need for a new UFI, then the submission is considered an update.



11.1.1. Updates - 'Justification' field

An updated submission always requires a justification. The available picklist contains a limited set of reasons for updating.



11.1.2. Updates - 'Remarks' field

It may be that you need to enter more information about the update in the Remarks field. Note the free text field is available for all relevant languages.

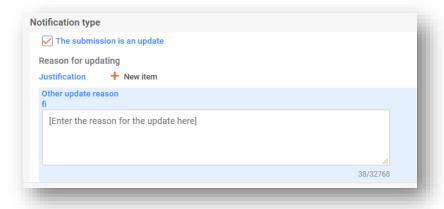


The following are examples when the Remarks field can be used to provide additional useful information:

- When the generic justification **'Correction of error'** is selected. The additional information will allow the reader to easily identify the new or corrected information.
- When a **'Change in mixture composition without requiring a new UFI'** is selected. The additional information clarifies that a change in perfume components occurred in mixtures within a Group submission.

11.1.3. Updates - 'Other update reasons'

It may also be that an update reason is not listed in the picklist, for example, a change in the emergency contact, or a new packaging type. For this purpose, multiple free text fields are available for every relevant language.



11.1.4. Explaining the update types

Some updates are listed in Annex VIII Part B section 4.1, require you to update the notification before you place the mixture, as changed, on the market. These are explained in Guidance on Annex VIII https://echa.europa.eu/quidance-documents/quidance-on-clp

These update reasons cited in Annex VIII part B section 4.1 are also reflected in the available picklist and include:

- **'Change in the mixture classification'** any change in mixture classification must be reported in an update, including a change from a hazardous mixture to a non-hazardous mixture.
- 'Change in the product identifier' new trade names, additional UFIs or any other names.
- 'New toxicological information available'

In addition to this, the picklist also facilitates other reasons:

- 'Cease product from the market' see section 5.5.2.4 on how to indicate ceased markets in the product information section. Additionally, the 'Remarks' field for update justifications can be used to specify which market areas are concerned by the update.
- 'Re-place product on the market' for example, when re-placing a previously ceased product back on the market.
- 'Change in the mixture composition without requiring a new UFI' for example in an update a standard submission to a group (and vice-versa).
- **'Correction of error'** This is when you entered invalid information in an existing notification e.g. wrong phone number. Use the Remarks field for update justifications and specify what the error was.
- 'Correction/deletion of trade name' For example, if there is a misspelling of the trade name or when the incorrect trade name has been entered.
- **'Expansion of market area'** allows you indicate new market areas, but it is not possible to remove them from a notification. Note a quality rule will be triggered in this case.

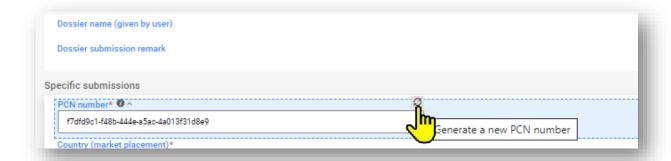


Some validation checks can only be performed after the submission process has been initiated, particularly in the case of updates.

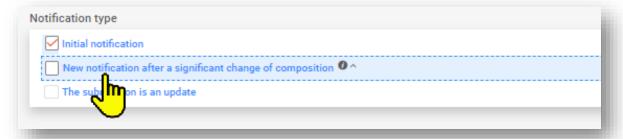
11.2. When the submission is a 'New notification after a significant change of composition'

When the mixture composition changes beyond the allowable limits defined in Annex VIII to CLP, by default, this will lead to two chemically different mixtures on the market. In this case, you must make a notification for the new composition. This new notification must be linked to the previous notification:

i) Generate a new PCN number in the dossier header (first you need copy the original PCN number as you will need that in step iii) below)



ii) Deselect 'Initial' notification type and select 'New notification following a significant change in composition) in the dossier header



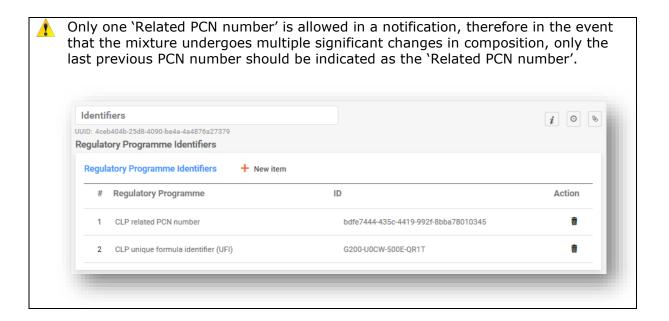
iii) Generate and assign **a new UFI** and enter the previous PCN number (this becomes the **related PCN number**) in the Regulatory Programme Identifiers





If your new mixture composition changes the classification from hazardous to non-hazardous a new UFI is not needed (the original UFI cannot be used in the new notification) and you can select the 'Voluntary submission' in the dossier header – note that the quality rule QLT508 will be triggered.

iv) Make the necessary changes throughout the dataset where relevant e.g. related to the composition, toxicological information, trade name etc.



12. Working in the ECHA Submission portal

The ECHA Submission portal provides companies with an online platform to upload/submit and review/manage poison centre notifications submitted to Appointed Bodies and their poison centres.

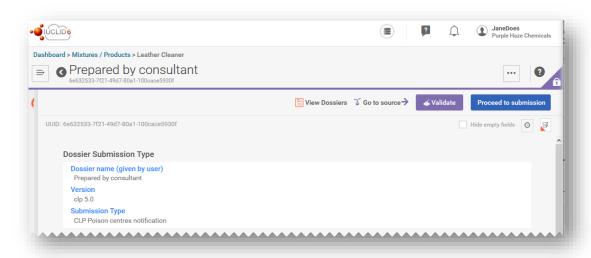
12.1. Upload dossier

Uploading a dossier (.i6z file) can be done either:

- I. Directly from IUCLID Cloud i.e. by clicking on 'Proceed to submission', or.
- II. Manually in the ECHA Submission portal via the 'Browse' feature.

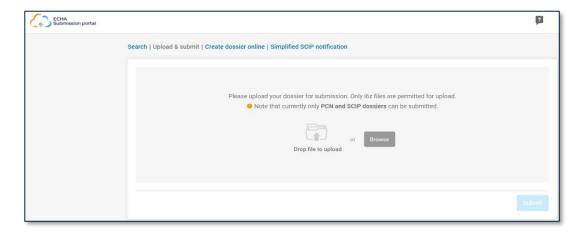
12.1.1. Proceed to submission from ECHA Cloud

Clicking 'Proceed to submission' automatically uploads the dossier to the ECHA Submission portal.



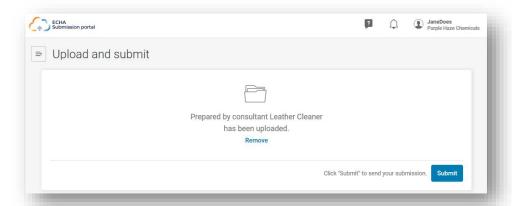
12.1.2. Upload in the ECHA Submission portal

Select 'Submit a IUCLID dossier' from the CLP Poison Centres Notification area, to go to the upload and submit page from the Portal dashboard.

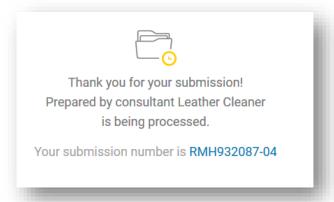


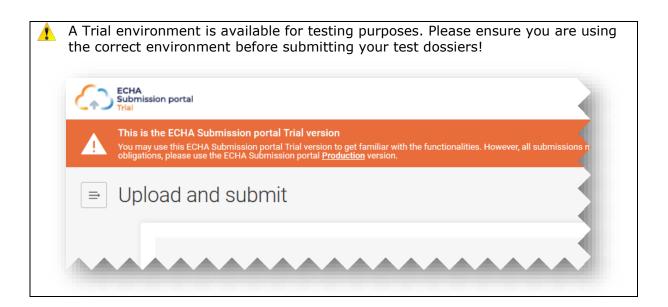
12.2. Submit

Once uploaded (either automatically from the IUCLID Cloud, or manually by the logged in user), the dossier will need to be submitted by clicking on the 'Submit' button. If needed, the dossier can be removed from the upload section.



Following a successful submission, a 'Submission number' is automatically assigned by the submission system. This number can be used to track or monitor a submission if any issues arise during the submission process.



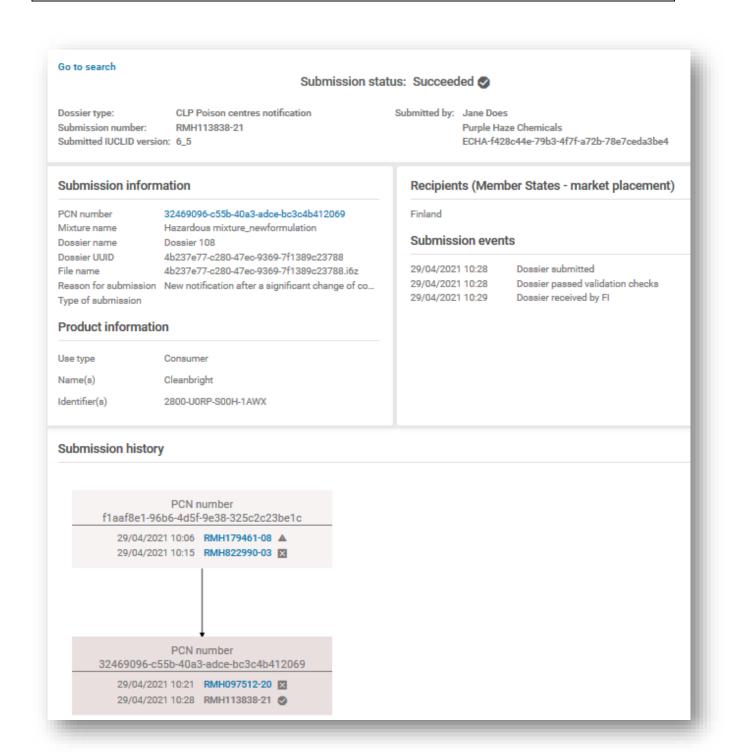


12.3. Checking the Submission report

A Submission report is generated for every submission and summarises the status and context of the submission.



The ECHA Submission portal does not send any communication about the notification after a submission has been made. Please check the Submission report for the Submission status and the Submission events.



12.3.1. Information contained in the Submission report

The 'Submission report' details the status of the submission, the Submission number, the IUCLID version of the submitted dossier, and the details (name and legal entity UUID number) of the submitting legal entity. The Submission report contains the additional following information:

Submission status:

Status	Description	
Succeeded	Succeeded status means that all automated validation rules have passed and the dossier has been forwarded to the Appointed Body. No validation report is generated.	
Succeeded (with warnings)	Succeeded (with warning) means that some quality rules have been detected and are visible in the validation report.	
Failed	Failed means that you need to address the error in your notification as indicated in the Validation report, and resubmit according to the notification type (see section 4.2.3).	
Pending	Pending means that the submission has not been processed yet. Try to refresh the submission report page if it is displayed for a longer period, do not resubmit.	
Disabled 🚫	Disabled means the submission has been disabled but it still remains visible to the legal submitter and the relevant Appointed Bodies.	

Submission information includes the data and meta-data for the submission either entered by the submitter (e.g. Mixture or dossier name) or generated by the system (e.g. dossier UUID).

Product information is a summary of the use types, the tradenames and UFI codes.

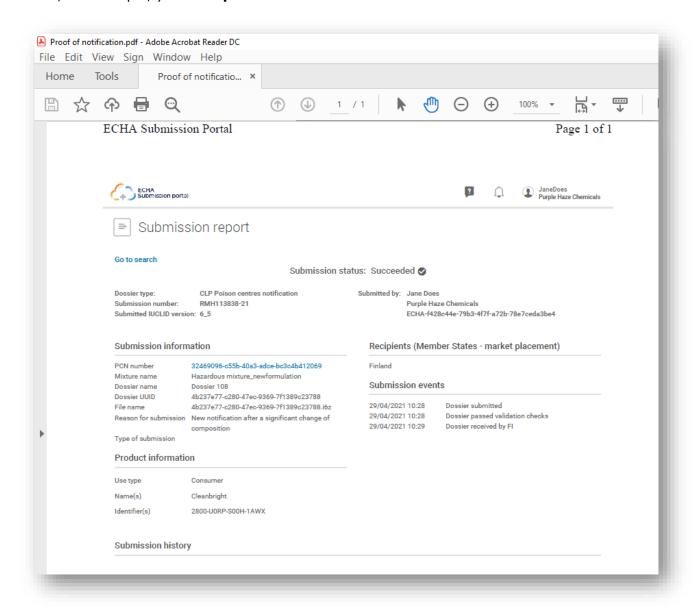
Recipients includes all the Member States that were indicated in the notifications (dossier header section)

Submission events details the time stamp of the main events such as when the dossier was submitted, when the submission passed/failed the validation checks and when it has been received by the selected recipients.

Submission history can be used to track all submissions related to a particular PCN number. This is especially useful where updates have occurred, and even in cases of where a new notification has been made following a significant change in composition.

12.3.2. Saving the Submission report

Using your browser default functionality, the Submission report can be saved and printed in PDF if, for example, you need **proof of notification**.



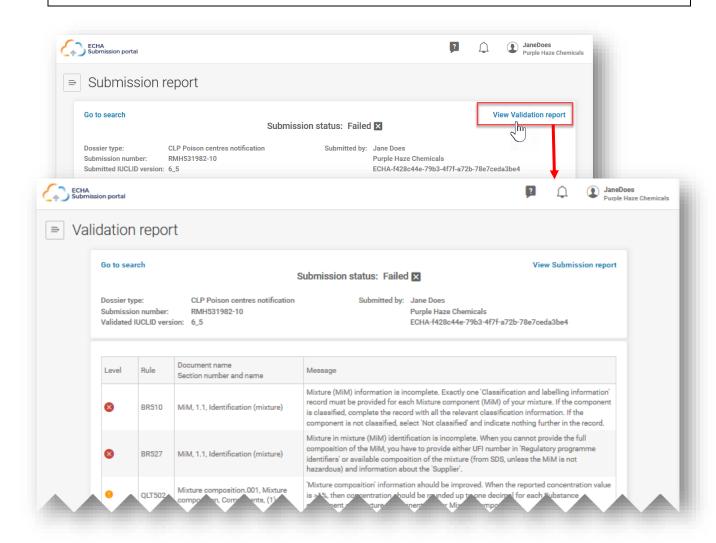
12.4. Submission portal Validation report and resubmission after failure

Given that additional checks are performed by the system upon submission, it is possible to trigger a validation rule ('BRXXX') or quality rule ('QLTXXX'). For a complete list of all the rules you may refer to latest version of the **Validation rules for poison centre notifications** https://poisoncentres.echa.europa.eu/poison-centres-notification-format

The ECHA Submission portal creates a 'Validation report' – the link to this report is available from the Submission Report. When the Submission Status is set to 'Succeeded with warnings' the Validation report is also forwarded to the Appointed Body.



If you have failed a Validation or Quality check and need additional support from the Helpdesk, make sure you include the specific rule number. It is also helpful to send screenshots of the are that is triggering the rule.

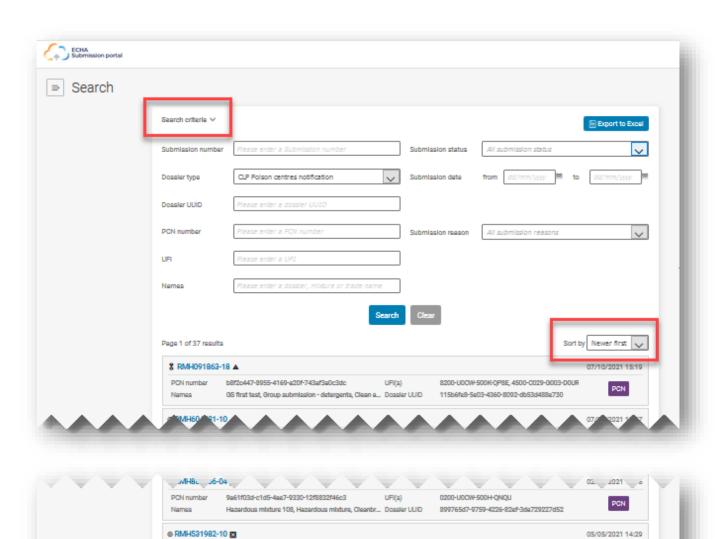


PCN

12.5. Searching poison centre notifications in the Submission portal

All the submissions made by the active legal entity will be listed in the search page, each submission is assigned a Submission number.

- Search criteria can be entered accordingly e.g. by submission number, PCN number, UFI, submission status, etc.
- A 'Sort by' functionality (either Newer first or Older first) is available
- It is also possible to scroll through the pages if there are multiple pages of search results.



UFI(s)

4 > Items/page 10

899765d7-9759-4226-82x1-3dx729227d52

PCN number

9a61f03d-c1d5-4ae7-9330-12f8832f46c3

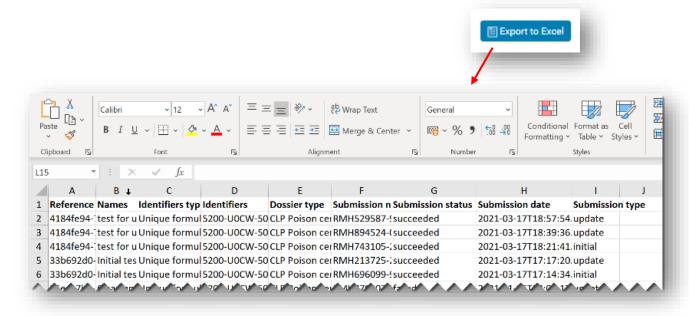
Hezerdous mixture 108, Hezerdous mixture, Cleanbr... Dossier UUID

The Submission number is a link to the Submission report for a particular submission. There are various symbols to indicate if the submission is an initial or update, or if it has a successful $(\ensuremath{\square})$ or failed status $(\ensuremath{\square})$. Hovering over the symbol in the application will display what the symbol represents.

Notification type	Description	
Initial Submission	A successful initial submission must always exist for a notification	
Update Submission	An updated submission is where certain changes have been made that do not affect the mixture composition	
New notification after a change in composition	A new notification after a change of composition maintains the link between the previous mixture composition (through the previous PCN number)	

12.5.1. Exporting search results from the portal to Excel

An Export to Excel functionality is available in the top right-hand corner of the search page, which exports specific information about the dossier.



12.6. Request to disable a submission in the ECHA Submission portal

It is possible to disable a successfully submitted dossier in the ECHA Submission portal. Disabling a submitted dossier may be needed because a company:

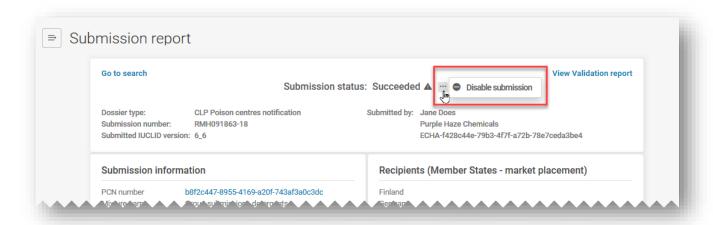
- notified wrong information that cannot be deleted or fully replaced due to existing validation rules for updates
- has submitted the wrong submission type e.g. a new notification for significant change of composition instead of an update of an existing notification having the same composition
- mistakenly submitted a test dossier in the production environment
- made a submission from the wrong legal entity account e.g. as a consultant



The disabling feature is only to be used in specific circumstances, namely when incorrect data has been submitted that **cannot be corrected in an update**.

Disabled submissions are **not to be confused** with ceasing a product from the market'.

The 'Disable submission' functionality can be accessed via the three dots '...' from the Submission report. Only the latest valid submission e.g. if the notification has been updated, will offer this feature.



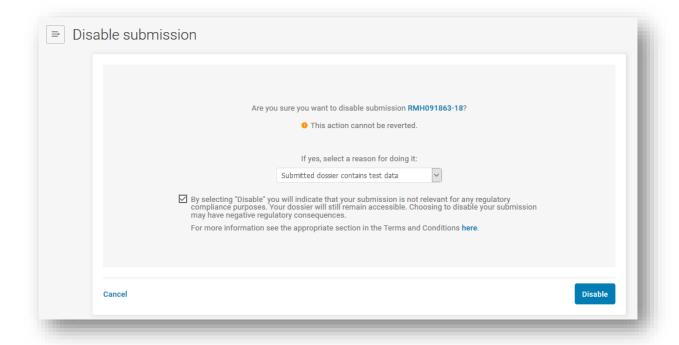


In case of updated submissions, it is only possible to disable the latest submission – therefore you also need to consider if you need to also disable the initial submission after you have disabled the updated submission.

Once you have clicked on 'Disable submission', a reason must be selected according to the list provided.



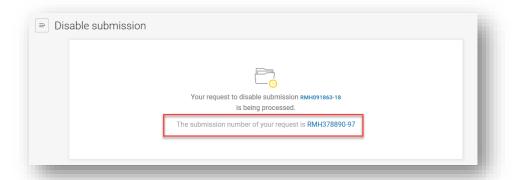
You must also declare that you have read and understand the possible regulatory consequences before the 'Disable' feature is activated. The Terms and Conditions for the service and use of the ECHA Submission portal is available in all EU languages https://echa.europa.eu/support/dossier-submission-tools/echa-cloud-services



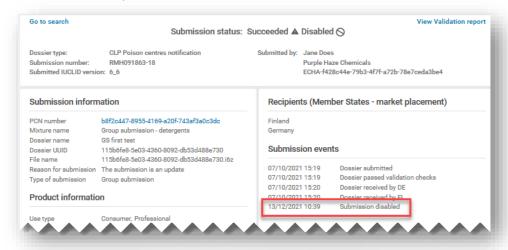


When disabling a submission, it is responsibility of the company to inform downstream customers who may be affected by the disabling process as there is no communication to inform those companies who make reference to such submissions.

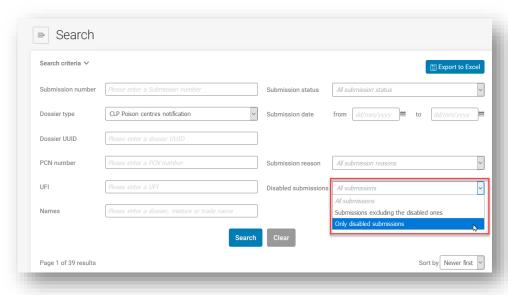
Once the request to Disable submission [Submission number] has been initiated, a submission number for the request will also be generated.



Disabled submissions are marked accordingly as 'Disabled' in the Submission status (depicted by the circle-backslash symbol), however, note that they will remain visible and accessible by Appointed Bodies and poison centres.

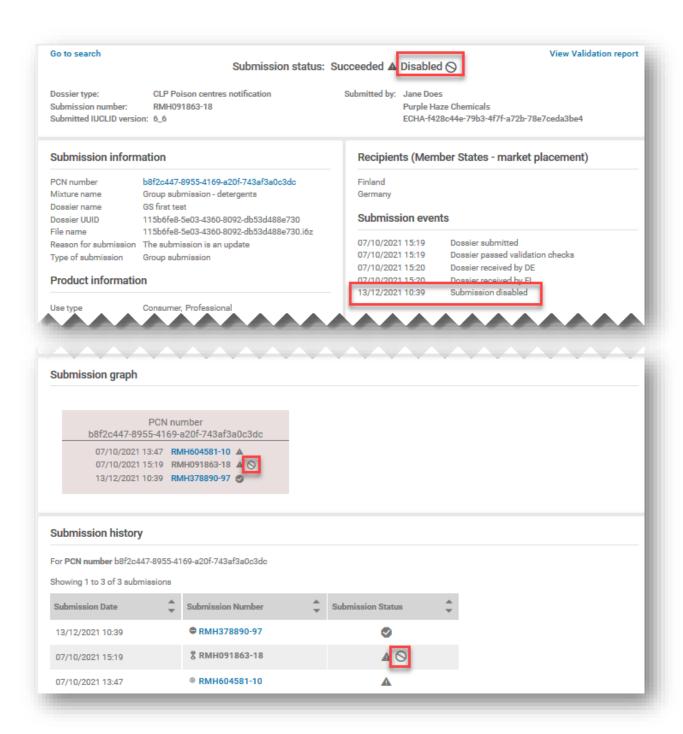


To track your disabled submissions in the ECHA Submission portal, you can use the search functionality to filter via 'Disabled', or by searching with the 'Submission number' of the request.

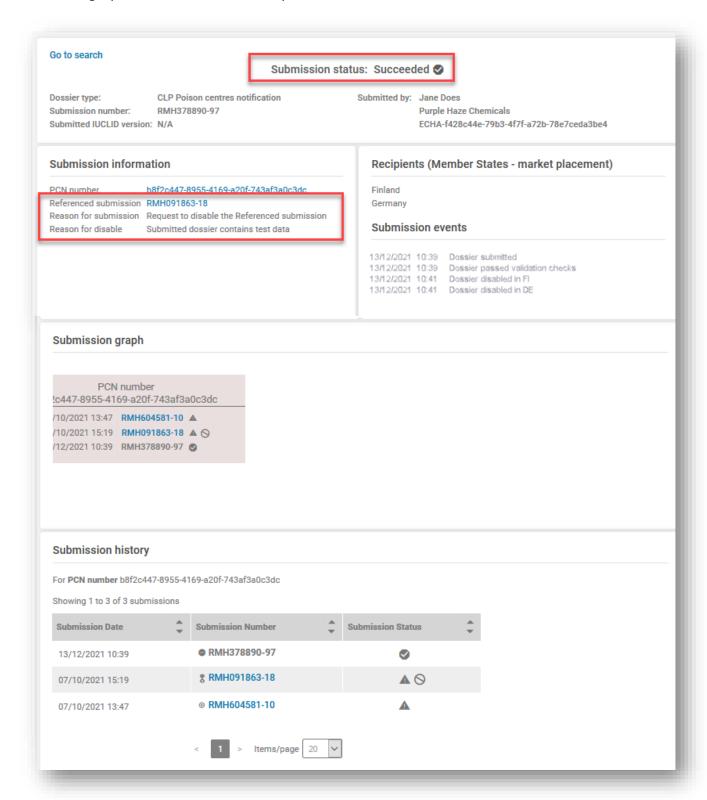


The information about disabled submissions is visible in two Submission reports.

 the Submission report of the successfully disabled submission: 'Disabled' (text or symbol) will appear in the Submission status; Submission events; Submission graph; and Submission history.



the Submission report of the 'Request to disable': The Submission status will appear as 'Succeeded' if the request to disable was successfully processed; Submission information will indicate the referenced submission for disabling and the 'Reason for disabling' (as selected from the picklist); Submission events, Submission graph and Submission history will refer to the disabled submission.



Appendix 1. Instructions: establishing a foreign user

The following is an example of how to set up a 'Foreign user' in ECHA Accounts.

A foreign user is essentially an external user (i.e. a third party) that has been appointed by the legal entity manager of a company to work on their behalf e.g. in IUCLID Cloud and the ECHA Submission portal.

In the context of this manual, a foreign user can perform actions on behalf of the company such as the preparation and submission of poison centre notifications.

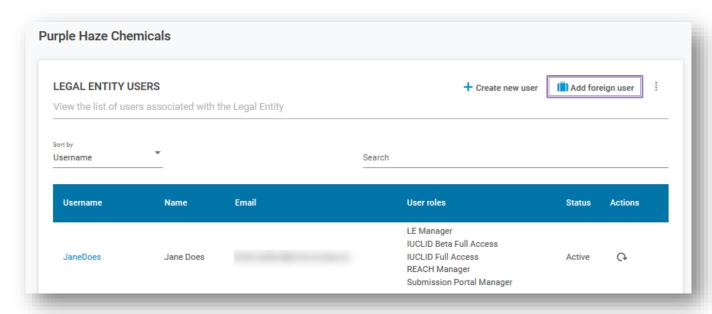


Granting access to a foreign user may expose confidential data. It is important that companies agree on the scope of access and how the confidential information is handled. Check the specific user roles in IUCLID and the ECHA Submission portal which define the user access level in <u>section 2.3</u>.

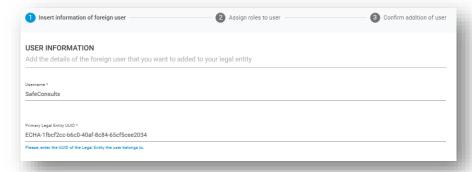
The steps below outline an example of how to establish a foreign user in ECHA Accounts.

Step 1. A consultancy company sends their ECHA Account username (SafeConsults) and legal entity UUID number to the duty holder i.e. the legal submitter, Purple Haze Chemicals. This process occurs outside of the ECHA Accounts functionality.

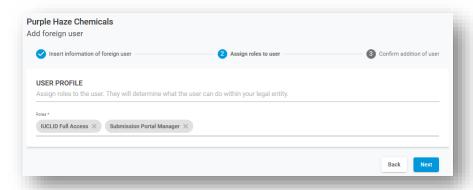
Step 2. The duty holder Purple Haze Chemicals clicks 'Add foreign user' to launch the 3-step wizard in order to add SafeConsults as a foreign user to her legal entity profile.



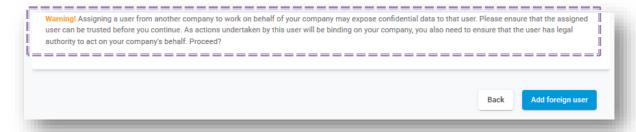
In the first wizard step, Purple Haze Chemicals enters the username and UUID provided by the consultant that was sent to her.



The second wizard step requires defining the user profile and is completed by adding the User roles according to preferences of Purple Haze Chemicals, e.g. which service to use (Cloud or Portal) and which rights (full access or read only)



In the third and final step of the wizard, a warning message appears before the completion process is finalised

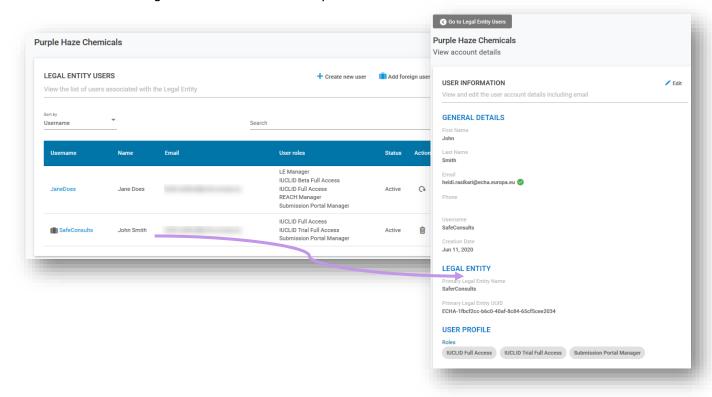




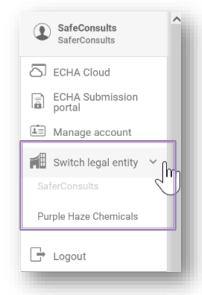
Where **local installations of IUCLID** are in use, note that after JaneDoes adds the details of her foreign user consultant in ECHA Accounts, she would then need to export and send her own legal entity information in IUCLID format to them.

The consultant imports the legal entity file into their own IUCLID system who initiates a notification and assigns it to the Duty holders legal entity. The consultant switches to the legal entity of the Duty holder in the ECHA Submission portal when the dossier is ready to be submitted.

Step 3. Purple Haze Chemicals can now see the details of the foreign user consultant in the list of legal entity users. Note that the consultant company will also be able to see in ECHA Accounts the list of legal entities for which they work for as well.



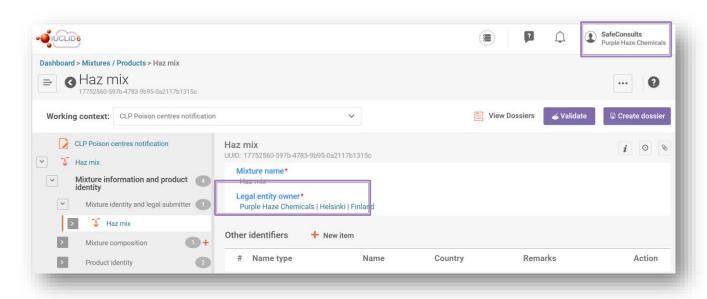
Step 4. The ECHA Cloud services and ECHA Submission portal share the same options in the top bar. From here, the consultant can select the legal entity on whose behalf to work on by selecting Switch legal entity. Note that the foreign user will only see the data relevant to the selected legal entity



Switching the legal entity is one of those features that allow users being members of more than one legal entity to change the acting legal entity. The selection of the acting legal entity in one application (e.g. ECHA Cloud services) is carried to the other one (e.g. ECHA Submission portal), so users need to perform the action just once.

If a consultant is working for many customers, they can use this functionality to move from one customers data to the other without having to log out and log in again.

Step 5. The consultant can now prepare/submit a dossier on behalf of the legal entity Purple Haze Chemicals.

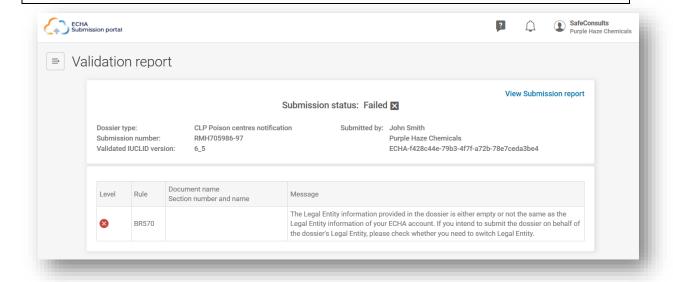


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Where local installations of IUCLID are in use, the consultant initiates a notification and assigns it to the Duty Holders legal entity. The consultant switches to the legal entity of the Duty Holder in the ECHA Submission portal when the dossier is ready to be submitted.

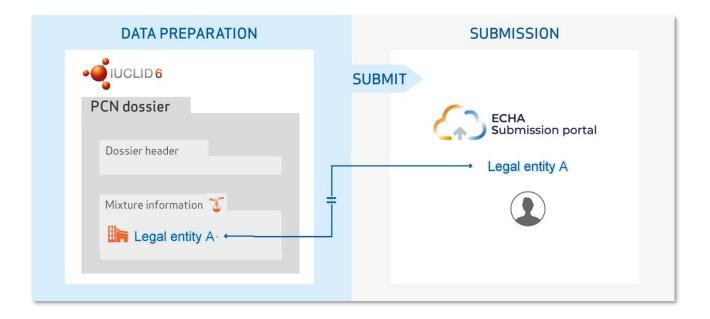


When the legal entity of the submitter does not match the legal entity of the dossier, the error BR570 will present itself in the validation report, failing the submission.



Appendix 2. Troubleshooting BR570 and legal entity consistency

The Legal Entity UUID in the PCN dossier (indicated in the Mixture information and legal submitter section of IUCLID) must match the UUID number of the logged in user working in the ECHA Submission portal at the time of submission.



When a company is preparing and submitting their own notifications, the legal entities available in the dossier should, in theory, already be synchronised with the legal entity in the ECHA Submission portal. This would be the case, for example:

- of a duty holder (formulator, toll formulator, EU importer) notifying their own mixture without the assistance of a third party.
- an EU company who shares the same legal entity as their EU subsidiaries, prepares and submits on their behalf.

There are other scenarios, however, where different legal entities may be involved in the notification process, it is essential that all parties establish sound legal entity management.



The legal entity UUID of the logged in user's company in the ECHA Submission portal must match the legal entity UUID number in the PCN dossier. If there is a mismatch, the dossier will fail the Business rule BR570.

A.2.1 How can legal entity inconsistencies occur in the dossier and portal?

A.2.1.1 When a third party is preparing/submitting in the portal on behalf of a duty holder.

There are instances where a legal entity preparing a dossier may be different to the legal entity legally required to make the submission and would likely result in a legal entity inconsistency. This would, in practice, be expected in the following examples:

- An EU company Mother company submitting on behalf of EU Subsidiary duty holder (different legal entities)
- A non-EU company preparing a notification on behalf of their EU Subsidiary duty holder
- A consultant submitting on behalf of a Duty Holder

Ensuring consistency in the legal entities in the ECHA Submission portal and the dossier in such cases can be achieved by establishing a foreign user and ensuring correct legal entity management in ECHA Accounts, covered in **Appendix 1**.



A foreign user can perform actions on behalf of the company that grants them permission to use an account from their own ECHA account.

A.2.1.2 When a second legal entity exists

In some cases, a legal entity may have unknowingly created two different versions of the same legal entity i.e. the name is the same but the associated UUID number is different. When the system compares if the legal entities are matching, it compares the UUIDs associated to the legal entities.

This may be expected if a company has previously created a legal entity in IUCLID, and did not import the file to ECHA Accounts when it was created, rather, creating a new legal entity.



Having two legal entities with the same name but different UUIDs may result in business rule failures due to inconsistencies in the UUIDs.

It is possible to change the legal entity details in the ECHA Submission portal or in the IUCLID notification.

A.2.1.3 Failure to add/update legal entity details in IUCLID offline

In cases where a company has installed IUCLID Desktop Client to work offline in their local systems, any legal entity changes made in ECHA Accounts will have to be manually replicated in the dossier preparation tool.

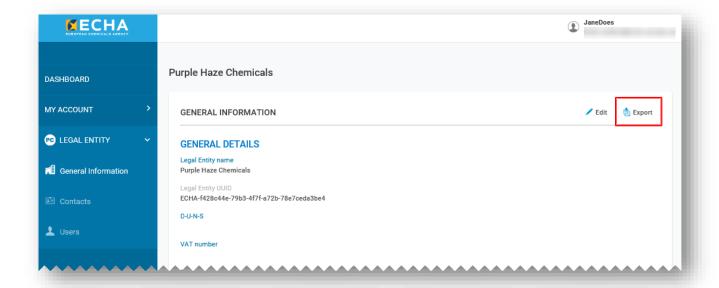
To add/update legal entity details into the IUCLID instance, the following steps are required:

In the ECHA Submission portal

- 1. Connect to the ECHA Submission portal of the legal entity of which the change is required for
- 2. From the top-right corner menu of the user tab, select Manage account

In ECHA Accounts

- 3. Go to My account, select Legal entity and from the legal entity page, select Export
- 4. Save the legal entity .i6z file locally.



In IUCLID Desktop dashboard

- 5. Open the IUCLID6 Desktop Client. From the Dashboard page select Import or Browse and add the .i6z legal entity file that you saved in the previous step.
- 6. After successfully importing the legal entity, it can be opened and you will be able to check it`s UUID number

A.2.1.4 Mismanagement of S2S keys in the system-to-system service

In cases where a third-party user i.e. having a different legal entity, is preparing and submitting through system to system to the ECHA Submission portal on behalf of the legal submitter, they will need to do so using the legal submitters S2S keys.

In practice, different legal entities would be expected in the following examples:

- Consultant or other 3rd party company on behalf of the Customer
- EU or non-EU Mother company on behalf of a Subsidiary (different legal entities). In case the Mother company submits on behalf of more than one Subsidiary, the Mother company should create/obtain the S2S keys for all the companies.

An inconsistency between legal entities can be avoided by establishing a foreign user in ECHA Accounts and ensuring correct usage of the S2S key. This is explained in the How to join the system to system services but in general, this is achieved by the following:

- 1. In ECHA Accounts the legal submitter adds a third party as a foreign user (see Appendix 1) and then, either assigns the third party the S2S Keys Manager role, so that they can generate the S2S key (or generate the S2S key themselves and send it directly to the third party).
- **2.** In the third party's system, a dossier is created which includes the legal submitters legal entity (at the mixture level).
- **3.** The third party submits the dossier in the ECHA Submission portal using the legal submitters S2S key.



Avoid a business rule failure and ensure the consistency of legal entities in the dossier and in the S2S key.